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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Northrop Grumman Corporation			
2. Address ☐ Check if different than previously reported 1000 Wilson Blvd., Suite 2300 Arlington, VA 22209			
3. Principal Place of Business (if different from line 2) 1840 Century Park East Los Angeles, CA 90067 City: State/zip (or Country)			
4. Contact Name Mr. Jim Meltsner	Telephone (703) 875-5846	E-mail (optional) jim.meltsner@ngc.com	5. Senate ID # 29552-12
7. Client Name Self	☒ Self		6. House ID # 31641000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐	Less than \$10,000 ☐
\$10,000 or more ☐ ⇨ \$ _____ Income (nearest \$20,000)	\$10,000 or more ☒ ⇨ \$ <u>6,550,936</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt
	☐ Method A. Reporting amounts using LDA definition
	☐ Method B. Reporting amounts under section 6033(l) Internal Revenue Code
	☒ Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature Robert W. Helm Date 8/12/03

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

See Defense attachment.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Defense, White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Northrop Grumman Corporation
29551-12
31641000

LOBBYING ISSUES: DEFENSE ATTACHMENT

16. Specific lobbying issues

In the Defense Authorization (H.R. 1588, S. 1050) and Defense Appropriations Bills (H.R. 2658, S 1382):

B-2 Aft-Deck Durability; B-2 Secure Nuclear Communications; B-2A; Global Hawk Advanced Image Architecture; Global Hawk Maritime Demo Survivability; E-2C Kokee Site Initiative; E-2C Infrared Search and Track (IRST) Experimentation; E-2C Warfighting Enhancements; Advanced Hawkeye E2 Radar Mod; EA-6B Wing Replacement; EA-6B Improved Capability (ICAP) III Production; Fire Scout - RQ-8B; Airborne Laser Mine Detection (ALMDS); Rapid Airborne Mine Clearance (RAMICS); Coastal Battlefield Recon (COBRA); AN/SLQ-32(v) Improvements; MC2A; Composite High Speed Vessel; LHD-8 Performance Based Logistics; LPD-17 - Advanced Procurement; M3CAS; LHD-8; DDG-51; Aviation Ship Integration Center; Ship Modular Outfitting Facility; VA Class Submarine; Forward Area Air Defense; Ballistic Missile Technology; Joint Simulation System; Hunter Tactical Unmanned Aerial Vehicle (TUAV); Intercontinental Ballistic Missile (ICBM) Procurement/MM II Modifications; ICBM DemVal; Global Combat Support System (GCSS); Navy Solid State Laser (SSL) Shipboard Demonstration Study; Mobile Tactical High Energy Laser (MTHL); Next Generation Electronic Warfare; Joint Engineering Data Management Information and Control System (JEDMICS); Reliability/Maintainability Information System (REMIS); Enterprise Availability and Cost Optimization System (EACOS) (Aging Aircraft); Laser Eye Protection (LEP); High Energy Laser Test Facility Defensive Information Warfare Technology Applications - Auto. Intrusion; Joint Interface Control Officer (JICO) Support System; On-Board Oxygen Generating System (OBOGS)- F-16; OBOGS - EA-6B; APG-68(v)9; Night Vision Fusion; PVS-17; PVS-15; Tactical Unmanned Ground Vehicle; Viper Strike; National Center for Defense Robotics; ALQ-135 Band 1.5; Litening II for AV-8B; Integrated Family of Test Equipment (IFTE); CAT and RADCOM-ATE; CH-53 APR-39A(V)2; Lightweight Laser Designator Rangefinder (LLDR); Next Gen. LLDR; Tactical Directional Infrared Countermeasure (TADIRCM); Joint Threat Emitter (JTE); NEMESIS for EC-130 J; Army Tactical Exploitation System (TES); USMC Tactical Exploitation Group (TEG) TES Integration at Patuxent River; AQS-24 P3I; SPQ-9B Transmitter Backfit; AEGIS Integrated Bridge Backfit; Electronic Chart Display Information System (ECDIS-N) Backfit for Submarine Radar; SYS-2; Mobile Chemical Agent Detector (MCAD); Special Operations Remote Agent Detection (SORAD); Advanced SEAL Delivery System (ASDS); Littoral Combat System (LCS) - Mission Module; Naval Fire Network (Littoral Surveillance System (LSS)); Special Reconnaissance Capability; Covert SIGINT for Urban Warfare; All-Optical Underwater System (AOUWS); CENTURION; Ballistic Missile Guidance Technology; Next Generation Towed Array Technology; Fiber Optic Hull Mounted Array (FOHMA); Unmanned Aerial Vehicle (UAV) APY-6 Real-Time Precision Targeting; Ship Self Defense Free Electron Laser (FEL); F-16 Litening AT Pods; Air National Guard Threat Emitter (MRES); APN-241 on C-130H; LSS #3/#4; Longbow Fire Control Radar (FCR); A-10 Litening Pod; Space Based Radar; Kinetic Energy Interceptor (KEI); Advanced Wideband System (AWS); Global Hawk Maritime Demo Survivability; GH Slow Expenditures of 2003 Funds DD(X); LHA(R); Defense Integrated Military Human Resources System (DIMHRS); Buy-American provision; and out-sourcing issues.

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Outsourcing provisions in Defense (H.R. 2658, S 1382), Transportation & Treasury Appropriations Bills

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HOM (one per page)

16. Specific lobbying issues

Issues pertaining to arming commercial airliners with systems to defend against handheld heat-seaking missiles. FY03 DoD Supplemental (HR 1559, S762). FY04 Homeland Security Appropriations Bills (HR 2555).

Issues relating to the implementation of the SAFETY Act.

Issues pertaining to U.S. Coast Guard Deepwater program. FY04 Homeland Security Appropriations Bills (HR 2555). Coast Guard Reauthorization (HR 2443)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Departments of Homeland Security, Transportation, Defense.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

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Signature _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

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15. General issue area code AER (one per page)

16. Specific lobbying issues

James Webb Space Telescope. Earth Observing System (EOS-Aura). Space Interferometry Mission (SIM). VA-HUD Appropriations Bill (H.R. 2861).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations _____

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Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code MAR (one per page)

16. Specific lobbying issues

Any and all legislation pertaining to the Jones Act, title XI Loan Guarantees, and shipbuilding subsidies. DoD Appropriations Bill (H.R. 2658, S 1382); Commerce, Justice, State and the Judiciary Appropriations Bills (HR 2799)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, U.S. Maritime Administration (MARAD)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

R&E Tax Credit Extension (H.R. 463, S 664), Foreign Sales Corporation/ETI Repeal (H.R. 1769, H.R. 2896 and S 1475)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Treasury, USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Transportation Appropriations bill:
Service Life Extension Program for ASR-9/Mode-S, Long Range Radar Sustainment, Terminal Voice Switch Replacement Program (TVSR), Voice Recorder Replacement Program (VRRP)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, FAA, Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Kathy Ott	
Brenda Hull	
Maureen Kelly	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Export Import Bank Funding and Dual Use Provisions (Foreign Operations Appropriations: H.R. 2800, S 1426).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, EXIM Bank

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
B. Parker Miller	
Marsha Kwalwasser	
Steve Winchell	
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Registrant Name Northrop Grumman Corporation Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____
 22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Doc Syers, Devorah Griggs, Diane O'Toole

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, or affiliated organization

Signature  Date 01/11/02

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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