

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**HEALTHCARE ASSN OF NEW YORK STATE**

2. Address:

1 EMPIRE DR, RENSSELAER, NY 12144

3. Principal place of business (if different from line 2):

4. Contact Name: STEVEN KROLL

Telephone: 518-431-7600

E-mail (optional): SKROLL@HANY5.ORG

Senate ID #: 17900-12

House ID #: 31271000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2002 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 360,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

Fiscal Year 2003 Budget Resolution S. 839, H.R. 4954, H.R. 1556 The American Hospital Preservation Act S. 885, H.R. 4954, H.R. 1609 The Rural & Small Community Hospital Area Wage and Base Payment Improvement Act S. 2547 The Hospital Outpatient Fair Payment Act of 2002 S. 2555 The Revitalizing Under-Served Rural Areas and Localities Act S. 2615, H.R. 4515 The Rural Community Hospital Assistance Act S. 326, H.R. 4954, H.R. 975 The Home Health Payment Fairness Act S. 2490, H.R. 4954 Medicare Skilled Nursing Beneficiary Protection Act of 2002 S. 812, H.R. 3414, S. 2110 State Budget Relief Act of 2001 S.J. Res. 37/H.J. Res. 92 Resolution of Disapproval-Overturn Medicaid Upper Payment Limit Reduction S. 1745, H.R. 3360 Delay in Medicaid Upper Payment Limit Reduction S. 572, H.R. 854 Medicaid Safety Net Hospital Continued Preservation Act H.R. 287 Prompt Payment of Health Benefits Claims Act H.R. 4600 Helping to Ensure Affordable, Low-Cost, Timely Health Care Act of 2002 S. 706, H.R. 1436 The Nurse Reinvestment Act H.R. 1897 The Nurse of Tomorrow Act S. 705, H.R. 3292 The Health Information Technology and Quality Improvement Act/Medication Errors Reduction Act of 2001 S. 548, H.R. 4954, H.R. 1354 Assure Access to Mammography Act S. 582, H.R. 1143 The Immigrant Children's Health Improvement Act of 2001 S. 2449 Federal Responsibility for Immigrant Health Act S. 169, H.R. 519 Local Medical Emergency Reimbursement Act S. 1715, H.R. 3448 Public Health Security and Bioterrorism Response Act of 2001 HHS Emergency Readiness Funding for Health Care Providers Disaster Relief Funding for Health Care Providers Medicare Prescription Drug Coverage & Provider Payment Increase Legislation Inpatient, Outpatient, Home Health, Skilled Nursing Facility and Long Term Care Hospital (LTCH) PPS Regulations Continuation of Agriculture Department's J-1 Visa Program CMS Seventh Scope of Work for Quality Improvement Organizations CMS Outcomes-based Quality Improvement in Home Health Care CMS Proposed Expansion of Post-Acute Care Transfer Payment EMTALA Regulations Provider-based Regulations Corporate Compliance Department of Labor Regulations on Benefit Claim Appeals HHS Regulatory Reform Efforts IRS Intermediate Sanction Rules Upper Payment Limit Rules HIPAA Rules Medical Malpractice

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)  
Executive Office of the President  
Food & Drug Administration (FDA)  
HOUSE OF REPRESENTATIVES  
Health & Human Services, Dept of (HHS)  
Internal Revenue Service (IRS)  
Labor, Dept of (DOL)  
Office of Management & Budget (OMB)  
Office of the Vice President of the United States  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERNARD, RENEE  
Covered Official Position (if applicable): N/A  
Name: BILBY, JAMES  
Covered Official Position (if applicable): N/A  
Name: CHANG, JU MING  
Covered Official Position (if applicable): N/A  
Name: CICCONE, KATHLEEN  
Covered Official Position (if applicable): N/A  
Name: CUNNINGHAM, JOANNE  
Covered Official Position (if applicable): N/A  
Name: HARWELL, STEVEN  
Covered Official Position (if applicable): N/A  
Name: KRAWIECKI, KEVIN  
Covered Official Position (if applicable): N/A  
Name: KROLL, STEVEN  
Covered Official Position (if applicable): N/A  
Name: LEBARRON, DEBBIE  
Covered Official Position (if applicable): N/A  
Name: LEEDS, LAURA  
Covered Official Position (if applicable): N/A  
Name: PIKE, WILLIAM  
Covered Official Position (if applicable): N/A

Registrant Name: HEALTHCARE ASSN OF NEW YORK STATE Client Name: Self

Name: SISTO, DANIEL  
Covered Official Position (if applicable): N/A  
Name: SOMMER, FRANCESCA  
Covered Official Position (if applicable): N/A  
Name: SWEENEY, RAYMOND  
Covered Official Position (if applicable): N/A  
Name: THOMAS, MARK  
Covered Official Position (if applicable): N/A  
Name: VAN METER, SUSAN  
Covered Official Position (if applicable): N/A  
Name: WAGNER, SUE ELLEN  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 11, 2003

Printed Name and Title: STEVEN KROLL - VICE PRESIDENT, GOVERNMENTAL AFFAIRS

## Information Update Page:

Complete **ONLY** where registration information has changed.

### LOBBYIST UPDATE

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

Name: BIELAUS, SUSAN  
Name: CALLAN, MARK  
Name: CASSESE, SANDRA  
Name: HOFFMAN, JERRY  
Name: HUMISTON, SHEILA  
Name: JEFFERS, DARRELL  
Name: MAKOFKSKE, HERBERT  
Name: POFIT, MARTHA  
Name: RICHER, JESSICA  
Name: STORRS, FRANCESCA

### ISSUE UPDATE

**24. General lobbying issues previously reported that NO LONGER pertain**

### AFFILIATED ORGANIZATIONS

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

### FOREIGN ENTITIES

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE Date: Feb 11, 2003

Printed Name and Title: STEVEN KROLL - Vice President, Governmental Affairs