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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name  Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported  400 Collins Road, NE Cedar Rapids IA 52498 USA			
3. Principal place of business (if different than line 2)  City State/Zip or Country			
4a. Contact Name Mr. Michael K. McDonald	b. Telephone number 703.516.8230	c. E-mail mkmc dona@rockwellcollins.com	5. Senate ID # 68296-12
7. Client Name <input checked="" type="checkbox"/> Self Rockwell Collins, Inc.			6. House ID # 35671000

TYPE OF REPORT 8. Year 2006 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>284,507</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Edit Form >

Signature Michael K. McDonald Date 8/8/06

Printed Name and Title Michael K. McDonald, Vice President Government Operations

0000213511



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code ACC - Accounting (one per page)

16. Specific lobbying issues

Accounting Reforms  
Regulation Changes  
Federal Accounting Standards Board - Pension Funding  
Corporate Accounting/Governance  
Securities and Exchange Commission Reporting and Compliance - Exec. Comp. Reporting  
Stock Option Accounting

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Date \_\_\_\_\_

Printed Name and Title Michael K. McDonald, Vice President Government Operations

0000213512



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code AER - Aerospace (one per page)

16. Specific lobbying issues

Department of Transportation Authorization and Appropriations  
NASA Authorization and Appropriations  
FAA Authorization and Appropriations  
National Defense Authorization and Appropriations  
State Department Authorization and Appropriations  
Federal Government Acquisition Policy

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Defense, Department of Commerce  
Office of the United States Trade Representative  
Office of Management & Budget (Office of Federal Procurement Policy)  
Department of State, National Aeronautics and Space Administration  
Department of Transportation - Federal Aviation Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Timothy	Peterson	
Michael	McDonald	
Linda	Sadler	
John	Gift	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213513



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code AVI - Aviation/Aircraft/Airlines (one per page)

16. Specific lobbying issues

Department of Transportation Authorization and Appropriations  
NASA Authorization and Appropriations  
FAA Authorization and Appropriations  
National Defense Authorization and Appropriations  
State Department Authorization and Appropriations  
Federal Government Acquisition Policy

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Defense, Department of Commerce, Department of State  
Office of the United States Trade Representative  
Office of Management Budget (Office of Federal Procurement Policy)  
Department of Transportation - Federal Aviation Administration  
National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Timothy	Peterson	
Michael	McDonald	
Linda	Sadler	
John	Giff	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000213514





Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

NASA Authorization and Appropriations  
FAA Authorization and Appropriations  
National Defense Authorization and Appropriations  
Budget Requests submitted by the Department of Defense  
Federal Government Acquisition Policy  
Budget Resolution

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Defense  
Office of Management & Budget (Office of Federal Procurement Policy)  
FAA and NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Timothy	Peterson	
Michael	McDonald	
Linda	Sadler	
John	Gift	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213515



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code COM - Communications/Broadcasting/Radio/TV (one per page)

16. Specific lobbying issues

Radio Frequency Spectrum Issues

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Federal Communications Commission  
Department of Commerce  
Department of Defense  
Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Linda	Sadler	
Michael	McDonald	
John	Giff	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213510



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code CPT - Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations  
Patent Office Operations

17. House(s) of Congress and Federal agencies contacted ☒ None ☐ House ☐ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Linda	Sadler	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code DEF - Defense (one per page)

16. Specific lobbying issues

National Defense Authorization and Appropriations  
Budget Requests submitted by the Department of Defense  
Federal Government Acquisition Policy  
Office of Management and Budget (Office of Federal Procurement Policy)

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Timothy	Peterson	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None





Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code ENV - Environmental/Superfund (one per page)

16. Specific lobbying issues

Superfund Reform  
Waste Remediation - Recycling  
Clean Water Act  
Clean Air Act

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213519

Printed Name and Title Michael K. McDonald, Vice President Government Operations



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securitie (one per page)

16. Specific lobbying issues

Stock Options Exercising  
SEC Reporting

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Securities & Exchange Commission  
Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213520



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues

Medical Malpractice Reform Mental Health Parity Medical Errors/Quality Reporting Health Care Information Infrastructure Health Care/Health Savings Accounts Flexible Savings Accounts
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17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Labor Department of Health and Human Services
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18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213521



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code IMM - Immigration (one per page)

16. Specific lobbying issues

Personnel Mobility H1-B Visas Visa Process/Procedures Reform
--

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U.S. Trade Representative DHS Department of State Department of Commerce
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18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000213522





Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code LBR - Labor Issues/Antitrust/Workplace (one per page)

16. Specific lobbying issues

Fair Labor Standards Act Reform Overtime Pay Comp-time Family Medical Leave Act
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17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Labor
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18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000215523



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code MMM - Medicare/Medicaid (one per page)

16. Specific lobbying issues

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

HHS

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000215524



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement (one per page)

16. Specific lobbying issues

Pension Reform - DB & DC plans  
Social Security Reform  
Pension Plan Funding

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213525



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code SCI - Science/Technology (one per page)

16. Specific lobbying issues

Government Support for Non-Defense Research and Development

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Office of Science & Technology Policy  
National Science Foundation  
Department of Commerce  
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Linda	Sadler	
Michael	McDonald	
John	Gift	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None





Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Collins, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** p information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

R&D Tax Credit  
Charitable Deductions  
Energy Tax Incentives  
Section 406 & 911  
Deferred Compensation  
Executive Compensation

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of the Treasury  
Office of the United States Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Martha	Cogdell	
Michael	McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000213527



Registrant Name Rockwell Collins, Inc. Client Name Rockwell Collins, Inc.

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different than line 20)

City

State/Zip

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Michael K. McDonald, Vice President Government Operations

