

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

AMERICAN SOCIETY OF ASSN EXECUTIVES

2. Address:

1575 I STREET, NW, 12TH FLOOR, WASHINGTON, DC 20005-1103

3. Principal place of business (if different from line 2):

4. Contact Name: JAMES L. CLARKE

Telephone: 2026262703

E-mail (optional): jclarke@asaenet.org

Senate ID #: 3390-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 380,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

* Monitored efforts pertaining to issue advocacy and matters related to campaign finance activity, and supported a provision within comprehensive legislation (H.R. 1316) to repeal "prior approval" restrictions on PAC solicitations by trade associations. In connection with lobbying and ethics reform, advocated against a ban on privately-sponsored congressional travel and in favor of exceptions that would allow members of Congress to attend legitimate association meetings or conferences in the U.S.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Advocated in favor of small business health plans (SBHPs), H.R. 525 and S. 406

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

Supported legislative efforts to extend and fund a program administered by the Department of Homeland Security that protects high risk nonprofit organizations.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

Advocated for legislation that would extend the Terrorism Risk Insurance Act (TRIA), particularly the Terrorism Insurance Backdrop Extension Act (H.R. 1153) and Terrorism Risk Insurance Extension Act (S. 467)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: POS (one per page)

16. Specific lobbying issues:

Monitored comprehensive postal reform legislation, and worked with coalition toward delaying implementation of rate changes for nonprofit mailers.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

HOUSE OF REPRESENTATIVES

SENATE

SENATE

U.S. Postal Service (USPS)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Monitored provisions in comprehensive pension reform legislation that could potentially impact deferred compensation plans and other benefits offered to association executives.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

* Called attention to provisions in the Senate tax reconciliation package pertaining to charitable reforms and incentives, with particular emphasis on provisions governing "supporting organizations." Monitored comprehensive federal tax reform proposals and the potential impact on the tax-exempt community, with particular emphasis on section 501(c)(6) organizations.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TEC (one per page)

16. Specific lobbying issues:

Regulatory activity attempting to curb commercial e-mail messages (spam).

17. House(s) of Congress and Federal agencies contacted:

Federal Trade Commission (FTC)

HOUSE OF REPRESENTATIVES

HOUSE OF REPRESENTATIVES

SENATE

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLARKE, JIM

Covered Official Position (if applicable): N/A

Name: HAY, ROBERT

Covered Official Position (if applicable): N/A

Name: VEST, CHRISTOPHER

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 06, 2007

Printed Name and Title: JAMES L. CLARKE, SENIOR VICE PRESIDENT OF PUBLIC P -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 06, 2007

Printed Name and Title: -