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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Northrop Grumman Corporation			
2. Address ☐ Check if different than previously reported			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Mr. Jim Meltsner	Telephone (703) 875-8546	E-mail (optional) jim_meltsner@mail.northgrum.com	5. Senate ID # 29551-12
7. Client Name ☐ Self	6. House ID # 31641000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date: _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ \$ _____ Income (nearest \$20,000)	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ 10,000 or more ☐ \$ <u>2,484,000</u> Expenses (nearest 20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of options. ☐ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of Internal Revenue Code ☒ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Robert W. Helm
Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

(See attachment)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, Department of Defense (Secretary Cohen, Deputy Secretary DeLeon, Secretary of the Navy, Secretary of the Air Force, Secretary of the Army), White House.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
(See attachment)		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

Defense Attachment

lobbed on the following issues: H.R. 4576, S. 2593, Defense Department Appropriations bill for FY 01; H.R. 4202, S. 2550, Department of Defense Authorization Bill for FY 01; AAR-54 MWS, ADC(X) Cargo Ship-Buy America Provision, Advances Airborne Surveillance (AAS) Advanced Seal Delivery System (ASDS), Advanced Mine Detection Sonar, Advanced Surface Machinery System (ICR), Airborne Mine Counter Measures, ALQ 131, ALQ-135, ALQ-165 (DECM Block I), Airborne Reconnaissance Low (ARL) (S. 1758 Alliance With Colombia and the Andean Region Act of 1999, FY 01 Military Construction bill, H.R. 4425, S. 2252 and FY 00 Supplemental Appropriations, H.R. 3508); AN/ALQ-164 Radar for B-1B, AN/APG Radar for F-16A/B, AN/APG-77 Radar for F-22, AN/SPQ-9B, AN/WLR-9, AN/TPS75, AN/TPS70, APN-241 Low Power Color Radar for C-130, Army Brigade Multi-INT Remote Workstation, Aerial Common sensor, ASR 11-12, E-3 AWACS- RSIP, B-2 Upgrades (Link 16, Center Instrument Display, In Flight Re-Planning, Smart Bomb Rack for 500 lbs. JDAM, EHF SATCOM, BAT on a Bomber); B-2 New Production; ATACMS II- BAT, BQM-34, BQM-74E, Broad Area Maritime Surveillance, C-130J, C-2, C-17, CAT/RADCOM, CIP, Classified Space & Defense Programs, Common Support Aircraft, CVN-76, CVN-77, DD-21, DIRCM, Discoverer 2, E-2C (Hawkeye 2000 retrofits, RMP risk reduction, RDT&E for composite propeller, Network Centric development test), EA6-B Upgrades, EMALS, ETRAC, F-5 Avionics Upgrade, F/A-18C/D (ECP for USNR and USMCR), F/A-18E/F, F-14 Upgrades, F-16 For UAE, Free Electron Laser, FHMUX, Firefinder, Generic Acoustic Simulation System, Global Hawk, IFTE, ISR Remote, Joint Robotics Program, Joint STARS (E-XC), Joint Stars (Radar Technology Insertion Program), JSF, LITENING II For ANG, USMC, Longbow Apache, Longbow Hellfire, LPD-17, LSTAT, MSTAR, MALD, MALL, ADCAP Mods, National Missile Defense Technology Competition, New Design SSN, NWIRP 387 from the Navy to the City of Dallas (H.R. 1353, S. 694), New Attack Submarine Machinery, NTACMS, RAH-66 Comanche, Remote Ordnance Neutralization System, Reusable Launch Vehicle, Semi-Automated IMINT Processing (SAIP), SBIRS High and Low, Self Propelled Acoustic Target, Section 845 Contracting Language, Semi-Automated IMINT Processing, SYS-2 Combat System For FFG-7s, Synthetic Aperture Sonar Technology, High Performance Waveform Generator, Surface & Shallow Water Mine Countermeasures, Surface Ship Torpedo Defense, T-38, Tactical UAV's, Tactical Exploitation System, THAAD, TPS-63, Trident-D5 Launcher, UCAV- Navy, V-22, Vehicular Intercommunications System (VIS, AN/VIC), VTOL UAV, Self-Propeller Acoustics Target (SPAT), Wideband Free Electron Laser ICRM system

Robert W. Helm
Susan C. Lavrakas
Mary Diane O'Toole
Jerry D. Woods
Diane Harper
Stephen L. Sutton
James R. Meltner
B. Parker Miller
Marsha Kwafwasser
Steve Winchell
Devora Griggs

Registrant Name Northrop Grumman Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Request that FAA conduct a production competition between the ASR 11 and ASR 12 and certify the ASR 12; new ASR 9 for Palm Springs Airport; Weather System Processor upgrades for ASR 9. (S. 2720, H.R. 4475 FY 01 Transportation Appropriations Bill). Coast Guard HU-25 Mods., ASR-9 Mode S service Life Extension Plan

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
James R. Melisner		☐
Bob Fehr		
Parker Miller		
Diane Harper		
Steve Sutton		
Steve Winchell		☐
Jerry Woods		
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____
Printed Name and Title _____

Registrant Name Northrop Grumman Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Lobbied on the following issues: H.R. 835, S.680, R&E Tax Credit Extension (IRC Sect. 41);
IRS Systems Modernization (Treasury Appropriations Bill, H.R. 4871, S.2900);
The Defense Jobs and Trade Promotion Act, H.R. 796, S. 1165
Foreign Sales Corporation Provisions, H.R. 4986, PL 106-519

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Treasury, Commerce, Defense, USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Robert W. Helm		☐
Susan C. Lavrakas		
Mary Diane O'Toole		☐
Jerry D. Woods		
Diane Harper		☐
Stephen L. Sutton		☐
James R. Meltner		☐
B. Parker Miller		☐
Marsha Kwalwasser		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Northrop Grumman Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Lobbied on the following issues: H.R. 4811, S. 2522, Foreign Operations Appropriation Bill,
EXIM Bank Funding; Customs Modernization Funding (ACE) H.R. 4871, S. 2900, FY 01 Treasury Postal
Appropriations.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, EXIM Bank, Treasury, Customs Service, OMB.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Robert W. Helm		☐
Susan C. Lavrakas		
Mary Diane O'Toole		☐
Jerry D. Woods		
Diane Harper		☐
Stephen L. Sutton		☐
James R. Meltsner		☐
B. Parker Miller		☐
Marsha Kwalwasser		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Northrop Grumman Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address _____

21. Client new principal place of business (if different from line 20)

City _____

State/Zip (or Country) _____

22. New general description of client's business or activities _____

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client.

J. Paris Fisher

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organizations(s)

Name	Address	Principal Place of Business (city and state of country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client _____

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization _____

Signature _____

Robert W. Hehn

Date _____

2/12/01

Printed Name and Title _____

Robert W. Hehn, Corporate Vice President, Government Relations