

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY D

02 MAR -6

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Risk and Insurance Management Society, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 655 Third Avenue, New York, NY 10017			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Joanne P. Waldman		Telephone (212) 655-6046	E-mail (optional) jwaldman@rims.org
5. Senate ID #			
7. Client Name <input checked="" type="checkbox"/> Self self			6. House ID #

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbying Act

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>80,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate exp accounting method. See instructions for description of opti
	<input type="checkbox"/> Method A. Reporting amounts using LDA definitions
	<input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)( Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) o Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name and Insurance Management Society, I Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi: engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pr information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Public Law 104-191, HIPAA Medical Privacy Regulation, all provisions relating to disclosing medical information in the course of workers' compensation and other employment-related claims processes  
OSHA Ergonomics Forum, July 20, 2001, Chicago, IL, detailing risk managers' input on the future course of ergonomics  
1998 RIMS-OSHA Partnering Charter, determining course to implement charter

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Labor  
Occupational Safety and Health Administration  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name and Insurance Management Society, I Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S. 283/S. 1052/S. 872, Bipartisan Patient Protection Act of 2001, all provisions relating to employer, HMO, and insurer liability  
S. 889, Bipartisan Patients' Bill of Rights Act of 2001, all provisions relating to employer, HMO, and insurer liability  
H.R. 2315, Patients' Bill of Rights Act of 2001, all provisions relating to employer, HMO, and insurer liability  
H.R. 526/H.R. 2563, Bipartisan Patient Protection Act of 2001, all provisions relating to employer, HMO, and insurer liability

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name and Insurance Management Society, I Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 1755, Reinsurance Tax Equity Act of 2001, all provisions relating to new or increased taxes or regulations on the reinsurance marketplace

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name and Insurance Management Society, I Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 2341, Class Action Fairness Act of 2001, all provisions relating to interstate class action lawsuit reform

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Optional federal charter for large, multi-state insurers. Began discussion of issue among membership and creation of principles statement, with view to lobbying House of Representatives and Senate in the future.

17. House(s) of Congress and Federal agencies contacted  Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name and Insurance Management Society, I Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

H.R. 3210, Terrorism Risk Protection Act, all provisions relating to a temporary federal reinsurance mechanism  
S. 1744, Terrorism Insurance Act, all provisions relating to a temporary federal reinsurance mechanism  
S. 1743, National Terrorism Reinsurance Fund Act, all provisions relating to a temporary federal reinsurance mechanism

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Treasury  
General Accounting Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name \_\_\_\_\_ and Insurance Management Society, | Client Name \_\_\_\_\_ self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address \_\_\_\_\_
21. Client new principal place of business (if different from line 20) \_\_\_\_\_
- City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_
22. New general description of client's business or activities \_\_\_\_\_

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owns per cent client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Joanne P. Waldman Date 2/13/02

Printed Name and Title Joanne P. Waldman, Government Affairs Manager

