

Clerk of the House of Representatives
 Legislative Resource Center
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 Washington, DC 20515

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510

SECRETARY OF THE SENATE
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name RBG Associates; Richard B. Griffin, Jr.			
2. Address <input type="checkbox"/> Check if different than previously reported. 2200 Foxhall Road, N.W., Washington, DC 20007			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Richard B. Griffin, Jr.	Telephone 202 337 1870	E-mail (optional)	5. Senate ID # 32830-12
7. Client Name <input type="checkbox"/> Self ULTRATECH STEPPER			6. House ID # 32817000

8. Year _____ Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ 540,000 <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature Richard B. Griffin, Jr.

Printed Name and Title Richard B. Griffin, Jr. Managing Director

Registrant Name RBG ASSOCIATES Client Name ULTRATECH STEPPER

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

- ~~FOSTERING~~ ~~ADVANCED~~ ~~RESEARCH~~ ~~SPONSORED~~ ~~BY~~ ~~U.S.~~ ~~GOVERNMENT~~ ~~AGENCIES~~
- TRANSFER TO FOREIGN COMPANIES OF ADVANCED PHOTOLITHOGRAPHY Technology developed in the U.S. through U.S. Department of Energy, photolithography or other cooperative research and development agreements, and for U.S. Government funded R&D programs involving the national laboratories.

17. House(s) of Congress and Federal agencies contacted Check if None

Senate, House of Representatives, U.S. Departments of Energy, Commerce, & Defense.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Richard B. Griffin, Jr.</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Richard B. Griffin, Jr. Date 8/1/00

Printed Name and Title Richard B. Griffin, Jr., Managing Director, RBG Associates.