

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

NEW YORK BANKERS ASSN

2. Address:

99 PARK AVENUE, 4TH FLOOR, NEW YORK, NY 10016-1502

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL P. SMITH

Telephone: 2122971699

E-mail (optional): msmith@nyba.com

Senate ID #: 29163-12

House ID #: 314320000

7. Client Name: Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 80,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NEW YORK BANKERS ASSN Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

QUESTION 17. (CONTINUED FROM PAGE 2): Federal Reserve Board, Office of Comptroller of the Currency, Govt. Accountability Office, SEC, IRS, FDIC, National Credit Union Administration, Small Business Administration Financial Services for the Military, H.R. 485; Credit Union Conversion, H.R. 3206; Universal Default, H.R. 3492; USA PATRIOT Act, H.R. 3199, S. 1389; Overdraft Protection, H.R. 3449; IRA Accounts, H.R. 3574; State College Tuition Programs, H.R. 3585; Interchange Fees, H.R. 393; Pension Funding, H.R. 2830, S. 1783; Home Equity Conversion Mortgages, S. 1710, H.R. 2892. Deposit Insurance Reform, S. 1932; Regulatory Burden Relief, H.R. 3505, S. 2856; Risk-Based Capital Guidelines (Basel I-A and II); Deposit Insurance for industrial loan companies, H.R. 5746; Concentrations in Commercial Real Estate; Deposit Insurance Reform Act Implementing Regulations; Security Data Protection, Breach Notification & Security Freeze Bills, H.R. 3997, H.R. 4127, H.R. 5318, S. 3568; Regulations Implementing the Fair and Accurate Credit Transactions Act (FACT Act); Sarbanes-Oxley Act Implementation; Regulations Implementing the Junk Fax Protection Act; Dual Regulation of Insurance, S. 2509, H.R. 6225; CRA Regulations; Public Company Accounting Oversight Board Rules; Bank Secrecy Act Rules; Rules Regarding Money Services Businesses; Predatory Lending Regulations & Legislation, H.R. 1295; Tax Legislation, H.R. 4297, H.R. 6111; Currency Transaction Report Legislation, H.R. 5341; National Flood Insurance Program Reform, H.R. 4973; FHA Legislation, H.R. 3043, H.R. 5121; Internet Gambling Legislation, H.R. 4411, H.R. 4777, H.R. 4954; Real Estate Brokerage Regulations & Legislation; OCC Preemption; USA PATRIOT Act Implementation & Legislation; OTS Preemption; Estate Tax Reform, H.R. 8, H.R. 5638; SBA Appropriations, H.R. 5672; Uniform State Tax Legislation, H.R. 1956; Terrorism Risk Insurance Legislation, Postal Service Reform Legislation, H.R. 6407; Export-Import Bank legislation, S. 3938; Bankruptcy bill, S. 4044; Financial Netting legislation, H.R. 5585; Dept. of Defense Authorization, H.R. 5122; Safe Ports Act, H.R. 4954; Credit Rating Agency bill, S. 3850. Regulation: Regulations implementing the Gramm-Leach-Bliley Act; Regulations on mortgage lending; Regulations on Overdraft Protection Programs; Regulations implementing the Truth-in-Savings Act, Reg DD; Regulations on the "Do Not Call" Registry; Regulation B of the SEC; Regulations on Privacy; Regulations on Available for Sale securities and securities that are other than temporarily impaired; Regulations on FDIC Preemption of State Laws. Regulations under the Truth-in-Lending Act, Reg. Z; Capital Adequacy Regulations; SBA Regulations; Reports of Income and Condition; Regulations under the Sarbanes-Oxley Act; Regulation R of the Federal Reserve & SEC; Regulation on large depositors; EFT Regulation E; Regulation implementing the Regulatory Burden Relief Act; Federal Home Loan Bank Regulations. Legislation: Real Estate Brokerage and Management, S. 98, H.R. 111, Interest on Business Checking Accounts, H.R. 1224, S. 1586; GSE Regulations, S. 190, H.R. 1461; Credit Unions, H.R. 2317, H.R. 749; Fair Debt Collections Practices Amendments, H.R. 1025;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Office of Thrift Supervision (OTS)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOSIES, WILLIAM J

Covered Official Position (if applicable): N/A

Name: JANNETTY, KAREN

Covered Official Position (if applicable): N/A

Name: KOTKIN, ROBERTA

Covered Official Position (if applicable): N/A

Name: SMITH, MICHAEL P.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2007

Printed Name and Title: ROBERTA KOTKIN, GENERAL COUNSEL & CHIEF OPERATING -