

House of Representatives
Resource Center
Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
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Washington, DC 20510

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07 FEB 26 PM 12:37
LOBBYING REPO

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization <input type="checkbox"/> Individual			
Lehman Brothers, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported			
Address1	2001 K Street, NW		
Address2	Suite 1125		
City	Washington		
State	DC		
Zip Code	20006		
Cour			
3. Principal place of business (if different than line 2)			
City	New York		
State	NY		
Zip Code	10019		
Cour			
4a. Contact Name	b. Telephone Number	c. E-mail	5. Sen
Ms. Judith Winchester	(202) 452-4720	jwinches@lehman.com	2255
7. Client Name <input checked="" type="checkbox"/> Self			6. Hou
Lehman Brothers, Inc.			3010

TYPE OF REPORT

8. Year 2006

Midyear (January 1-June 30) ☐

Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Activ

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSE relating to lobbying activities for this 1 were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> \$	\$10,000 or more <input checked="" type="checkbox"/> \$ 500,000.00
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indicate accounting method. See instructions for description
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitio
	<input type="checkbox"/> Method B. Reporting amounts under section 6033(Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) Revenue Code

Signature

Judith Winchester

Date 2/11

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN Financial Institutions/Investments/Sec (one per page)

16. Specific lobbying issues

Commodity Futures Trading Commission Reauthorization (S. 1566)
CFIUS Reform Legislation (H.R. 5337, S. 2856)

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Judith	Winchester		
Tara	Wade Foscatto		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title Judith Winchester, Managing Director, Government Affairs

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

Tax Reconciliation Legislation (H.R. 4297, S. 2020)
Estate Tax and Extension of Tax Relief Act (H.R. 5970)

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Judith	Winchester		
Tara	Wade Foscatto		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title Judith Winchester, Managing Director, Government Affairs

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Update Page - Complete ONLY where registration information has changed.

Client new address

Address

City State Zip Code - Cot

21. Client new principal place of business (if different than line 20)

City State Zip Code - Cot

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expect to act as a lobbyist for the client

	First Name	Last Name	Suffix		First Name	Last Name
1				3		
2				4		

ISSUE UPDATE

24. General lobbying issue that no longer pertain

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AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address				Principal Place of B (city and state or c
	Street Address City	State/Province	Zip	Country	
					City State City State

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1	2	3
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FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street Address City	State/Province	Country		
				City State Country	

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated org:

1	3	5
2	4	6

