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H.D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Northrop Grumman Corporation			
2. Address ☐ Check if different than previously reported			
3. Principal Place of Business (if different from line 2) City: State/Zip (or Country)			
4. Contact Name Mr. Jim Meltsner	Telephone (703) 875-8546	E-mail (optional) jim_meltsner@mail.northgrum.com	5. Senate ID # 29551-12
7. Client Name ☐ Self			6. House ID # 31641000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ \$ <u>income (nearest \$20,000)</u> \$20,000 Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ 10,000 or more ☒ \$ <u>\$2,797,000</u> Expenses (nearest 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of options. ☐ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of Internal Revenue Code ☒ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Robert W. Helm
Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

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Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

(See attachment)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Defense (Secretary Cohen, Deputy Secretary Murre, Secretary of the Navy, Secretary of the Air Force, Secretary of the Army), Department of Transportation, Department of Treasury, White House.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
(See attachment)		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

Defense Attachment

16. Lobbied on the following issues: H.R. 2561, S. 1122, Defense Department Appropriations bill for FY 00; H.R. 1401, S.1059, Department of Defense Authorization Bill for FY 00: A-10, ACOPS, Advanced Electronics Technology, Advanced Seal Delivery System, Advanced Sensor Application Program, Advanced Submarine System Development Advanced Surface Machinery System (ICR), Aerostat, Airborne Mine CM, ALQ-135, ALQ-165, AN/ALQ-164 Radar for B-1B, AN/APG Radar for F-16A/B, AN/APG-68 Radar for F-22, AN/SPQ-9B, AN/WLR-9, AN/WLY-1, AN/TPS75, APN-241 Low Power Color Radar, APT, Arsenal Ship, ASTAMIDS, ASW Surface Combat System Integration, Atomic Clock, AWACS, B-2, BAT, BQM-74, C-130J, C-2, C-17, CBASS, Classified Space & Defense Programs, Coastal Warfare, Common Support Aircraft, Cryoradar, CVN-76, DARO (Predator & Darkstar), Depot Issue, DIRCM, Distributive Survey Systems, DMSP, E-2C, EA6B, Eagle Vision, Experimental Evaluation of Major Innovation Technologies, ETRAC, F/A-18C/D, F/A-18E/F, F-14, FHMUX, Firefinder, High Energy Batteries, ICR, IFTE, Joint Robotics Program, Joint STARS, JSP, KC-130J, Light Weight Torpedo, Longbow Apache, Longbow Hellfire, LPD-17, LSTAT, MK 46 Torpedo Mods, MK 48 Torpedo, ADCAP Mods, MK50 Torpedo, MMIC, New Design SSN, NTACMS, NMRS, NWIRP 387 from the Navy to the City of Dallas (H.R. 1353, S. 694), Offsets, OH-58D Kiowa Warrior, Poly Phem, Predator, Q-14, RAH-66 Commanche, SAIP, SBIRS, Self Propelled Acoustic Target, Ship Self Defense High Performance Computing, SSN 21, Superconducting Waveform Generator, Surface & Shallow Water Mine Countermeasures, Surface Ship Torpedo Defense, T-38, THAAD, Tomahawk & Tomahawk Mission Plan Center, TPS-63, TPS-75, Trident-DS, UCAV, Vehicular Intercommunications System (VIS, AN/VIC), War Fighter-1, WC-130, Free Electron Laser, Self-Propeller Acoustics Target (SPAT), Gigabit Modem, Smart Tag, Airborne Reconnaissance Low, ASTOR, Cooperative Ra Development Program.

Appropriations for fiscal year 1999 - Drug Interdiction Provisions-Sensor Upgrades.

18. Robert W. Helm
Susan C. Lavrakas
Mary Diane O'Toole
Jerry D. Woods
Diane Harper
Stephen L. Sutton
James R. Meltsner
J. Paris Fisher
M. James Litig
B. Parker Miller
Marsha Kwalwasser
Robin Truman

Registrant Name Northrop Grumman Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address _____

21. Client new principal place of business (if different from line 20) _____

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities _____

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client. _____

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain _____

MAR TRA _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organizations(s) _____

Name	Address	Principal Place of Business (city and state of country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client _____

FOREIGN ENTITIES

27. Add the following foreign entities _____

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization _____

Signature Robert W. Helm Date _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

Registrant Name Northrop Grumman Client Name) _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Monitor Superfund Reform

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
James R. Meltsner		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Northrop Grumman Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Lobbied on the following issues: H.R. 835, S. 680, R&E Tax Credit Extension (IRC Sect. 41);
IRS Systems Modernization (Treasury Appropriations Bill, H.R. 2490, S. 1282);
The Defense Jobs and Trade Promotion Act; H.R. 796, S. 1165;
International Tax Simplification for American Competitiveness Act, H.R. 2018;
Tax Relief Bills, H.R. 2488, S. 1429

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Treasury, Commerce, Defense, USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Robert W. Helm		☐
Susan C. Lavrakas		
Mary Diane O'Toole		☐
Jerry D. Woods		
Diane Harper		☐
Stephen L. Sutton		☐
James R. McIsner		
J. Paris Fisher		☐
M. James Littig		
B. Parker Miller		☐
Marsha Kwalwasser		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Northrop Grumman Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Lobbied on the following issues: H.J. Res 57, China NTR; H.R. 2466, S. 1234, Foreign Operations Appropriation Bill, EXIM Bank Funding.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, EXIM Bank.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Robert W. Helm		☐
Susan C. Lavrakas		☐
Mary Diane O'Toole		☐
Jerry D. Woods		☐
Diane Harper		☐
Stephen L. Sutton		☐
James R. Meltner		☐
J. Paris Fisher		☐
M. James Littig		☐
B. Parker Miller		☐
Marsha Kwalwasser		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title _____