

99 AUG 16 PH 2-52

HAND DELIVERED

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ryan, Phillips, Utrecht & MacKinnon			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 1133 Connecticut Ave., NW, Suite 300, Washington, DC 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Rodney Hoppe	Telephone 202/293-1177	E-mail (optional)	5. Senate ID #
7. Client Name <input type="checkbox"/> Self Investment Company Institute	6. House ID # 30680029		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

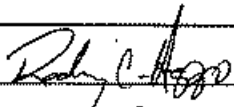
10. Check if this is a Termination Report → Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000</u> <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(3) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature



Printed Name and Title Rodney Hoppe - Legislative Associate

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RAN (one per page)

16. Specific lobbying issues

- H.R. 10 - Financial Services Act, provisions related to regulation of mutual funds industry
- H.R. 665 - Financial Services Act, provisions related to regulation of mutual funds industry
- H.R. 823 - Financial Services Competitive Enhancement Act provisions related to regulation of mutual funds industry
- S. 900 - Financial Services Modernization Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House
- U.S. Senate
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	X
Matthew Hawkins		X
Rodney Hoppe		C
Jeffrey M. MacKinnon		C
William D. Phillips		C
Mark Planning		C
Thomas M. Ryan		C
Joseph V. Vasapoli		C

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Rodney C. Hoppe* Date 8/16/99
 Printed Name and Title Rodney Hoppe - Legislative Associate

Registrant Name Ryan, Phillips, Utrecht & MacKinnon Client Name Investment Company Institute

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15. General issue area code CFI (one per page)

16. Specific lobbying issues

- H.R. 850 - Security and Freedom Through Encryption Act, provisions relating to use of computer technology to enhance mutual funds industry
- H.R. 1714 - Electronic Signatures in Global and National Commerce Act, provisions relating to use of computer technology
- S. 761 - Millennium Digital Commerce Act

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House
- U.S. Senate
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No
Matthew Hawkins		<input checked="" type="checkbox"/>
Rodney Hoppe		<input type="checkbox"/>
Jeffrey M. MacKinnon		<input type="checkbox"/>
William D. Phillips		<input type="checkbox"/>
Mark Planning		<input type="checkbox"/>
Thomas M. Ryan		<input type="checkbox"/>
Joseph V. Vasapoli		<input type="checkbox"/>

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Signature Rodney C. Hoppe Date 8/16/99
 Printed Name and Title Rodney Hoppe - Legislative Associate

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15. General issue area code FIN (one per page)

16. Specific lobbying issues
None

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Matthew Hawkins		<input checked="" type="checkbox"/>
Rodney Hoppe		<input type="checkbox"/>
Jeffrey M. MacKinnon		<input type="checkbox"/>
William D. Phillips		<input type="checkbox"/>
Mark Planning		<input type="checkbox"/>
Thomas M. Ryan		<input type="checkbox"/>
Joseph V. Vasapoli		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Rodney C. Hoppe* Date 8/16/99
 Printed Name and Title Rodney Hoppe - Legislative Associate

Ryan, Phillips, Utrecht & MacKinnon
Registrant Name _____ Client Name Investment Company Institute

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15. General issue area code RET (one per page)

16. Specific lobbying issues

None

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Ne
Matthew Hawkins		<input checked="" type="checkbox"/>
Rodney Hoppe		<input type="checkbox"/>
Jeffrey M. MacKinnon		<input type="checkbox"/>
William D. Phillips		<input type="checkbox"/>
Mark Planning		<input type="checkbox"/>
Thomas M. Ryan		<input type="checkbox"/>
Joseph V. Vasapoli		<input type="checkbox"/>
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Signature Rodney Hoppe Date 8/16/99
Printed Name and Title Rodney Hoppe - Legislative Associate

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15. General issue area code TAX (one per page)

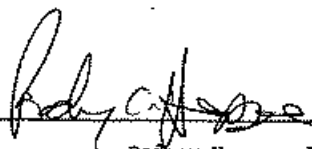
16. Specific lobbying issues
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17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

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Rodney Hoppe		<input type="checkbox"/>
Jeffrey M. MacKinnon		<input type="checkbox"/>
William D. Phillips		<input type="checkbox"/>
Mark Planing		<input type="checkbox"/>
Thomas M. Ryan		<input type="checkbox"/>
Joseph V. Vasapoli		<input type="checkbox"/>
		<input type="checkbox"/>

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Signature  Date 8/16/97
 Printed Name and Title Rodney Hoppe - Legislative Associate