

99 AUG 18 PM 2:10

LOBBYING REPORT H.D.

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Chicago Mercantile Exchange			
2. Address ☐ Check if different than previously reported 1299 Pennsylvania Avenue, Suite 1275			
3. Principal Place of Business (if different from line 2): City: Washington State/Zip (or Country): DC 20004			
4. Contact Name Gregg Ward		Telephone (202) 638-3838	5. Senate ID # 9033-12
6. Contact Email wardcme@erols		7. Senate ID # 31540000	

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OK Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐	Less than \$10,000 ☐
\$10,000 or more ☐ $\Rightarrow$ \$ <u>5</u> Income (nearest \$20,000)	\$10,000 or more ☒ $\Rightarrow$ \$ <u>420,000</u> Expense (nearest \$20,000)
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.	
☒ Method A. Reporting amounts using LDA definitions only	
☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code	
☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code	

Signature Carmen M. Frazier

Printed Name and Title Carmen M. Frazier, Vice President, Government Relations

LD-1 (REV. 6/98)

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Registrant Name CME Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues

* Reform of the Commodity Exchange Act

* Mandatory Price Reporting

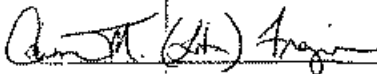
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

United States Senate
United States House of Representatives
United States Department of Agriculture
Commodity Futures Trading Commission
Securities and Exchange Commission
Department of the Treasury
Federal Reserve Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	Now
Gregg Ward	Sr. V.P. Government Relations	☐
Lita Frazier	V.P. Government Relations	☐
Jill Maycumber	Manager, Regulatory & Legislative	☒
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 8/16/99

Printed Name and Title Carmen M. Frazier, Vice President, Government Relations

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Registrant Name CME Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R. 10 Financial Services Modernization Act
Provisions relating to the definition of traditional banking products

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

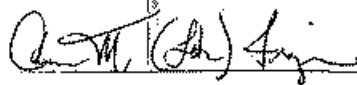
United States Senate
United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Gregg Ward	Sr. V.P. Government Relations	☐
Lita Frazier	V.P. Government Relations	☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date 8/16/99

Printed Name and Title Carmen M. Frazier, Vice President, Government Relations

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