

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HUMANA

2. Address:

500 W MAIN ST, LOUISVILLE, KY 40202

3. Principal place of business (if different from line 2):

4. Contact Name: HEIDI S. MARGULIS

Telephone: 502-580-1854

E-mail (optional): hmargulis@humana.com

Senate ID #: 18912-12

House ID #: 32289000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 200,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPI (one per page)

16. Specific lobbying issues:

H.R.1714-Electronic Signatures Act S.761-Millennium Digital Commerce Act H.R.4049-Privacy Commission S.4380-Consumer Financial Privacy Act

17. House(s) of Congress and Federal agencies contacted:

Federal Trade Commission (FTC)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

Issues related to Tricare and military health care funding H.R.4576 & S.2593-Defense appropriations bills

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

S.6, H.R. 2723, S.1344-Patient Bills of Rights HIPAA Privacy Rules S.2038-Medical Error Reduction Act H.R. 4049-Privacy Commission Act H.R. 4380-Consumer Financial Privacy Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

S.6, H.R. 2723, S.1344-Patient Bills of Rights HIPAA privacy rules S.2038-Medical Error Reduction Act H.R.4049-Privacy Commission Act H.R.4380-Consumer Financial Privacy Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

H.R.1304-Quality Health Care Coalition

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

H.R.5543-Beneficiary Improvement and Protection Act and other items related to Medicare provider reimbursement and prescription drug coverage.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 09, 2001

Printed Name and Title: HEIDI S. MARGULIS - SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS