

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE S.

07 FEB 15 PM 1:

## LOBBYING REPO

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization ☐ Individual

Charles Schwab & Co., Inc.

2. Address ☐ Check if different than previously reported

Address1 555 12TH STREET, NW, Suite 740N

Address2

City WASHINGTON

State DC

Zip Code 20004

Count

3. Principal place of business (if different than line 2)

City

State

Zip Code

Count

4a. Contact Name

b. Telephone Number

c. E-mail

5. Sena

Mr. JEREMY HANCOCK

☐ International Number

(202) 662-4903

jeremy.hancock@schwab.com

3463

7. Client Name ☒ Self

6. Hou

Charles Schwab & Co., Inc.

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### TYPE OF REPORT

8. Year 2006

Midyear (January 1-June 30) ☐

Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Activities ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

#### 12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☐

\$

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☒

\$ 714,000.00

#### 14. REPORTING

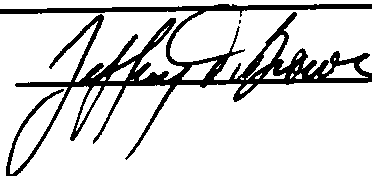
Check box to indicate accounting method. See instructions for descriptive information.

☒ Method A. Reporting amounts using LDA definitive

☐ Method B. Reporting amounts under section 6033 Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature



Date

2

0000030795

**Printed Name and Title** Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.01

Registrant

Charles Schwab &amp; Co., Inc.

Client Name

Charles Schwab &amp; Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

TAX

Taxation/Internal Revenue Code

(one per page)

16. Specific lobbying issues

H.R. 2121, Generate Retirement Ownership Through Long-Term Holding Act of 2005  
H.R. 5367/ S. 2414, Simplification Through Additional Reporting Tax Act of 2006

17. House(s) of Congress and Federal agencies

☐

Check if None

☒

House

☒

Senate

☐

18. Name of each individual who acted as a lobbyist in this issue area

| Name    |          |        | Covered Official Position (if applicable) |
|---------|----------|--------|---|
| First   | Last     | Suffix |   |
| Jeff    | Brown    |        |   |
| Jeremy  | Hancock  |        |   |
| Kim     | Quish    |        |   |
| Michael | Townsend |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒

Check if None

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**Printed Name and Title** Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

*JTB*

v5.0.01

Registrant

Charles Schwab &amp; Co., Inc.

Client Name

Charles Schwab &amp; Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

RET

Retirement

(one per page)

16. Specific lobbying issues

H.R. 4, Pension Protection Act of 2006  
S. 535/ H.R. 1163 Lifetime Savings Account Act

17. House(s) of Congress and Federal agencies ☐ Check if None☒ House☒ Senate☒

Department of Treasury, Department of Labor, the White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name    |          |        | Covered Official Position (if applicable) |
|---------|----------|--------|---|
| First   | Last     | Suffix |   |
| Jeff    | Brown    |        |   |
| Jeremy  | Hancock  |        |   |
| Kim     | Quish    |        |   |
| Michael | Townsend |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |


19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

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**Printed Name and Title** Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs



v5.0.01

Registrant

Charles Schwab &amp; Co., Inc.

Client Name

Charles Schwab &amp; Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

FIN

Financial Institutions/Investments/Sec

(one per page)

16. Specific lobbying issues

H.R. 3505/ S. 2856, Financial Services Regulatory Relief Act  
H.R. 4618, Compliance, Examinations, and Inspections Restructuring Act of 2005

17. House(s) of Congress and Federal agencies

☐ Check if None☒ House☒ Senate☐

18. Name of each individual who acted as a lobbyist in this issue area

| Name    |          |        | Covered Official Position (if applicable) |
|---------|----------|--------|---|
| First   | Last     | Suffix |   |
| Jeff    | Brown    |        |   |
| Jeremy  | Hancock  |        |   |
| Kim     | Quish    |        |   |
| Michael | Townsend |        |   |
| Laurie  | Schaffer |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |
|         |          |        |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

0000030798



**Printed Name and Title** Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.0i



Registrant

Charles Schwab &amp; Co., Inc.

Client Name

Charles Schwab &amp; Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

CSP

Consumer Issues/Safety/Products

(one per page)

16. Specific lobbying issues

S. 1004, Enhanced Consumer Protection Against Spyware Act of 2005  
 S. 687, Software Principles Yielding Better Levels of Consumer Knowledge Act  
 H.R. 29, Security Protect Yourself Against Cyber Tresspass Act  
 H.R. 744, Internet Spyware Prevention Act of 2005

17. House(s) of Congress and Federal agencies

☐ Check if None☒ House☒ Senate☒

Department of Treasury, Department of Commerce, The White House, Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name  |       |        | Covered Official Position (if applicable) |
|-------|-------|--------|---|
| First | Last  | Suffix |   |
| Jeff  | Brown |        |   |
| Kim   | Quish |        |   |
|       |       |        |   |
|       |       |        |   |
|       |       |        |   |
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|       |       |        |   |
|       |       |        |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

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v5.0.0i



ADDENDUM for General Lobbying Issue Area:

CSP - Consumer Issues/Safety/Products

S. 1789, The Personal Data Privacy Security Act of 2005  
S. 1408, The Identity Theft Protection Act  
H.R. 3997, The Financial Data Protection Act of 2005  
H.R. 4127, The Data Accountability and Trust Act  
S. 1326, Notification of Risk to Personal Data Act  
S. 3568, Data Security Act of 2006  
S. 2686, Communications, Consumer's Choice, and Broadband Deployment Act of 2006  
H.R. 5252, Communications Opportunity, Promotion, and Enhancement Act of 2006

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v5.0.01

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