

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE

02 MAY 31 PM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name		National Association for Equal Opportunity in Higher Education (NAFEO)	
2. Address		<input type="checkbox"/> Check if different than previously reported	
8701 Georgia Avenue, Suite 200			
3. Principal Place of Business (if different from line 2)			
City: Silver Spring	State/Zip (or Country)	MD	20910
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Bea Pace Smith	(301) 650-2440 X107		3279700
7. Client Name	<input checked="" type="checkbox"/> Self		6. House ID #
			3279700

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____</p> <p align="center">Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ <u>80,000.00</u></p> <p align="right">Expenses (nearest \$)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature

Printed Name and Title Dr. Frederick S. Humphries, CEO and President (2/14/0

Registrant Name NAFEO #32797000 Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

H.R. 1509: The National Media Campaign to Prevent Underage Drinking of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director
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.....

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature Frederick S. Humphries Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

- Public Law 107-116, Departments of Labor, Health and Human Service and Related Agencies. Appropriations Act, 2002, Education, and Health and Human S Programs
 - Public Law 107-76, Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002, Higher Education Research Programs.
 - Public Law 107-73, Department of Veterans Affairs and Housing and Development, and Independent Agencies
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

Executive office of the President

Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues (Continued)

- Appropriations Act, 2002, NSF and NASA Higher Education Research
- Public Law 107-63, Interior Appropriations Act, 2002, Historic Preservation
- Public Law 107-117, Defense Appropriations Act, 2002, Minority Involvement
- Public Law 107-77, Department of Commerce Appropriations Act, 2002, University Research Centers.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

Executive office of the President

Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/01
Ms. Bea Pace Smith	Federal Relations Director

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date 2/12/02

Printed Name and Title

Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

Case 2001-014: The Federal Acquisition Regulation (FAR) Amendeme
Published December 20, 2001 (65FR80256), Regarding Modifications
to the General Standards for Integrity and Business Ethics for Co

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The General Services Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R. 1606, Amendment to Section 507 of the Omnibus Parks and Publ Lands Management Act of 1996.

(Testimony at November 1, 2001 Hearing on Historic Preservation f Higher Education Institutions)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

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Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date

2/12/02

Printed Name and Title

Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Recommendations from the Higher Education Community for the FED-UI Initiative of the Subcommittee on 21st Century Competitiveness (to address the increasingly complex web of education regulations), Co on Education and the Workforce.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Mrs. Wilma J. Roscoe

Interim President 7/1 - 12/31/0

Ms. Bea Pace Smith

Federal Relations Director

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000 Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Gramm-Leach-Bliley Act Privacy Safeguards Rule, 16 C.F.R. Part 31.2
P.L. 106 - 102: Comments on the FTC's proposed rule regarding administrative, technical, and physical information safeguards for customer records of financial institutions.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/01
Ms. Bea Pace Smith	Federal Relations Director
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature Frederick S. Humphries Date 2/12/02
Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

- 10/31/01 Testimony on Tracking International Students in Higher Education Policy Options and Implications, from the American Council on Education, NAFEO, et al.
- Related Correspondence (10/5/01) to Senator Diane Feinstein

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

- U.S. House of Representatives, Committee on Education and the Workforce, Subcommittee on 21st Century Competitiveness and Select Education
- U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/01
Ms. Bea Pace Smith	Federal Relations Director

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the :
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information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

H.R. 2975 - Patriot Act of 2001: Recommendations Regarding Full F
Funding for the Cooperative Interagency Program Regulating Intern
Students (CIPRIS)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

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Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date 2/12/02

Printed Name and Title

Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 2219: Amendment to the HOPE Scholarship Tax Credit.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/0
Ms. Bea Pace Smith	Federal Relations Director
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Frederick S. Humphries

Date

2/12/02

Printed Name and Title

Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific lobbying issues

S.414 and H.R. 1034: The National Telecommunications and Information Administration Organization Act (to establish a digital Network technology program for higher education institutions).

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

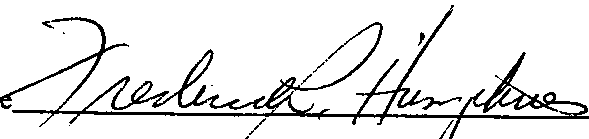
U.S. House of Representatives

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Mrs. Wilma J. Roscoe	Interim President 7/1 - 12/31/01
Ms. Bea Pace Smith	Federal Relations Director

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature  Date 2/12/02

Printed Name and Title Dr. Frederick S. Humphries, President (as of 1/01/02)

Registrant Name NAFEO #32797000

Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Dr. Henry Ponder, former CEO and President
(Retired as of June 30, 2001)

ISSUE UPDATE24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or cc

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registra affiliated organization

Signature

Frederick S. Humphries

Date

2/14/02

Printed Name and Title

Dr. Frederick S. Humphries, CEO and President

