Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE S.

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LOBBYING REPO

All Filors Are Required to Complete This Page

| 1. Registrant Name Organization Charles Schwab & Co., Inc. | ☐ Individual | | | | | |
|--|--|---|--|--|--|--|
| 2. Address Check if different Address 555 12TH STREET, NW, S City WASHINGTON | t than previously reported uite 740N | Address2 _ DC | Zip Code | 20004 | - | Cour |
| 3. Principal place of business (if different City | nt than line 2) State | | Zip Code | | - | Cour |
| 4a. Contact Name Mr. JEREMY HANCOCK 7. Client Name Self | b. Telephone Number International Number (202) 662-4903 | | cock@schwab | .com | | 5. Sen 3463 6. Hot |
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| OBBYING ACTI | VITY. Select as many | y codes as neces | sary to reflect th | ne general is | sue areas in whi | ch the I | egistrant |
|--|--|-------------------------------------|----------------------------------|-----------------------------|--------------------|-----------|-------------|
| ngaged in lobbying | on behalf of the clier ested. Attach addition | nt during the repo | orting period. U | sing a separa | ate page for each | code, p | orovide |
| 5. General issue a | rea code TAX | Taxation/Int | ernal Revenue (| Code | (one per page) |) | |
| 6. Specific lobbyi | ng issues | | | | | | |
| I.R. 2121, Generat I.R. 5367/ S. 2414 | e Retirement Ownersh , Simplification Throu | hip Through Lon ugh Additional R | g-Term Holding eporting Tax A | g Act of 200: et of 2006 | | | |
| 7. House(s) of Co | ngress and Federal ag | gencies [] | Check if None | | ✓ House | V | Senate |
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| 18. Name of each i | ndividual who acted a | s a lobbyist in thi | s issue area | Covere | ed Official Positi | | ipplicable) |
| | Name | s a lobbyist in thi | s issue area Suffix | Covere | ed Official Positi | ion (if a | pplicable) |
| 18. Name of each i First Jeff | | s a lobbyist in thi | | Covere | ed Official Positi | ion (if a | ipplicable) |
| First | Name Last | | | Covere | ed Official Positi | ion (if a | ipplicable) |
| First Jeff | Name Last Brown | | | Covere | ed Official Positi | ion (if a | ipplicable) |
| First Jeff Jeremy | Name Last Brown Hancock | k | | Covere | ed Official Positi | ion (if a | ipplicable) |
| First Jeff Jeremy Kim | Name Last Brown Hancock Quish | k | | Covere | ed Official Positi | ion (if a | ipplicable) |
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| First Jeff Jeremy Kim Michael | Name Last Brown Hancock Quish | k nd | Suffix | | ed Official Positi | | applicable) |
| First Jeff Jeremy Kim Michael | Name Last Brown Hancock Quish Townser | k nd | Suffix | | | | applicable) |

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Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

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| Registrant | Charles Schwab & Co., Inc. | Client Name | Charles Schwab & Co., Inc. |
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| engaged in | NG ACTIVITY. Select as many codes as necessary lobbying on behalf of the client during the reportion as requested. Attach additional page(s) as needed | ng period. Usi | general issue areas in which the registrant ng a separate page for each code, provide |
| 15. Genera | al issue area code RET Retirement | | (one per page) |
| 16. Specif | ic lobbying issues | | |
| H.R. 4, Pe S. 535/ H. | ension Protection Act of 2006 R. 1163 Lifetime Savings Account Act | | |
| 17. House | e(s) of Congress and Federal agencies Ch | eck if None | ✓ House ✓ Senate |
| Departme | ent of Treasury, Department of Labor, the White Ho | ouse | |
| First Jeff Jeremy | of each individual who acted as a lobbyist in this in Name Last Brown Hancock | Suffix | Covered Official Position (if applicable) |
| Kim | Quish | | |
| Michael | Townsend | | |
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| 19. Inter | rest of each foreign entity in the specific issues lis | ted on line 16 | above |
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| egistrant | Charles Schwab & Co., | lnc. | Client Name | Charles Schwab & Co., Inc. |
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| ngaged ir | lobbying on behalf | ect as many codes as necess of the client during the repo th additional page(s) as nec | rting period. Us | e general issue areas in which the registrant sing a separate page for each code, provide |
| 5. Gener | al issue area code | FIN Financial Ins | titutions/Investr | ments/Sec (one per page) |
| l 6. Specif | ic lobbying issues | | | |
| I.R. 3505 I.R. 4618 | i/ S. 2856, Financial s, Compliance, Exam | Services Regulatory Relief inations, and Inspections Re | Act estructuring Act | of 2005 |
| 17. House | e(s) of Congress and | Federal agencies | Check if None | ✓ House ✓ Senate |
| 18. Name | of each individual w | tho acted as a lobbyist in thi | s issue area | Covered Official Position (if applicable) |
| | | Name | | Covered Official Position (if applicable) |
| First Jeff | | Brown | Suffix | |
| Jeremy | | Hancock | | |
| Kim | | Quish | | |
| Michael | | Townsend | | |
| Laurie | | Schaffer | | |
| Laurie | | Donario | | |
| | | | | |
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| | | | istad on line 16 | above ✓ Check if None |
| 19. Inter | est of each foreign e | ntity in the specific issues | isted on time to | _ |
| 19. Inter | rest of each foreign e | entity in the specific issues | | |
| 19. Inter | rest of each foreign e | entity in the specific issues | | |
| 19. Inter | est of each foreign e | ntity in the specific issues | isted on time 10 | |

| C) | Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs | 1012 |
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| | Schwab & Co., Inc. | Client Name | Charles Schv | vab & Co., Inc. | | |
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| engaged in lobbying | VITY. Select as many codes a gon behalf of the client during tested. Attach additional page(s) | the reporting period. Usi | e general iss ng a separat | ue areas in whic | code, p | egistrant rovide |
| 15. General issue a | rea code CSP Cons | sumer Issues/Safety/Proc | lụcts | (one per page) | | |
| 16. Specific lobbyi | ng issues | · | | | | |
| S. 687, Software Pr H.R. 29, Security P | Consumer Protection Against S rinciples Yielding Better Levels rotect Yourself Against Cyber S Spyware Prevention Act of 2005 | s of Consumer Knowledg Tresspass Act | ge Act | | | |
| 17. House(s) of Co | ongress and Federal agencies | Check if None | | ✓ House | Z | Senate: |
| Department of Trea | asury, Department of Commerce | e, The White House, Fed | eral Trade (| Commission | | |
| | | | | | | |
| | <u> </u> | | · | | | |
| 18. Name of each i | ndividual who acted as a lobbyi | st in this issue area | | | | |
| | Name | | Covered | Official Position | on (if ap | plicable) |
| First | Last | Suffix | | | | |
| Jeff | Brown | | | | | |
| Kim | Quish | | | | | |
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| 10. Interest of acc | b foreign entity in the specific | issues listed on line 16 | above | ✓ Check if N | None | |
| 19. Interest of each | ch foreign entity in the specific | issues listed on line 16 | above | ✓ Check if N | None | |
| 19. Interest of each | th foreign entity in the specific | issues listed on line 16 a | above | ✓ Check if N | None | |
| 19. Interest of each | ch foreign entity in the specific | issues listed on line 16 | above | ✓ Check if N | None | |
| 19. Interest of each | th foreign entity in the specific | issues listed on line 16 | above | ✓ Check if N | None | |
| 19. Interest of each | th foreign entity in the specific | issues listed on line 16 | above | ✓ Check if N | None | |

| (E) | Printed Name and Title | Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs | 4010 |
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ADDENDUM for General Lobbying Issue Area: | CSP - Consumer Issues/Safety/Products

- S. 1789, The Personal Data Privacy Security Act of 2005
- S. 1408, The Identity Theft Protection Act
- H.R. 3997, The Financial Data Protection Act of 2005
- H.R. 4127, The Data Accountability and Trust Act
- S. 1326, Notification of Risk to Personal Data Act
- S. 3568, Data Security Act of 2006
- S. 2686, Communications, Consumer's Choice, and Broadband Deployment Act of 2006
- H.R. 5252, Communications Opportunity, Promotion, and Enhancement Act of 2006

| (3) | Printed Name and Title Jeffrey Brown, Senlor Vice President Legislative and Regulatory Affairs | LIXD | |
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