

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

CASE WESTERN RESERVE UNIV

2. Address:

10900 EUCLID AVE, CLEVELAND, OH 44106-7020

3. Principal place of business (if different from line 2):

4. Contact Name: ADRIENNE L. DZIAK

Telephone: 2163681723

E-mail (optional): adrienne.dziak@case.edu

Senate ID #: 8418-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 160,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CASE WESTERN RESERVE UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AER (one per page)

16. Specific lobbying issues:

NASA-National Center for Space Exploration Research NASA Glenn Research Center

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ALEXANDER, IWAN

Covered Official Position (if applicable): N/A

Name: CARLSON, MARK

Covered Official Position (if applicable): N/A

Name: DZIAK, ADRIENNE

Covered Official Position (if applicable): N/A

Name: KELLEY, RONALD

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASE WESTERN RESERVE UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

FY2007 Appropriations Bills--Energy/Water; Labor/HHS/Education; Science/Sate/Justice/Commerce

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BENDE, STEVE

Covered Official Position (if applicable): N/A

Name: CARLSON, MARK

Covered Official Position (if applicable): N/A

Name: DZIAK, ADRIENNE

Covered Official Position (if applicable): N/A

Name: FITZ-PEGADO, LAURI

Covered Official Position (if applicable): N/A

Name: KELLEY, RONALD

Covered Official Position (if applicable): N/A

Name: MARTIN, J. ALLEN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASE WESTERN RESERVE UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

Higher Education Act Reauthorization Spellings Commission on Future of Higher Education

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DZIAK, ADRIENNE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASE WESTERN RESERVE UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MED (one per page)

16. Specific lobbying issues:

Stem Cell Research Prion Disease Research

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARLSON, MARK

Covered Official Position (if applicable): N/A

Name: GAMBETTI, PIERLUIGI

Covered Official Position (if applicable): N/A

Name: GERSON, STANTON

Covered Official Position (if applicable): N/A

Name: KELLEY, RONALD

Covered Official Position (if applicable): N/A

Name: MARTIN, J. ALLEN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASE WESTERN RESERVE UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

American Competitiveness Initiative-authorizing legislation

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COTICCHIA, MARK

Covered Official Position (if applicable): N/A

Name: DZIAK, ADRIENNE

Covered Official Position (if applicable): N/A

Name: EASTWOOD, GREGORY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 05, 2007

Printed Name and Title: ADRIENNE L. DZIAK ASSOCIATE VICE PRESIDENT, GOVERN -