

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>THOMAS ROGER BERKELMAN</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>9820 DREW AVENUE SOUTH - #302</b>			
3. Principal Place of Business (if different from line 2) City: <b>BLOOMINGTON</b> State/Zip (or Country) <b>MN. 55431</b>			
4. Contact Name <b>Same</b>	Telephone <b>(952) 841-6767</b>	E-mail (optional)	5. Senate ID # <b>28635</b>
7. Client Name <input type="checkbox"/> Self <b>AMERICAN EXPRESS FUNDS</b>			6. House ID # <b>369850</b>

TYPE OF REPORT 8. Year **2004** Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇔ Termination Date \_\_\_\_\_

11. No Lobbying Activities

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate reporting accounting method. See instructions for description of method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature \_\_\_\_\_

*Thomas R. Berkelman*

Printed Name and Title THOMAS R. BERKELMAN CHIEF FINANCIAL OFFICER

LD-2 (REV. 6/98)

PAG

Registrant Name THOMAS BERKELMAN Client Name AMERICAN EXPRESS FC

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

GENERAL MUTUAL FUND  
ISSUES

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. HOUSE OF REPRESENTATIVES  
U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>THOMAS BERKELMAN</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Thomas R. Berkelman Date 7/24/04

Printed Name and Title THOMAS R. DZIKIEMAN CHIEF FINANCIAL OFF

Form LD-2 (Rev. 6/98)

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