

LOBBYING REPORT 00 AUG 11 PM 2:31

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name Capitol Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Debra M. Hardy Havens	Telephone (202) 544-1880	E-mail (optional) dh@capitolassociates.com	5. Senate ID # 8101-214
7. Client Name <input type="checkbox"/> Self Columbia University			6. House ID # 30813004

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ Termination Date \_\_\_\_\_ 11. No Lobbying Activity ☐

<b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>	
<b>12. Lobbying Firms</b>  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> = \$ <u>60,000.00</u> Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b>  EXPENSES relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> = \$ _____ Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method: See instructions for description of options.  <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only  <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.Con.Res.290, Concurrent Resolution on the Budget, Fiscal Year 2001, Conference Report

H.R.4577 - Departments of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001  
Title II - Tracked and monitored funding for NIH

S.2553 - Departments of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001  
Title II - Tracked and monitored funding for NIH

H.R.4635, Departments of Veterans Affairs, Housing & Urban Development & Related Agencies Appropriations bill, 2001. Title II, Secure funding for biomedical facility.

S. \_\_\_\_\_, Departments of Veterans Affairs, Housing & Urban Development & Related Agencies Appropriations bill, 2001. Title II, Secure funding for biomedical facility.

H.R.4733, Energy and Water Appropriations Act, 2001.  
Title I, Secure funding for biomedical facility.

S. \_\_\_\_\_, Energy and Water Appropriations Act, 2001.  
Title I, Secure funding for biomedical facility.

H.R.4690, Departments of Commerce, Justice and State, the Judiciary and Related Agencies Appropriations bill, 2001.  
Title V, Secure funding for biomedical facility.

S. \_\_\_\_\_, Departments of Commerce, Justice and State, the Judiciary and Related Agencies Appropriations bill, 2001.  
Title V, Secure funding for biomedical facility.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House Department of Energy  
Senate Department of Housing & Urban Development  
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Edward Lung		<input type="checkbox"/>
Ronnie Tepp		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

S.2015. Stem Cell Research Act of 2000. Tracked and monitored bill.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Tricia Brooks		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 06/98)

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Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R. 1388, Medicare Cancer Clinical Trial Coverage Act of 1999. Tracked and monitored bill.  
S.784, Medicare Cancer Clinical Trial Coverage Act of 1999. Tracked and monitored bill.  
H.R. 1798, Clinical Research Enhancement Act of 1999. Tracked and monitored bill.  
H.R. 2391, National Center for Research on Domestic Health Disparity. Tracked and monitored bill.  
H.R. 2621, Pediatric Research Initiative Act of 1999. Tracked and monitored bill.  
H.R. 3110, Dr. Sydney E. Salmon Access to Cancer Research Clinical Trials Act of 1999. Tracked and monitored bill.  
H.R. 4605, Human Research Subjects Protections Act of 2000. Tracked and monitored bill.  
S.1010, Medical Innovation Tax Credit for Clinical Testing Research. Tracked and monitored bill.  
S.1267, Clinical Trials Disclosure Act of 1999. Tracked and monitored bill.  
S.1813, Clinical Research Enhancement Act of 1999. Tracked and monitored bill.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Becky Levin		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (cont'd) (one per page)

16. Specific lobbying issues

H.R. 1057, Medical Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 1941, Health Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2404, Personal Medical Information Protection Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2457, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
S. 1322, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2470, Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2878, Medical Privacy in the Age of New Technologies Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 4585, Medical Financial Privacy Protection Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.  
S. 573, Medical Information Privacy and Security Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Becky Levin		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Columbia University

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Sara Milo

Name Change: Ronnie Kovner to Ronnie Tepp

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO