

Clerk of the House of Representatives Legislative Resource Center 8-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE

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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 3) - All Filers Are Required to Complete This Page

1. Registrant Name <b>O'Connor &amp; Hannan, L.L.P.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>1666 K Street, N.W. Washington DC 20006</b> <b>Suite 500</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Thomas H. Quinn</b>	Telephone <b>202-887-1400</b>	E-mail (optional)	5. Senate ID #
7. Client Name <input type="checkbox"/> Self <b>UBS Warburg</b>	6. House ID #		

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$20,000.00</u> .....Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ .....Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_ Date 8/11/2000

Printed Name and Title Thomas H. Quinn - Partner Page 1 of 4

Registrant Name: O'Connor & Hannan, L.L.P.

Client Name: UBS Warburg

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues  
S.1924, Financial Information Privacy and Security Act,  
S.1903, Consumer's Right to Financial Privacy Act,  
S.1901, Privacy Protection Study Commission Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Dombo III, Fred</u>	<u>Counsel, Office of Congressman Michael Forbes</u>	<u>No</u>
<u>Fagre, Danielle</u>		<u>No</u>
<u>Jenkins, Timothy W.</u>		<u>No</u>
<u>Nickerson, William</u>		<u>No</u>
<u>Quinn, Thomas H.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/11/2000

Printed Name and Title Thomas H. Quinn - Partner Page 2 of 4

Registrant Name: O'Connor & Hannan, L.L.P.

Client Name: UBS Warburg

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code CPI (one per page)
- 16. Specific Lobbying issues  
H.R.1714, Electronic Signatures in Global and National Commerce Act.

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Dombo III, Fred</u>	<u>Counsel, Office of Congressman Michael Forbes</u>	<u>No</u>
<u>Fagre, Danielle</u>		<u>No</u>
<u>Jenkins, Timothy W.</u>		<u>No</u>
<u>Nickerson, William</u>		<u>No</u>
<u>Quinn, Thomas H.</u>		<u>No</u>

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/11/2000

Printed Name and Title Thomas H. Quinn - Partner Page 3 of 4

Registrant Name: O'Connor & Hannan, L.L.P.

Client Name: UBS Warburg

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

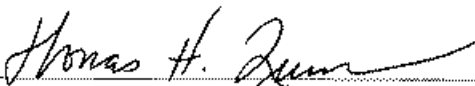
S.1920, Money Laundering Abatement Act of 1999,  
S.1663, Foreign Money Laundering Deterrence and Anticorruption Act,  
H.R.2905, Integrity in Banking and Money Laundering Prevention Act of 1999,  
H.R.2896, Foreign Money Laundering Deterrence and Anticorruption Act,  
H.R.1471, Money Laundering Prevention Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Fagre, Danielle</u>		<u>No</u>
<u>Dombo III, Fred</u>	<u>Counsel, Office of Congressman Michael Forbes</u>	<u>No</u>
<u>Jenkins, Timothy W.</u>		<u>No</u>
<u>Nickerson, William</u>		<u>No</u>
<u>Quinn, Thomas H.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/11/2000

Printed Name and Title Thomas H. Quinn - Partner Page 4 of 4