

SECRETARY OF THE
06 AUG 17 PM 2.Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name

Caterpillar Inc.

2. Address

☐

Check if different than previously reported

100 NE Adams Street

Peoria

IL

61629-1430

USA

3. Principal place of business (if different than line 2)

City

State/Zip or Country

4a. Contact Name

b. Telephone number

c. E-mail

5. Senate ID #

Mr. Douglas P. Crew

309-6755248

crew_douglas_p@cat.com

8475-12

7. Client Name

☒ Self

6. House ID #

Caterpillar Inc.

31319000

TYPE OF REPORT8. Year 2006Midyear (January 1-June 30) ☒

OR

Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐

Termination Date _____

11. No Lobbying Activity ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13****12. Lobbying Firms**

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐\$10,000 or more ☐ ⇒ \$ _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐\$10,000 or more ☒ ⇒ \$ 1,160,000**14. REPORTING METHOD.** Check box to indicate expense accounting method. See instructions for description of options

- ☐ **Method A.** Reporting amounts using LDA definitions only
- ☐ **Method B.** Reporting amounts under section 6033(b)(8) of Internal Revenue Code
- ☒ **Method C.** Reporting amounts under section 162(e) of the Revenue Code

Signature

Douglas P. Crew

Date

8/14/2006

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

0000220300



Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

Supported H.R. 5386 - National Clean Diesel Initiative - appropriations for DERA (Diesel Emission Reduction Act of 2005) - a bill appropriating federal loans and grants to assist contractors' fleets of diesel equipment to reduce emissions.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U.S. House
U.S. Senate
U.S. Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl Volz	Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 8/14/2006



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

Support H.R. 4761 - Deep Ocean Energy Resources Act - a bill opening the outer continental shelf (Gulf of Mexico) to expand oil and natural gas development.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☐ Senate ☒ Other

U.S. House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl Volz	Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000220303



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

Support S-3711 - Gulf of Mexico Energy Security Act of 2006 - directs the Department of the Interior to begin oil and gas leasing in designated parts of the Gulf.

17. House(s) of Congress and Federal agencies contacted ☐ None ☐ House ☒ Senate ☒ Other

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl Volz	Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220304



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Registrant Name Caterpillar Inc.

Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement (one per page)

16. Specific lobbying issues

Support HR 4 - The Pension Protection Act of 2005 - to give workers more freedom to diversity, better access to investment choices, strengthening enforcement of federal pension protection laws.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220305



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Registrant Name Caterpillar Inc.

Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues

Oppose S.975 - Project Bioshield II Act of 2005 - seek revisions to bioterrorism bill pertaining to patent extensions on prescription drugs.

17. House(s) of Congress and Federal agencies contacted ☐ None ☐ House ☒ Senate ☒ Other

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220306



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR - Torts (one per page)

16. Specific lobbying issues

Support S.852 ("Fairness in Asbestos Injury Resolution Act of 2005") FAIR Act. A bill to create a fair and efficient system to resolve claims of victims for bodily injury caused by asbestos exposure and for other purposes.

17. House(s) of Congress and Federal agencies contacted ☐ None ☐ House ☒ Senate ☒ Other

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000220307

Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD - Trade (Domestic & Foreign) (one per page)

16. Specific lobbying issues

Support H.W. 208 and H.R. 579 - Legislation that would lift some U. S. travel and trade restrictions against Cuba
Support S.270 - Legislation to establish a process for imposing U. S. unilateral sanctions.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House and Senate
White House
Commerce Department
State Department
USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

ADDENDUM for General Lobbying Issue Area TRD

16. Specific lobbying issues (continued from previous page)

Support H.R. 3057 - Foreign Operations, Export Financing, and Related Programs Appropriations Act of 2006
Support the WTO Doha Development Agenda.
Promote Free Trade Agreements with Peru, Colombia, Panama, Egypt and New Zealand.
Support Russia PNTR
Support H.R. 5684, S3569 - U.S.-Oman Free Trade Agreement.
Support H.R. 5602, S.3495 - Vietnam PNTR.

000020309



Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD - Trade (Domestic & Foreign) (one per page)

16. Specific lobbying issues

Oppose S.14, S.295, S.377, S.984, H.R. 1498, H.R. 2208 - Legislation mandating increased duties on Chinese imports to offset the impact of an artificially-low currency or allow for new trade remedies against China.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House and Senate
White House
Commerce Department
State Department
USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220310



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

Support H.R. 5631 - Defense Appropriation - Service Life Extension Program, construction equipment, diesel engines.
Oppose Dod budget reprogramming - FMTV

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000220311



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

Support - Energy and water appropriation, FY07 appropriations for heavy truck engine program, ARES program H. R. 5427.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House
U. S. Senate
Office of Management and Budget
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220313



Printed Name and Title Douglas P. Crow, Manager, Government Affairs

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Registrant Name Caterpillar Inc.

Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code DEF - Defense (one per page)

16. Specific lobbying issues

Oppose H. R. 5122 - Defense Authorization - Berry Amendment.
Support S.2766 - Defense Authorization - Berry Amendment.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000220314

Registrant Name Caterpillar Inc.

Client Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

Support H. R. 5386 - Interior and Environment Appropriations - National Clean Diesel Initiative.

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U. S. House
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000220315

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs



Registrant Name Caterpillar Inc.

Client Name Caterpillar Inc.

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different than line 20)

City

State/Zip

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owners percent client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, client or affiliated organization

Signature



Date

8/14/2006

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

