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SECRETARY OF THE SENATE

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LOBBYING REPORT

H.D.

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name R. Duffy Wall & Associates, Inc.			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 601 13th Street, N.W. Suite 410 South City Washington State/Zip (or Country) DC 20005			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name Daniel Scherder		Telephone (202) 737-0100	E-mail (optional) 5. Senate ID # 40362-808
7. Client Name <input type="checkbox"/> Self AEI Resources, Inc.		6. House ID # 30174071	

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$100,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 1 of 6

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: AEI Resources, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific Lobbying issues
Black Lung Reform

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Scherder, Daniel</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 2 of 6

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: AEI Resources, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)
16. Specific Lobbying issues
H.R. 1984, Clean Air Act,
Sec. 110, 126, EPA Clean Air Act regulation, ozone standards,
Utility restructuring
Global Climate Change

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
Environmental Protection Agency
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Scherder, Daniel		No

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Bill K. Brewster Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 3 of 6

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: AEI Resources, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 4 of 6

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: AEI Resources, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code NAT (one per page)

16. Specific lobbying issues
Bowie Mine lease
Abandoned Mine Land Fund

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
Department of the Interior
House of Representatives
Senate
U.S. Fish and Wildlife Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Scherder, Daniel</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 5 of 6

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: AEI Resources, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

Coal Industry Retiree Health Benefits Act of 1992 (proposed amendments)

General tax representation including alternative minimum tax, incentives for clean coal technologies and other natural resource tax issues.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	NCW
<u>Shaw, Emily</u>	<u>Chief of Staff, Rep. Tauzin</u>	<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman

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