

Clerk of the House of Representatives  
Legislative Resource Center  
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Washington, DC 20515

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Washington, DC 20510

SECRETARY OF THE SENATE

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>PRESTON GATES ELLIS &amp; ROUVELAS MEEDS LLP</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1735 NEW YORK AVE, NW SUITE 500</b> City <b>WASHINGTON</b> State/Zip (or Country) <b>DC 20006</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>ROSANNE PHILLIPS</b>		Telephone <b>202-628-1700</b>	E-mail (optional) _____
5. Senate ID # <b>32098-292</b>			6. House ID # <b>31355036</b>
7. Client Name <input type="checkbox"/> Self <b>H.D. VEST FINANCIAL SERVICES</b>			

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<b>12. Lobbying Firms</b> INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input checked="" type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature \_\_\_\_\_ Date 02/14/2001

Printed Name and Title TIM PECKINPAUGH - ATTORNEY Page 1 of 4

Registrant Name: **PRESTON GATES ELLIS & ROUVELAS MEEDS LLP**

Client Name: **H.D. VEST FINANCIAL SERVICES**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)
16. Specific Lobbying issues  
**H.R.1714, Electronic Signatures in Global and National Commerce Act,  
S.761, Third Millennium Digital Commerce Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>CARLSON, AMY</b>		No
<b>HEJMAN, BRUCE</b>		No
<b>JARRELL, WILLIAM</b>		No
<b>PECKINPAUGH, TIM</b>		No
<b>VALENTINE, STEVEN</b>	<b>Leg. Dir &amp; Gen. Counsel Sen. Bob Smith</b>	No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date **02/14/2001**

Printed Name and Title **TIM PECKINPAUGH - ATTORNEY** Page 2 of 4

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Registrant Name: PRESTON GATES ELLIS & ROUVELAS MEEDS LLP

Client Name: H.D. VEST FINANCIAL SERVICES

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues  
H.R.1102, Comprehensive Retirement Security and Pension Reform Act,  
H.R.2331, To amend the Internal Revenue Code of 1986 to increase and modify the exclusion relating to qualified small business stock and to provide that the exclusion relating to incentive stock,  
H.R.2488, Financial Freedom Act of 1999, independent contractor and capital gains provisions.  
S.1429, Taxpayer Refund Act of 1999, independent contractor and capital gains provisions.  
Executive Branch Actions:  
Policies and demonstration projects on electronic filing of tax returns.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Internal Revenue Service  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
CARLSON, AMY		No
PECKINPAUGH, TIM		No
RING, KEVIN	Ex. Dir. Hs Cons. Act. Team; Counsel US Sen. Jud. Com	No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2001

Printed Name and Title TIM PECKINPAUGH - ATTORNEY Page 3 of 4

Registrant Name: **PRESTON GATES ELLIS & ROUVELAS MEEDS LLP**

Client Name: **H.D. VEST FINANCIAL SERVICES**

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client  
**RING, KEVIN**

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	Ownership % in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client, or affiliated organization

Signature  Date **02/14/2001**

Printed Name and Title **TIM PECKINPAUGH - ATTORNEY** Page 4 of 4