

Clerk of the House of Representatives  
Legislative Resource Center  
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Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE  
05 AUG 24 PM 2

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name <b>American Express Company</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>801 Pennsylvania Avenue, N.W. Suite 650</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20004</b> <b>U.S.A.</b>			
3. Principal Place of Business (if different from line 2) City <b>New York</b> State/Zip (or Country) <b>NY 10285</b> <b>U.S.A.</b>			
4. Contact Name <b>Robert Thomson</b>		Telephone <b>(202) 434-0156</b>	E-mail (optional) <b>robert.b.thomson@aexp.com</b>
5. Senate ID # <b>227412</b>			6. House ID # <b>31021000</b>

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this report period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$840,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b) the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature Robert B. Thomson III

Date 8/24/2005

1000391032



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

HR 1375, Financial Services Regulatory Relief Act of 2003 , Interstate branching; regulatory relief for financial institutions

HR 1474, Check Clearing for the 21st Century Act , check truncation issues

HR 1729, Negative Credit Notification Act , credit reporting issues

HR 2043, United States Financial Policy Committee For Fair Capital Standards Act, BASEL Standards

HR 2622, The Fair and Accurate Credit Transaction (FACT) Act of 2003, Fair Credit Reporting Act issues

HR 3016 , Combating Terrorism Financing Act of 2003, Money laundering prevention

HR 522, Federal Deposit Insurance Reform Act of 2003 , proposed FDIC insurance reforms

HR 758, Business Checking Freedom Act of 2003 , Business NOW Accounts

HR 859, To repeal the prohibition on the payment of interest on demand deposits, Business NOW Accounts

S 1330, Consumer Credit Score Disclosure Act of 2003, Fair Credit Reporting Act Issues

17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of Treasury  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Shycoff, Barbara	
Thomson, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Robert B. Alt III

Date 2/13/2004

0000391033



Registrant Name: American Express Company

Client Name: Self

Item	Description	Data
16	Lobbying Issues	S 1334, Check Trunification Act of 2003, Check truncation issues
16	Lobbying Issues	S 1753, National Consumer Credit Reporting System Improvement Act of 2003 Fair Credit Reporting Act issues
16	Lobbying Issues	S 1967, Interest on Business Checking Act of 2003 , Business NOW Accounts
16	Lobbying Issues	S 229, Safe and Fair Deposit Insurance Act of 2003 , Deposit Insurance Reform
16	Lobbying Issues	S 553, Business Checking Freedom Act of 2003, Business NOW accounts
16	Lobbying Issues	S 660, Economic Opportunity Protection Act of 2003, Fair Credit Reporting Act Issues

0000391034



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

**HR 2120, Financial Contracts Bankruptcy Reform Act of 2003 , Netting contracts**

**HR 975, Bankruptcy Abuse Prevention and Consumer Protection Act of 2003 , Credit Card Disclosures / cons bankruptcy provisions**

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Shycoff, Barbara	
Thomson, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Robert B III

Date 2/13/2004

0000391035



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

- HR 2179, Securities Fraud Deterrence and Investor Restitution Act of 2003, securities law compliance issues
- HR 2420, Mutual Funds Integrity and Fee Transparency Act of 2003, Mutual fund disclosures
- HR 2971, Social Security Number Privacy and Identity Theft Prevention Act of 2003 , protecting privacy of S
- HR 637, Social Security Number Misuse Prevention Act , protecting privacy of SSNs
- HR 657, Emergency Securities Response Act of 2003 , SEC issues
- HR 658, Accountant, Compliance, and Enforcement Staffing Act of 2003 , Additional Hiring Authority for SE
- HR 818, Identity Theft Consumer Notification Act , ID Theft prevention
- HR 957, Broker Accountability through Enhanced Transparency Act of 2003, Securities compliance issues
- HR3233, Identity Theft Notification and Credit Restoration Act of 2003 , Identity Theft Prevention
- S 153, Identity Theft Penalty Enhancement Act , ID Theft prevention
- S 1633, Identity Theft Notification and Credit Restoration Act of 2003 , Identify theft prevention

17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of Treasury  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Shycoff, Barbara	
Thomson, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Robert B. Johnson III Date 2/13/2004

3000391036



Registrant Name: American Express Company

Client Name: Self

Item	Description	Data
16	Lobbying Issues	S 1822, Mutual Fund Transparency Act of 2003 , Mutual fund industry reform
16	Lobbying Issues	S 1958, Mutual Fund Investor Protection Act of 2003 , Mutual fund industry reform
16	Lobbying Issues	S 223, A bill to prevent identity theft, and for other purposes, ID Theft preventi
16	Lobbying Issues	S 228, Social Security Number Misuse Prevention Act , Protection of SSNs
16	Lobbying Issues	S 496, Accountant, Compliance, and Enforcement Staffing Act of 2003, SEC issues

0000391037



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GAM (one per page)

16. Specific Lobbying issues

**HR 21, Unlawful Internet Gambling Funding Prohibition Act , prohibition on use of financial payment instru**  
**HR 2143, Unlawful Internet Gambling Funding Prohibition Act , prohibition on use of financial payment inst**  
**S 623, Unlawful Internet Gambling Funding Prohibition Act , prohibition on use of financial payment instrur**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Treasury**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Shycoff, Barbara	
Thomson, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

*Robert B. R. III*

Date 2/13/2004

8201610000



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

**HR 1, Medicare Prescription Drug and Modernization Act of 2003 (P.L. 108-173), provisions affecting employ benefits, HSAs, FLSAs, record-keeping**

**HR 1177, To amend the Internal Revenue Code of 1986 to provide additional choice regarding unused health cafeteria plans and flexible spending arrangements,**

**HR 1322, Emergency Retiree Health Benefits Protection Act of 2003, provisions affecting employer plans**

**HR 1873, Self-Employed Health Care Affordability Act of 2003,**

**HR 1893, Amending ADEA for retiree health benefits ,**

**HR 1910, Genetic Nondiscrimination in Health Insurance and Employment Act , provisions affecting employ**

**HR 2351, Health Savings Account Availability Act,**

**HR 2596, Health Savings and Affordability Act of 2003,**

**HR 2673, The Consolidated Appropriations Act of 2004 , cash balance regulatory authority**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Health & Human Services**

**Department of Treasury**


**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ferguson, Denise	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2004

0000391039



Registrant Name: American Express Company

Client Name: Self

Item	Description	Data
16	Lobbying Issues	HR 2989, Treasury/Transportation Appropriations bill, cash balance regulator authority
16	Lobbying Issues	HR 597, Patient Protection Act ,
16	Lobbying Issues	HR 660, Small Business Health Fairness Act of 2003,
16	Lobbying Issues	HR 663, Patient Safety and Quality Improvement Act, provisions affecting employer plans
16	Lobbying Issues	HR 953, Mental Health Equitable Treatment Act,
16	Lobbying Issues	S 1, Prescription Drug and Medicare Improvement Act of 2003, provisions affecting employer-provided retiree health benefits, HSAs, Erie County relief, record-keeping
16	Lobbying Issues	S 10, Health Care Coverage Expansion and Quality Improvement Act of 2003, provisions affecting employer plans
16	Lobbying Issues	S 1053, Genetic Information Nondiscrimination Act of 2003 , provisions affecti employer plans
16	Lobbying Issues	S 1214, Family Caregiver Relief Act of 2003,
16	Lobbying Issues	S 1450, To amend the Internal Revenue Code of 1986 to provide additional cho regarding unused health benefits in cafeteria plans and flexible spending arrangements ,
16	Lobbying Issues	S 1589, Treasury/Transportation Appropriations bill , cash balance regulatory authority
16	Lobbying Issues	S 486, Mental Health Equitable Treatment Act,
16	Lobbying Issues	S 545, Small Business Health Fairness Act of 2003,
16	Lobbying Issues	S 607, HEALTH Act of 2003,
16	Lobbying Issues	S 720, Patient Safety and Quality Improvement Act,
16	Lobbying Issues	Treasury Department Regulations on Health Savings Accounts (HSAs)

3000391040



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues


**HR 1473, Insurance Credit Score Disclosure and Reporting Act , use of insurance scores  
S 1373, Insurance Consumer Protection Act of 2003 , Federal Insurance Charter**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Shycoff, Barbara</b>	
<b>Thomson, Robert</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2004

0000391041



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

- HR 1119, Family Time Flexibility Act,
- HR 2660, Labor - HHS Appropriations Bill, provisions on FLSA regulatory authority
- HR 2673, The Consolidated Appropriations Act of 2004, FLSA regulatory authority
- HR 35, Family and Medical Leave Clarification Act,
- S 317, Family Time and Workplace Flexibility Act,
- S 320, Family and Medical Leave Clarification Act,

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Labor**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Ferguson, Denise</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Robert B. III* Date 2/13/2004

0000391042



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

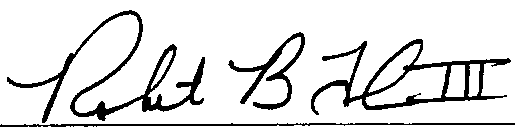
- 15. General issue area code POS (one per page)
- 16. Specific Lobbying issues  
**HR 735, Postal Civil Service Retirement System Funding Reform Act of 2003 , postal reform**  
**S 1285, Postal Accountability and Enhancement Act, postal reform**  
**S 380, Postal Civil Service Retirement System Funding Reform Act of 2003 , postal reform**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**  
**US Postal Service**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Flores, Anna	
Kamen, Laurel	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2004

0000391043



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

- HR 1000, Pension Security Act, entire measure
- HR 1776, Pension Preservation and Savings Expansion Act of 2003, entire measure
- HR 2096, Long-Term Care & Retirement Security Act of 2003, entire measure
- HR 2458, Save Annuity Income for Life Act, entire measure
- HR 3108, The Pension Funding Equity Act, provisions on corporate bond rate
- S 1550, To Replace Treasury Rate with a Corporate Bond Rate,
- S 1617, Women's Pension Protection Act, entire measure
- S 1693, Health Care Tax Credit Expansion Act of 2003, entire measure
- S 1698, Retirement Security Advice Act, entire measure
- S 1912, Retirement Account Portability Act, entire measure
- S 9, Pension Protection & Expansion Act of 2003,


17. House(s) of Congress and Federal agencies contacted  Check if None

- Department of Health & Human Services
- Department of Labor
- Department of Treasury
- House of Representatives
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ferguson, Denise	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2004

0000391044



Registrant Name: American Express Company

Client Name: Self

Item	Description	Data
16	Lobbying Issues	Administration's proposal to establish long-term savings accounts, retirement savings accounts, employee retirement savings accounts

0000391045



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Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

- HR 1139, Frequent Flyer Tax Free Liability Act of 2003, entire bill
- HR 1307, Armed Forces Tax Fairness Act of 2003, provisions affecting employee obligations
- HR 1528, Taxpayer Protection & IRS Accountability Act of 2003,
- HR 1612, Make EGTRRA Tax Cuts Permanent , individual and retirement savings provisions
- HR 1769, The Job Protection Act of 2003,
- HR 2, Jobs & Growth Tax Act of 2003,
- HR 2049, Restoration of Business Meal & Entertainment Deduction, entire measure
- HR 2127, Taxpayer Savings & Employee Notification Act of 2003,
- HR 2286, Working Families Tax Credit Act,
- HR 2638, Small Business Expensing Permanency Act of 2003, entire bill
- HR 315, IRA Mandatory Withdrawal Age Adjustment Act, entire bill

17. House(s) of Congress and Federal agencies contacted

Check if None

- Department of Treasury
- Executive Office of the President
- House of Representatives
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ferguson, Denise	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Date 2/13/2004

0000391046



Registrant Name: American Express Company

Client Name: Self

Item	Description	Data
16	Lobbying Issues	HR 2896, American Jobs Creation Act of 2003, international tax provisions affecting financial services companies, look-through rules, clarification for ban for determining U.S. property, non-qualified deferred compensation, depreciat and expensing, small business provisions
16	Lobbying Issues	HR 49, The Internet Tax Nondiscrimination Act, provisions on nondiscriminat
16	Lobbying Issues	S 1173, Relief for Working Families Tax Act of 2003, entire bill
16	Lobbying Issues	S 14, Energy Policy Act of 2003, reinstatement of corporate environment taxes
16	Lobbying Issues	S 150, Internet Tax Non-Discrimination Act of 2003, nondiscrimination provisi
16	Lobbying Issues	S 1637, Jumpstart our Business Strength Act, international tax simplification, look-through rules, CEO tax return signature, clarification of banking for determing U.S. property, Section 911, small business provisions
16	Lobbying Issues	S 1736, Streamlined Sales and Use Tax Act, impact on tax collection of state ta: on Internet and mail order sales
16	Lobbying Issues	S 1896, To Extend Expiring Tax Provisions, R&D, WOTC, W-to-W, 809 relief
16	Lobbying Issues	S 1937, Tax Shelter Tranparency & Enforcement Act, economic substance doctrine
16	Lobbying Issues	S 1984, Makingn Technical Corrections to tax code, dividend taxation
16	Lobbying Issues	S 256, Charity Aid, Recovery & Empowerment (CARE) Act of 2003, IRA rollo provisions
16	Lobbying Issues	S 476, CARE Act of 2003, IRA rollover provisions
16	Lobbying Issues	S 597, Energy Tax Incentives Act of 2003, reinstatement of corporate environmental taxes
16	Lobbying Issues	Treasury Department pending legislative proposals to modify the look-through rules for international operations

0000391047



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific Lobbying issues

- HR 2214, Reduction in Distribution of Spam Act of 2003 , national standards for the regulation of commercial e-mail
- HR 2515, Anti-Spam Act of 2003, national standards for the regulation of commercial e-mail
- S 1231, SPAM Act , national standards for the regulation of commercial e-mail
- S 1293, Criminal Spam Act of 2003, national standards for the regulation of commercial e-mail
- S 1327, REDUCE Spam Act of 2003 , national standards for the regulation of commercial e-mail
- S 877, CAN-SPAM Act of 2003 , national standards for the regulation of commercial e-mail
- Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991 (CG Docket #02-278) N to the Fax Adversiting provisions of the "Do-Not-Call" Rules

17. House(s) of Congress and Federal agencies contacted  Check if None

- Federal Communications Commission
- Federal Trade Commission
- House of Representatives
- Senate
- Small Business Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Flores, Anna	
Kamen, Laurel	
Pianalto, Antonella	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Robert B. Alt III Date 2/13/2004

0000391048



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific Lobbying issues  
**HR 1115, Class Action Fairness Act of 2003,  
S 274, Class Action Fairness Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ferguson, Denise	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Robert B Hill III* Date 2/13/2004

0000391049



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOU (one per page)

16. Specific Lobbying issues

**Computer Reservations System (CRS) Regulations (14 CFR Part 255, DOT Docket # OST-97-2881), Deregulation of Computer Reservations System Rules**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Transportation  
Executive Office of the President  
House of Representatives  
Senate**

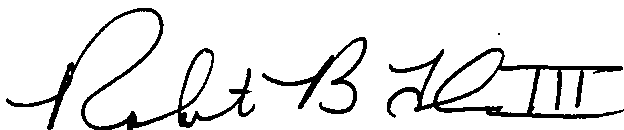
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Kamen, Laurel</b>	
<b>Pianalto, Antonella</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 2/13/2004

0000391050



Registrant Name: American Express Company

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues

- HR 2738, U.S.-Chile Free Trade Agreement Implementation Act, provisions affecting financial services
- HR 2739, U.S.-Singapore Free Trade Agreement Implementation Act, provisions affecting financial services
- S 1416, U.S.-Chile Free Trade Agreement Implementation Act, provisions affecting financial services
- S 1417, U.S.-Singapore Free Trade Agreement Implementation Act, provisions affecting financial services
- U.S.-Australian Free Trade Agreement (negotiations affecting financial services)
- U.S.-Australia Income Tax Treaty Protocol (negotiations affecting financial services)
- U.S.-Japan Tax Treaty (negotiations affecting financial services)

17. House(s) of Congress and Federal agencies contacted  Check if None

- Department of Commerce
- Department of Treasury
- Executive Office of the President
- House of Representatives
- Office of U.S. Trade Representative
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ferguson, Denise	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Robert B. III Date 2/13/2004

0000391051

