Clerk of the House of Representatives Legislative Resource Center B-106 Canson Building Washington, DC 20515 Secretary of the Seisne Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE

LOBBYING REPORT (10 AUG | PN 2: 31 Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

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426 C Street, NE. Washington, I	DC 20002		
3. Principal Place of Business (if diffe	егент бот нае 2)		
City:	State/Zip (or Country)		
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID 8
Debra M. Hardy Havens	(202) 544-1880	dh@capitolassociates.com	8101-214
7. Chent Name	□ seir	•	6. House 1D # 30813004
Columbia University			
		(Jamuary 1-June 30) Ø OR Year End (July	trazecentizet 51) t
. Check if this fding amends a pre	•		, m
<ol> <li>Check if this is a Termination I</li> </ol>	Report 🔲 😁 Termination	Date 11. No Lobbying A	ctivity 📙
INCOME OR EXPENS	SES - Complete Fither Lin	ne 12 OR Line (3	
12. Lebbyin		13. Organizations	
•		•	
INCOME relating to lobbying activ	vities for this reporting	EXPENSES relating to lobbying activities for this reporti period were:	
period was:		perusi were:	
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Less than \$10,000		Less than \$10,000 []	
Less than \$10,000 □ \$10,000 or more ⊠ ⇒ \$.60,000		Less than \$10,000 □  510,000 or more □ → 5	
Less than \$10,000 □ \$30,000 or more ⊠ ⇒ \$.60,000	9.00 nearest \$20,000)	Less than \$10,000 []	
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Less than \$10,000  \$30,000 or more  \$\Bigsim \text{\$\frac{50,000}{100000000000000000000000000000000	nearest \$20,000) fed to the rearest \$20,000, the client (including all	Less than \$10,000 []  \$10,000 or more	et \$20,000) indicate expense ription of options.
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Less than \$10,000  \$10,000 or more  \$\Begin{array}{l} \Begin{array}{l} \Be	nearest \$20,000) fed to the rearest \$20,000, the client (including all	Less than \$10,000   \$10,000 or more   \$\infty \sigma \sum_{\text{Expenses (nearestarcounting method:}} \text{Sce instructions for desc}  \$\text{Method A. Reporting amounts using LD:}   \$\text{Method B. Reporting amounts under second method:} \text{Sce instructions for desc}	at \$20,000) indicate expense cription of options. A definitions only ction 6033(b)(8) of

Registrant Name Capitol Associates, Inc.	Client Name	Columbia University	
OBBYING ACTIVITY. Select as many codes as nec agaged in lobbying on behalf of the client during the re aformation as requested. Attach additional page(s) as n	porting period. Ush		
5. General issue area code <u>BUD</u> (one per pa	age)		
6. Specific lobbying issues			
4.Con.Res.290, Concurrent Resolution on the Budget, I	Fiscal Year 2001, Co	onference Report	
4.R.4577 – Departments of Labor, Health & Human Ser Title II – Tracked and monitored funding for NI		Related Agencies Appropriati	ions bill, 2001
6.2553 - Departments of Labor, Health & Human Serv Title II - Tracked and monitored funding for NI		Related Agencies Appropriati	ons bill, 2001
1.R.4635, Departments of Veterans Affairs, Housing & 2001. Title II, Secure funding for biomedical fa	Urban Developmen cility.	u & Related Agencies Appro	priations bill,
<ol> <li>Departments of Veterans Affairs, Housing &amp; Urb Title II. Secure funding for biomedical facility.</li> </ol>	oan Development & I	Related Agencies Appropriation	ns bill, 2001.
<ol> <li>R.4733, Energy and Water Appropriations Act, 2001.</li> <li>Title I, Secure funding for biomedical facility.</li> </ol>			
Energy and Water Appropriations Act, 2001. Title 1, Secure funding for biomedical facility.			
I.R.4690, Departments of Commerce, Justice and State, Title V. Secure funding for biomedical facility.	the Judiciary and Re	elated Agencies Appropriation	ıs bill, 2001.\
Title V, Secure funding for biomedical facility.	c Judiciary and Rela	ted Agencies Appropriations	bill, 2001.\
7. House(s) of Congress and Federal agencies contacted	i 🗆 c	heck if None	İ
Jouse Department of Energy Control Department of Housing & Urban DHHS	n Development		
8. Name of each individual who acted as a lobhyist in the	his issue area		
Name	Covered	Official Position (if applicable)	New
dward Lung			
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). Interest of each foreign entity in the specific issues list		Check if None	
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Registrant Name_Capitol Associates, Inc.	_Client Name	Columbia University	
LOBBYING ACTIVITY. Select as many codes as necessar engaged in lobbying on behalf of the client during the reportir information as requested. Attach additional page(s) as needed.	ng period. Using		
15. General issue area code <u>MED</u> (one per page)			
16. Specific lobbying issues			
S.2015, Stem Cell Research Act of 2000. Tracked and	d monitored bill.		
NN AV AN COMMANDE A PLANTAGE AND A P	f"] o:	ek if None	
17. House(s) of Congress and Federal agencies contacted	∟ Cne	CK II NOBE	
House Senate			
18. Name of each individual who acted as a lobbyist in this iss	ое агеа		
Name	Covered Oi	ficial Position (if applicable)	New
Marguerite Donoghue Baxter			
Tricia Brooks			N
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			1 11110
19. Interest of each foreign entity in the specific issues listed of	n line 16 above	Check if None	
Signature			
Printed Name and Title Debra M. Hardy Havens, CEO			
Form 4.D-3 (Rev. 06/98)		PAGE3 of	5

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Registrant Name Capitol Associates, Inc.	Cliem Name <u>Columbia University</u>	
LOBBYING ACTIVITY. Select as many codes as no engaged in lobbying on behalf of the client during the rinformation as requested. Attach additional page(s) as t	eporting period. Using a separate page for each of	
15. General issue area code <u>HCR</u> (one per page	2)	
16. Specific lobbying issues		
H.R. 1388, Medicare Cancer Clinical Trial Coverage Act of S. 784. Medicare Cancer Clinical Trial Coverage Act of H.R. 1798, Clinical Research Enhancement Act of 1999 H.R. 2391, National Center for Research on Domestic F. H.R. 2621. Pediatric Research Initiative Act of 1999. T. H.R. 3110. Dr. Sydney E. Salmon Access to Cancer Research Subjects Protections Act of S. 1010, Medical Innovation Tax Credit for Clinical Tes S. 1267, Clinical Trials Disclosure Act of 1999. Track S. 1813, Clinical Research Enhancement Act of 1999.	1999. Tracked and monitored bill.  Tracked and monitored bill.  Icalth Disparity. Tracked and monitored bill.  racked and monitored bill.  search Clinical Trials Act of 1999. Tracked and mon  f 2000. Tracked and monitored bill.  ting Research. Tracked and monitored bill.  ted and monitored bill.	nitored bill.
17. House(s) of Congress and Pederal agencies contacte	d Check if None	
House Senate		
18. Name of each individual who acted as a lobbyist in	this issue area	
Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		
Becky Levis		
	TOTAL CONTROL	
<ol> <li>Interest of each foreign entity in the specific issues I</li> </ol>	isted on line 16 above	ı —
Signature		······
Primed Name and Title <u>Debra M. Hardy Havens, CEO</u>		
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Registrant Name Capitol Associates, Inc.	Ctient Name Columbia University	
	·	
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the reporting information as requested. Attach additional page(s) as needed.		
15. General issue area code <u>BCR (cont'd)</u> (one per page	)	
16. Specific lobbying issues		
H.R.1057, Medical Information Privacy Act. Tracked, monito medical research and informed consent.		
H.R. 1941, Health Information Privacy Act. Tracked, monitor medical research and informed consent. H.R. 2404, Personal Medical Information Protection Act of 1999		
to the conduct of medical research and informed consent H.R.2457, Genetic Nondiscrimination in Health Insurance and E	t. mployment Act of 1999. Tracked, monitore	
on provisions related to the conduct of medical research \$.1322. Genetic Nondiscrimination in Health Insurance and Em- on provisions related to the conduct of medical research	ployment Act of 1999. Tracked, monitored	d and reported
H.R. 2470, Medical Information Protection and Research Enhant provisions related to the conduct of medical research an	ement Act of 1999. Tracked, monitored at disformed consent.	•
H.R. 2878, Medical Privacy in the Age of New Technologies Act related to the conduct of medical research and informed		l on provisions
H.R.4585, Medical Financial Privacy Protection Act. Track conduct of medical research and informed consent.	ed, monitored and reported on provisions	related to the
5.573. Medical Information Privacy and Security Act. Track conduct of medical research and informed consent.	ed, monitored and reported on provisions	related to the
17. House(s) of Congress and Federal agencies contacted	Check if None	
House Senate	·	
18. Name of each individual who acted as a lobbyist in this issu	è area	
Name	Covered Official Position (if applicable)	New
Marguerite Donogisue Baxter		
*Becky Levin		
		11
<ol> <li>Interest of each foreign entity in the specific issues listed on</li> </ol>	line 16 above Streek if None	
Signature	шшшшиламарашрш	
Printed Name and Title Debra M. Hardy Havens, CEO		***************************************
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Registrant Name Capite	ol Associates, Inc.	Client Nan	ne <u>Columbia Universit</u>	Υ
Information Updat	te Page - Complete ON	LY where registration informat	ion bus changed.	
20. Client new address				
21. Cliera new priscipal pl	ace of husiness (if different	from tine 20)		erero en estado nadadadada
City		State/Zip (or Country)		
22. New general description	n of chent's business or acti			
			•	
Sara Milo		who is <b>no longer</b> expected to ac Tepp	t as a tobbyist for the clien	1
ISSUE UPDATE				
24. General lobbying issu	es previously reported tha	u no tonger pertain	· 	~6764
AFFILIATED ORGA 25. Add the following aff				
Name		Address		ce of Business te or country)
26. Name of each previou	sly reported organization	that is no longer affiliated with	the registrant or client	
		•	,	
FOREIGN ENTITIES 27. Add the following for				
Name	Address	Principal place of business (city and state or country)	Astronomy constribution for subbying activities	Ownership percentage in client
28. Name of each previou or affiliated organizati		y that no longer owns, <u>or</u> contr	rols, <u>or</u> is affiliated with th	e registrant, client
Signature		Dat	¢	
Printed Name and Title _	Debra M. Hardy Have	ens, CEO		
Form E.D-2 (Rev. 6/98)				Page <u>6</u> of <u>6</u>