

SYRACUSE UNIVERSITY

OFFICE OF GOVERNMENT AND COMMUNITY RELATIONS

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January 7, 2002

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

To Whom It May Concern:

Enclosed is the year end lobbying report for Syracuse University for the period July 1 – December 31, 2001.

If you have any questions, please feel free to contact me at (315) 443-3919.

Sincerely,

Preston Gilbert  
Director, Government Relations



2-17-01

RECEIVED  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>Syracuse University</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>2-212 Center for Science &amp; Technology</u>			
3. Principal Place of Business (if different from line 2) City: <u>Syracuse</u> State/Zip (or Country) <u>New York 13244-4100</u>			
4. Contact Name <u>Preston Gilbert</u>	Telephone <u>(315) 443-3919</u>	E-mail (optional) <u>psgilber@syr.edu</u>	5. Senate ID # <u>37540-</u>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <u>305800</u>

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇔ Termination Date \_\_\_\_\_ 11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>30,000</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description c</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA defin:</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60: Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>

Signature Preston Gilbert

Printed Name and Title Preston Gilbert, Director Government Relations



Registrant Name Syracuse University Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues

See attachment AER

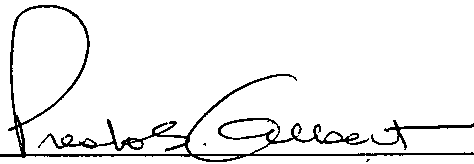
17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman Walsh  
NASA HQ Contracts Officer Mary Beth Rigby

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations



AER: Aerospace

16. Specific Lobbying Issues

- A. HR 2620 VA/HUD Appropriations Bill –Seeking an appropriation for continuation of the “Advanced Interactive Discovery Environment (AIDE) for Engineering Education” project at Syracuse University for FY 2002 from Representative Walsh.
- B. HR 2620 VA/HUD Appropriations Bill - Advocacy for increased funding in NASA research budget in the offices of Congressman Walsh, Boehlert and Sweeney.
- C. NASA HQ – Meetings with contract administration staff to frame present funding contracts as well as future funding of NASA AIDE.





Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code CAW (one per page)

16. Specific lobbying issues

See attachment CAW

17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman James Walsh  
Office of Congressman John Sweeney  
Office of Congressman Sherwood Boehlert  
David Stonner, National Science Foundation Office of Legislative Affairs  
Sven Kaiser, EPA Outreach & Special Projects Office  
David Goldston, COS House Science Committee  
Chris Hessler, Professional Staff Member Senate Environment and Public Works

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations



CAW: Clean Air and Water

16. Specific Lobbying Issues

- A. HR 2620 VA/HUD Appropriations Bill – Seeking an appropriation for the “Northeast Environmental Systems Institute” (NESI) for Syracuse University in EPA Appropriations for FY 2002 (\$3 million requested from Congressman Walsh. Informing House Science Committee staff of the continuing effort on the funding for NESI.
- B. HR 2620 VA/HUD Appropriations Bill – Advocacy for increased funding for the national appropriation for the EPA Environmental Finance Center Program in Congressman Walsh’s office. The goal was to increase funding from in the program from its FY 2001 level of \$1.3 million to \$2.0 million in FY 2002.
- C. S. 350 EPA Brownfields Program – Communicated our support and interest for this bill to the staff of Senate Environment and Public Works and to the House Science Committee. The University hosted EPA Brownfields staff on campus to discuss EPA’s brownfields philosophy and operations.
- D. Senate Environment and Public Works Committee – Meetings with Senate Committee staff to discern legislative priorities in the last half of this session of Congress.
- E. Water 21 - Discussions with staff in Congressman’s Boehlert’s office about the Water Infrastructure Network’s national study and the subsequent proposed legislation called Water –21.



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code ECN (one per page)

16. Specific lobbying issues

See attachment ECN

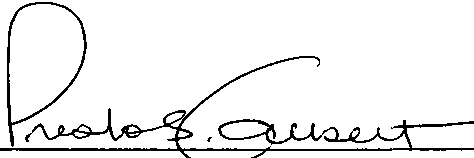
17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman James Walsh  
Dan Maffei, Staff House Ways and Means Committee

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations



ECN: Economics and Economic Development

16. Specific Lobbying Issues

- A. HR 2620 VA/HUD Appropriations Bill - Seeking an appropriation from Congressman Walsh for Hinds Hall Renovations on the campus of Syracuse University. The project involves securing \$1.0 million towards the development of an economic stimulus laboratory for area businesses. The funding would come from the Economic Development Initiative program in the HUD budget.
- B. HR 2620 VA/HUD Appropriations Bill – Advocacy for increased funding for the NSF PFI program in Mr. Walsh and Mr. Boehlerts offices.
- C. HR 2216 2001 Supplemental Appropriations Act – Inquired about the status of funding for Workforce Training funds in the 2001 supplemental appropriations bill. At the same time, we inquired whether level funding would be possible in the 2002 appropriation.





Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

See attachment EDU

17. House(s) of Congress and Federal agencies contacted

Check if None

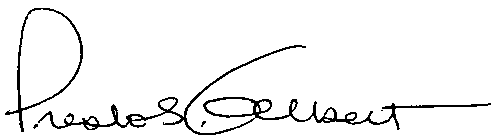
See attachment EDU

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations



EDU: Education

16. Specific Lobbying Issues

- A. US Department of Education Office of Educational Research and Improvement (OERI) – Continuing advocacy for authorization and funding of the Office of Educational Research and Improvement and Syracuse University’s ERIC Clearinghouse contract with the Department of Education. Meetings with Representative Owen, McCarthy and Walsh staff. Hosted Congressman Walsh’s Education staff person on a tour of the ERIC clearinghouse on campus. Held a reception in the Rayburn House Office Building for Congressional staff from the NYS delegation and Department of Education Staff.
- B. HR 3061 Labor HHS Appropriation Bill – Advocacy for an increase funding in the Pell Grant Program from the present \$3,750 to \$4,000 the FY 2002 appropriation with NYS congressional staff.
- C. HR 1349 Repeal of the 50 % limitation on telecommunication courses for financial aid - Contact to house committee staff to discuss potential for passage of this bill.
- D. HR 3061 Labor HHS Appropriations Bill – Request for an appropriation of \$100,000 for the NEA to support publication of the NYS Encyclopedia from Congressman Walsh.
- E. HR 1992 Internet Equity and Educational Act 2001 – Met with congressional staff from Central and Upstate New York to express our interest in the bill.
- F. HR 471/ S 773 Campus Fire Safety Right to Know Act - Inquiry into status of the bill with House Education and the Work Force Committee staff.
- G. HR 2145/ S399 College Fire Protection Act – Inquiry of House Education and the Work Force committee staff concerning the future of the bill.
- H. HR 3061 Labor HHS Appropriation bill – Request for appropriation of \$100,000 to support Syracuse University 12 Point Plan for Substance Abuse from Mr Walsh.
- I. FED UP - Response to Representative Buck McKeon for his committee solicitation of ideas for changes for burdensome regulations in the Higher Education Act. Our recommendations were submitted to the committee.

17. Houses of Congress and Federal Agencies Contacted

Office of Congressman James Walsh  
Office of Congresswoman Carolyn McCarthy  
Office of Congressman Sherwood Boehlert  
Office of Congressman John Sweeney



Office of Congressman Maurice Hinchey  
Office of Congressman Major Owen  
Office of Senator Charles Schumer  
Office of Senator Hillary Clinton  
Office of Assistant Secretary of Education Grover Whitehurst  
Staff of House Education and Workforce Committee



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code GAM (one per page)

16. Specific lobbying issues

See attachment GAM

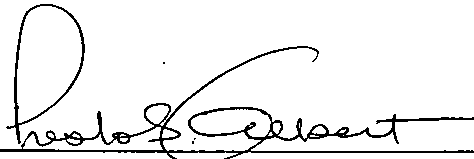
17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman James Walsh  
Office of Congressman Sherwood Boehlert  
Office of Congressman Anthony Weiner  
Office of Congressman Jerrold Nadler  
Office of Senator Charles Schumer  
Office of Senator Hillary Clinton

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director of Government Relations





**GAM: Gambling**

**16. Specific Lobbying Issues**

- A. HR 1110H / S. 718 Student Athlete Protection Act - Maintaining our contact with NYS delegation offices relative to our support of both of these bills and monitoring their progress.
- B. HR 641 / S. 338 National Collegiate and Amateur Athletic Protection Acts – Monitoring the status of both these bills and stating our opposition to them in both houses of Congress.



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

See attachment TAX

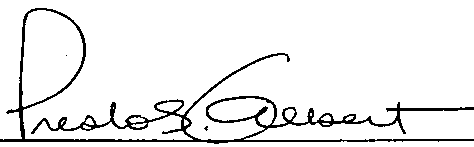
17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman James Walsh  
Office of Congressman Sherwood Boehlert  
Office of Congressman Charles Rangel  
House Ways and Means Committee Press Secretary Dan Maffei

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature:  Date: 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations



**TAX: Taxation**

**16. Specific Lobbying Issues**

- A. HR 7 Community Solutions Act – Continued advocacy for the legislation, particularly the provision for IRA rollover; actions taken included communicating to committee staff and member staff.**



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

See attachment IMM

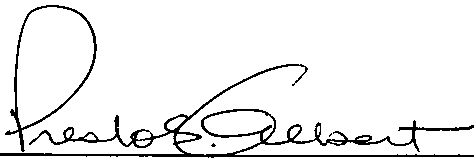
17. House(s) of Congress and Federal agencies contacted  Check if None

Staff of House Judiciary Committee  
Staff of House Select Intelligence Committee  
Staff of House International Relations Committee  
Staff of House Education and Workforce Committee

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 12/31/01

Printed Name and Title Preston S. Gilbert, Director Government Relations





IMM: Immigration

16. Specific Lobbying Issues

- A. HR 1362 USA PATRIOT Act - Monitoring legislation and seeking support for provisions being negotiated in conference.
- B. S. 1715/1765 Frist Bill – Review of provisions and discussion with congressional staff about details of bill.
- C. S. 1749 Kennedy Bill – Review of provisions and discussion with congressional staff about details of bill.
- D. S. 1602 Chemical Hazard Act of 2001 – Discussion of bill provisions with committee staff for clarification purposes.
- E. HR 3610 Tauzin Bill - Waiting for text of bill from GPO.
- F. State Department China Visa Denials - Discussions with Senators and Representatives about the refusal of visas for Chinese students and research assistants prior to the start of the fall semester. Participation with AAU, ACE and other educational groups with State Department officials about process and criteria for refusal and appeal.



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

See attachment SCI

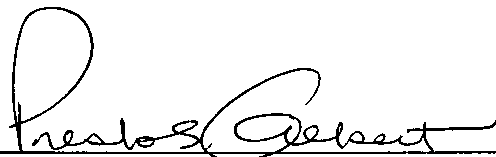
17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Congressman James Walsh  
Office of Congressman Sherwood Boehlert  
David Goldston, House Science Committee COS  
Office of Congressman Grucci  
Office of Congressman Weiner  
Office of Congressman Israel

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date: 12/31/01

Printed Name and Title Preston S. Gilbert, Director of Government Relations



SCI: Science

16. Specific Lobbying Issues

- A. HR 1472 NSF Reauthorization – Meetings with Science Committee staff inquire about pending reauthorization legislation.
- B. HR 1858 / S. 1262 Math / Science Partnership – Advocating for this legislation and the provision that the funding and operation of it be vested with the National Science Foundation. Communicated our support to staff of Representative Boehlert, Grucci, Weiner and Israel.
- C. Advocacy for increased funding of basic science research in NSF, DOE, NOAA and other federal agencies in FY 2002 appropriations bills with Congressman Walsh and Sweeney staff.
- D. HR 2148 Office of Technology Assessment Reauthorization – Meeting with David Goldston Chief of Staff of House Science Committee to support the reauthorization legislation.

