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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Benefits Council (formerly the Association of Private Pension & Welfare Plans)			
2. Address <input type="checkbox"/> Check if different than previously reported 1212 New York Avenue, N.W. Suite 1250			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20005-3987			
4. Contact Name James A. Klein		Telephone 202-289-6700	E-mail (optional) jklein@abostaff.org
5. Senate ID # 4675			6. House ID #
7. Client Name <input type="checkbox"/> Self			

TYPE OF REPORT 8. Year _____ Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> \$ _____ <small>(Income (nearest \$20,000))</small>	\$10,000 or more <input checked="" type="checkbox"/> \$ 80,000.00 <small>Expenses (nearest \$20,000)</small>
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.	
<input type="checkbox"/> Method A. Reporting amounts using LDA definitions only	
<input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code	
<input type="checkbox"/> Method C. Reporting amounts under section 162(c) of the Internal Revenue Code	

Signature _____
Printed Name and Title James A. Klein, President

LD-2000-0000

PAGE 1 of 3

Registrant Name American Benefits Council Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

United States Senate
United States House of Representatives
United States Department of Labor
United States Treasury
Pension Welfare Benefits Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
James A. Klein		<input type="checkbox"/>
Lynn D. Dudley		<input type="checkbox"/>
James M. Delaplane, Jr.		<input type="checkbox"/>
John C. Scott		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature James A. Klein Date 13 Feb 01
Printed Name and Title James A. Klein, President

Registrant Name American Benefits Council Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

United States Senate
United States House of Representatives
United States Department of Labor
United States Treasury
Health Care Financing Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
James A. Klein		<input type="checkbox"/>
Maria M. Ghazal		<input type="checkbox"/>
Paul W. Dennett		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature James A. Klein Date 13 Feb 01
Printed Name and Title James A. Klein, President

American Benefits Council (formerly the APPWP)

2000 Retirement Legislative/Lobbying Activities

July 1 - December 31, 2000

H.R. 739, the Retirement Account Portability Act of 1999
H.R. 833, the Bankruptcy Reform Act of 1999
H.R. 1102, the Comprehensive Retirement Security and Pension Reform Act of 1999
H.R. 1176/S. 659, the Pension Right to Know Act of 1999
H.R. 1213, the Employee Pension Portability and Accountability Act of 1999
H.R. 1287 (no title)
H.R. 1381, the Rewarding Performance in Compensation Act of 1999
H.R. 1546, the Retirement Savings Opportunity Act of 1999
H.R. 1590, the Retirement Security Act of 1999
H.R. 1793, the 21st Century Retirement Security Act
H.R. 2190, the Secure Assets for Employees (SAFE) Plan Act of 1999
H.R. 2488, the Financial Freedom Act of 1999
H.R. 2553, the Family Investment Retirement Savings Tax (FIRST) Credit Act of 1999
H.R. 2614, the Small Business Reauthorization Bill
H.R. 2759, the Older Workers Pension Protection Act of 1999
H.R. 2871, the Youth Financial Education Act of 1999
H.R. 2902, the Pension Benefits Protection and Preservation Act of 1999
H.R. 3047, the Pension Reduction Disclosure Act of 1999
H.R. 3081, the Wage and Employment Growth Act of 1999
H.R. 3246, the Small Business Pension Accessibility Act of 1999
H.R. 3462, the Wealth Through the Workplace Act of 1999
H.R. 4182, the Worker Economic Opportunity Act of 2000
H.R. 4972, the Universal Employee Stock Option Act of 2000
H.R. 5542, the Taxpayer Relief Act of 2000
H.R. 5546, the Retirement Enhancement Revenue Act of 2000
H.R. 5549, the Retirement Enhancement Act of 2000

S. 60, the Enhanced Savings Opportunity Act
S. 625, the Bankruptcy Reform Act of 1999
S. 646, the Retirement Savings Opportunity Act of 1999
S. 741, the Pension Coverage and Portability Act of 1999
S. 1300, the Older Worker Pension Protection Act of 1999
S. 1429, the Taxpayer Refund Act of 1999
S. 1541, the 401(k) Full Disclosure Act of 1999
S. 1600, the Older Workers Pension Protection Act of 1999
S. 1640, the Pension Benefits Protection and Preservation Act of 1999
S. 1708, the Pension Reduction Disclosure Act of 1999
S. 1863, the Small Employer Pension Start-Up Incentive Act of 1999
S. 1979, no title
S. 2373, the Worker Economic Opportunity Act of 2000
S. 2671, the Pension Opportunities for Women's Equality in Retirement Act
S. 2922, the Pension Reform and Simplification Commission Act
S. 3265, no title

Flexible Workforce

H.R. 1525, The Independent Contractor Clarification Act
H.R. 2298, The Equity for Temporary Workers Act of 1999
H.R. 2299, The ERISA Clarification Act of 1999
H.R. 3490, The Professional Employer Organization Workers Benefits Act of 1999
H.R. 4962, The ERISA Benefits Eligibility Act of 2000
S. 1530, The Family and Medical Leave Clarification Act
S. 2946, The ERISA Benefits Eligibility Act of 2000
S. 2979, The Professional Employer Organization Workers Benefits Act of 2000

Regulatory:

IRS, DOL, TREASURY, EEOC Projects Regarding Cash Plans
IRS Regulations under Code Section 411(d)(6)
IRS Notice 2000-3, 401(k) Safe Harbor, Multiple Use Test, and Merger & Acquisition Issues
DOL Voluntary Fiduciary Correction Program
IRS Notice 2000-14, Review of New Comparability Plans
IRS Regulations on Transportation Fringe Benefits
DOL Employee Benefit Plan Annual Reporting, Form 5500
IRS Regulatory Guidance Priority List for 2000
DOL Prohibited Transaction Exemption for Cross-Traded Securities
IRS Regulations related to Repeal of Code Section 415(e)
DOL Proposed Prohibited Transaction Exemption for Y2K Loans
IRS Stock Option Information Reporting
IRS Proposed Regulations on New Comparability Plans
DOL Audits on Payment of Plan Expenses out of Plan Assets
IRS Proposed Regulations on Loans from Qualified Plans
IRS Regulatory Guidance Priority List 2001
IRS Withholding of Stock Option Transactions
DOL Request for Information on Reporting and Disclosure Obligations
DOL/IRS Guidance on ESOP Refinancing
IRS Technical Advice Memorandum on Eligibility Exclusion Language

American Benefits Council (formerly the APPWP)

July 1 - December 31, 2000

Health Care Legislative/Lobbying Activities

Legislative:

S. 6 -- Patients' Bill of Rights Act of 1999
S. 10 - Health Protection and Assistance for Older Americans Act
S. 202 -- Medicare Early Access Act of 1999
S. 240 -- Patients' Bill of Rights Act of 1999
S. 326 - Health Care Research Quality Act of 1999 and Patient Bill of Rights Act and Generic Information Nondiscrimination in Health Insurance Act of 1999
S. 374 - Promote Responsible Managed Care Act of 1999
S. 578 - Health Care Personal Information Nondisclosure Act of 1999
S. 881 - Medical Information Protection Act of 1999
S. 1256 -- Patients' Bill of Rights Act of 1999
S. 1344 -- Patients' Bill of Rights Act of 1999
S. 1650 -- Department of Labor, Health and Human Services and Related Agencies Appropriations Act
S. 1695 -- Medicare Preservation and Improvement Act of 1999

HR 216 -- Access to Quality Care Act of 1999
HR 358 -- Patients' Bill of Rights Act of 1999
HR 448 -- Patient Protection Act of 1999
HR 719 -- Managed Care Reform Act of 1999
HR 1136 -- Affordable Health Care Act of 1999
HR 1304 -- Quality Health-Care Coalition Act of 1999
HR 2455 -- Consumer Health and Research Technology (CHART) Protection Act
HR 2470 -- Medical Information Protection and Research Enhancement Act of 1999
HR 2824 -- Health Care Quality and Choice Act of 1999
HR 2926 -- Comprehensive Access and Responsibility in Health Care Act of 1999
HR 2990 -- Bipartisan Consensus Managed Care Improvement Act of 1999
HR 3037 -- Department of Labor, Health and Human Services and Related Agencies Appropriations Act
HR 5122 -- Health Care Quality Improvement Act

Regulatory:

- Department of Labor Regulations on ERISA Claims Procedure Rule
- Department of Health and Human Services Proposed Regulations on Confidentiality of Health Information
- Department of Labor Request for Information on Disclosure Requirements
- Departments of Labor, Health and Human Services and IRS Interim Final Regulation on Nondiscrimination in Health Coverage in the Group Market and Guidance on Wellness Programs
- IRS Proposed Regulation on Transferring Excess Pension Assets to Health Benefits Accounts
- IRS Final Regulation on COBRA
- EEOC Ruling on Coverage of Prescription Contraceptive Drugs