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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Union Pacific Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 600 Thirteenth Street, N.W., #340, Washington, D.C. 20005			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Mary E. McAuliffe	202-662-0110	marymcauliffe@up.com	38995-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 32017000

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>2,071,111</u> Expenses (nearest \$)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> Method A. Reporting amounts using LDA defini
	<input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code
	<input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_



Registrant Name Union Pacific Corporation Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- HR 1140 - Railroad Retirees and Survivors Improvement Act (Support)
- S.697 - Railroad Retirees and Survivors Improvement Act (Support)
- HR 1020 - Railroad Track Modernization Act (Support)
- HR 2329 - High Speed Rail Investment Act (Monitor)
- S.250 - High Speed Rail Investment Act (Monitor)
- S.1178 - DOT Appropriations Act (oppose the imposition of railroad safety user fee monitor for proposals that would reregulate the rail industry)
- HR 2299 - DOT Appropriations Act (see same info as S.1178)
- S.948 - Community Rail Line Relocation Assistance Act (Support)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate  
Department of Transportation  
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	
Katherine W. Maness	
Susan Auther Andres	
Christian W. Ferry	
Joseph R. Bateman, Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



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15. General issue area code RRR (#2) (one per page)

16. Specific lobbying issues

- S.1103 - Railroad Competition Act of 2001 (Oppose)
- HR 2950 - The Rail Infrastructure Development & Expansion Act (Support)
- S.1530 - Railroad Advancement & Infrastructure Law of 21st Century (Monitor)
- S.1550 - A bill to provide for rail safety and security assistance (Monitor)
- HR 2654 - Transit Rail Accommodations Improvement & Needs Act (Oppose)
- S.1220 - Rail Track Modernization Act (Support)
- HR 10 - The Railroad Retirement & Survivors Improvement Act (Support)
- S.1528 - The Rail Transportation Safety & Security Act (Monitor)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate  
Department of Transportation  
White House

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Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mary E. McAuliffe, VP-External Relations

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

- S.388 - National Energy Security Act (Support)
- HR 4 - SAFE Act of 2001 (Support repeal of railroad diesel tax; support funding technology to reduce diesel emissions; oppose further CAFE standards; ove support of the bill)
- S.597 - SAFE Act of 2001 (Support)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

HR 2323 - National Electricity and Environmental Technology Act (Support)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

- HR 141 - Surface Transportation Board Reform Act of 2001 (Oppose)
- S.1447 - A bill to improve aviation security (Monitor)
- HR 2951 - A bill to improve aviation security (Monitor)
- HR 3150 - Airport Security Federalization Act (Monitor)
- S.1669 - A Bill to Authorize Appropriations for Hazardous Material Transportation (monitor)
- HR 3276 - See S.1669 for description (Monitor)
- S.1602 - Chemical Security Act of 2001 (monitor)

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- United States Senate
- U.S. Department of Transportation

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15. General issue area code TRA (#2) (one per page)

16. Specific lobbying issues

HR 3210 - Terrorism Risk Protection Act (Support)

HR 2983 - Price Anderson Reauthorization Act (monitored; worked to add clarifying and opposed amendment offered by Congressmen Dingell and Tauzin concerning misconduct)

In Senate and Administration, monitored similar issues as HR 3210, HR 2983 regarding and future terrorist attacks.

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate  
White House

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Prentiss W. Bolin, Jr.	
Susan Auther Andres	
Christian W. Ferry	

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Registrant Name Union Pacific Corporation Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

- HR 1024 - Transportation Tax Equity and Fairness Act (support)
- HR 4 - SAFE Act of 2001 (Support repeal of rr diesel tax; support funding of new reduce diesel emissions; oppose further CAFE standards; overall support of
- S.597 - SAFE Act of 2001 (Support)
- S.661 - Transportation Tax Equity and Fairness Act (Support)
- HR 3090 - Economic Stimulus Bill (support)
- HR 3529 - Economic Stimulus Bill (Support)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate

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Mary E. McAuliffe	
Michael A. Rock	
Prentiss W. Bolin, Jr.	
Susan Auther Andres	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mary E. McAuliffe, VP - External Relations

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15. General issue area code GEN (one per page)

16. Specific lobbying issues

Support the confirmation of Allan Rutter for Federal Railroad Administrator  
Support the confirmation of Arthur Rosenfeld for General Counsel, NLRB  
Support the confirmation of Elaine Chao for Secretary of Labor

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Prentiss W. Bolin, Jr.	
Susan Auther Andres	

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Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



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15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR 2563 - Patients Bill of Rights (Monitor Floor Activity)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives

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Mary E. McAuliffe	
Prentiss W. Bolin, Jr.	
Susan Auther Andres	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Mary E. McAuliffe Date 2/13/02

