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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name		New York Bankers Association		
2. Address <input type="checkbox"/> Check if different than previously reported		99 Park Avenue, 4th Floor, New York, NY 10016-1502		
3. Principal Place of Business (if different from line 2)				
City:		State/Zip (or Country)		
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #	
Roberta Kotkin	212-297-1684	rkotkin@nyba.com	29163-00	
7. Client Name <input checked="" type="checkbox"/> Self				6. House ID #
				31432000

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 80,000.00
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6 Internal Revenue Code

Method C. Reporting amounts under section 1 Internal Revenue Code

Signature _____

Printed Name and Title _____

LD-2 (REV. 6/98)

Registrant Name New York Bankers Association Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues Implementing regulations under the Gramm-Leach-Bliley Act, espe privacy, merchant banking, real estate brokerage & management; securities push-out provisions; Bankruptcy F (S.420, H.R.333); Federal budget and tax legislation (S.605, H.R.1220, H.R.1263, S.936, H.R.1836); high cos legislation & regulation (H.R.4250, S.2415, S.2405); regulatory burden relief legislation (S.576, H.R.3611, H. H.R.5640); Deposit Insurance Reform legislation (S.128, S.227, S.1945, H.R.557, H.R.746, H.R.1293, H.R.13 H.R.1899, H.R.3717); Interest on Business Checking Accounts (S.229, S.601, H.R.974, H.R.1009); Interest on Reserves legislation (H.R.974, H.R.1009); Small Business Administration Reauthorization Legislation (H.R.4 Regulations implementing the affiliatesharing provisions of the Fair Credit Reporting Act; Securities Fee Legi (H.R.1088,S.143); Pension Reform legislation, H.R.10; Regulations on interstate trust activities, trust taxation Credit System lending. (CONT'D)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate
U.S. House of Representatives
Federal Reserve Board
Federal Deposit Insurance Corporation
Internal Revenue Service
U.S. Treasury Department

Office of Thrift Supervision
Office of the Comptroller of th
Farm Credit System
Securities and Exchange Commis:

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
William J. Bosies, Jr.	
..... Roberta Kotkin	
..... Karen Jannetty	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Roberta Kotkin* Date 8/8/02

Printed Name and Title Roberta Kotkin, General Counsel & Chief Administrative Officer

New York Bankers Association
Federal Lobbying Report
Midyear (January 1- June 30, 2002)

Question 16. (continued)

Privacy legislation (H.R.2036, S.848, H.R.718, H.R.1017, S.30), Predatory Lending Legislation (H.R.2531, S Regulation (implementing HOEPA and HMDA), Legislation Prohibiting Real Estate Brokerage and Managen (H.R.3424, S.1839), CRA Regulations, anti-terrorism and anti-money laundering legislation (H.R.3162, the U PATRIOT ACT) and regulation; Economic Stimulus legislation (H.R.3090), Terrorism Insurance Legislation S.1743, S.1744, S.1751, S.2600), Small Business Disaster Assistance Legislation (H.R.3230, S.1499), the Far including New England dairy compact provisions (H.R. 2646, S.1731), Risk-Based capital regulations (Basel Corporate Governance Legislation (H.R.3763, S.2673), Treasury-General Government Appropriations (H.R.2 S.1398).

