

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENA

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Union Pacific Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 600 Thirteenth Street, N.W., #340, Washington, D.C. 20005			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Mary E. McAuliffe	Telephone 202-662-0110	E-mail (optional) marymcauliffe@up.com	5. Senate ID # 38995-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 32017000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$1,925,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code
	<input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title **Mary E. McAuliffe, Vice President-External Relations**



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- HR1140 - Railroad Retirees and Survivors Improvement Act (Support)
- S697 - Railroad Retirees and Survivors Improvement Act (Support)
- HR1020 - Railroad Track Modernization Act (Support)
- HR2329 - High Speed Rail Investment Act (Monitor)
- S250 - High Speed Rail Investment Act (Monitor)
- S1178 - Dept. of Transportation Appropriations Act (Oppose the imposition of rail safety user fees. Monitor for proposals that would reregulate the rail industry.)

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- United States Senate
- Department of Transportation
- White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	
Katherine W. Maness	
Susan Auther Andres	
Christian W. Ferry	
Joseph R. Bateman, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (#2) (one per page)

16. Specific lobbying issues

HR2299 - Department of Transportation Appropriations Act (Oppose the imposition of railroad safety user fees. Monitor for proposals that would reregulate the rail industry)

~~S948 - Community Rail Line Relocation Assistance Act (Support)~~

S1103 - Railroad Competition Act of 2001 (Oppose)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
United States Senate
Department of Transportation
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	
Katherine W. Maness	
Susan Auther Andres	
Christian W. Ferry	
Joseph R. Bateman, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S.388 - National Energy Security Act (Support)

HR 4 - SAFE Act of 2001 (Support repeal of railroad diesel tax. Support funding new technology to reduce diesel emissions. Oppose further CAFE standards. Overall support of the bill.)

S 597 - SAFE Act of 2001 (Support)

17. House(s) of Congress and Federal agencies contacted

Check if None

**U.S. House of Representatives
United States Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe - Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

S.388 - National Energy Security Act (Support)

HR 4 - SAFE Act of 2001 (Support repeal of railroad diesel tax. Support fundin new technology to reduce diesel emissions. Oppose further CAFE standar

~~Overall support of the bill.)~~

S 597 - SAFE Act of 2001 (Support)

HR 2323 - National Electricity and Environmental Technology Act (Support)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe - Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

HR 141 - Surface Transportation Board Reform Act of 2001 (Oppose)

Support the confirmation of Sean O'Hollaren as the Assistant Secretary of Government Affairs for DOT

~~Support the confirmation of Norm Mineta as Secretary of DOT~~

Support the confirmation of Michael Jackson as Deputy Secretary of DOT

17. House(s) of Congress and Federal agencies contacted

Check if None

**U.S. House of Representatives
United States Senate
U.S. Department of Transportation**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe - Vice President, External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- HR 1024 - Transportation Tax Equity and Fairness Act (Support)
- HR 4 - SAFE Act of 2001 (Support repeal of railroad diesel tax. Support fundin new technology to reduce diesel emissions. Oppose further CAFE standar
- ~~Overall support of the bill.~~
- S 597 - SAFE Act of 2001 (Support)
- S 661 - Transportation Tax Equity and Fairness Act (Support)

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe - Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code GEN (one per page)

16. Specific lobbying issues

Support the confirmation of Norm Mineta for Secretary of Transportation
Support the confirmation of Michael Jackson for Deputy Secretary of Transporta
Support the confirmation of Allan Rutter for Federal Railroad Administrator
Support the confirmation of Betty Monro for Deputy Federal Railroad Administrat
Support the confirmation of Sean O'Hollaren as Asst. Secretary of Government A

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR 2563 - Patients Bill of Rights (Monitor Floor Activity)

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Susan Auther Andres	
Prentiss W. Bolin, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations



Registrant Name Union Pacific Corporation Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Sean B. O'Hollaren

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Busir (city and state or coun

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	O p t i o n a l

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant affiliated organization

Signature

Mary E. McAuliffe

Date

August 14, 2001

Printed Name and Title

Mary E. McAuliffe, Vice President - External Relations

