

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

NYBA

New York Bankers Association

99 Park Avenue

New York, NY 10016-1502

212.297.1684 Fax 212.297.1622

Roberta Kotkin
General Counsel

99 AUG -9 AM 10:13

August 6, 1999

via FEDERAL EXPRESS

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, D.C. 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, D.C. 20510

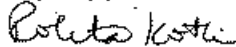
RE: Mid-Year Lobbying Report

Dear Sir or Madam:

Enclosed for filing are the following:

The New York Bankers Associations 1999 Mid-Year Federal Lobbying Report (January 1 -
June 30, 1999) Form LD-2.

Very truly yours,



Roberta Kotkin
General Counsel

/rk
Enclosures

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
99 AUG -9 AM 10:13

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York Bankers Association			
2. Address <input type="checkbox"/> Check if different than previously reported 99 Park Avenue, New York, New York 10016-1502			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Roberta Kotkin	Telephone 212-297-1684	E-mail (optional)	5. Senate ID # 29163-00012
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31432000

TYPE OF REPORT B. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ <u>60,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____

Printed Name and Title _____

Registrant Name New York Bankers Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

H.R. 10, H.R. 665, S. 900, S. 753 (Financial Modernization Legislation); no bill number available (Federal Budget & Tax Legislation); H.R. 833, S. 625 (Bankruptcy Reform Legislation); H.R. 1585, S. 576 (Regulatory Burden Relief Legislation); H.R. 2488, S. 1429 (Tax Reform Legislation); H.R. 775, S. 1138, S. 96 (Year 2000 Liability Legislation); Small Business Administration Loan Program; Credit Union Regulations; Privacy Legislation and Regulation; Electronic Transfer Accounts; Loan Loss Reserves; Financial Abuse of the Elderly. (Lobbying efforts with respect to the above-referenced bill include all provisions of such bills.)

17. House(s) of Congress and Federal agencies contacted Check if None

Senate; House of Representatives; Federal Reserve Board; Federal Deposit Insurance Corporation; Treasury Department; National Credit Union Administration; Small Business Administration; Securities and Exchange Commission; National Council of Economic Advisors.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Michael P. Smith		<input type="checkbox"/>
William J. Bosies, Jr.		<input type="checkbox"/>
Elizabeth S. Gunther		<input type="checkbox"/>
Roberta Kotkin		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Roberta Kotkin Date 8/6/99
Printed Name and Title Roberta Kotkin, General Counsel