

Clerk of the House of Representatives
 Legislative Resource Center
 B-106 Cannon Building
 Washington, DC 20515

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Bankers Association Insurance Association (ABAIA)			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, NW Washington, DC 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Paul A. Smith	Telephone 202-663-5331	E-mail (optional) PSmith@aba.com	5. Senate ID # 44468-00012
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 34077000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇔ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>80,000</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____

Printed Name and Title Paul A. Smith, House Counsel

Registrant Name _____ Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Implementation of the provisions of the Gramm-Leach-Bliley Financial Modernization Act of 1999 (GLBA), including:

1. Support of a Federal preemption of certain state laws restricting sales of insurance by national banks.
2. Procedures and requirements to become a Financial Holding Company under GLBA
3. Implementation of functional regulation of banking versus insurance activities of Financial Holding Companies and their subsidiaries.

17. House(s) of Congress and Federal agencies contacted Check if None

Department of Treasury
 Federal Reserve System
 Office of the Comptroller of the Currency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Beth L. Climo		<input type="checkbox"/>
Paul A. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date February 12, 2001

Printed Name and Title Paul A. Smith, House Counsel

American Bankers Association
 Registrant Name Insurance Association Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Support of draft Federal legislation to allow federal chartering and regulation of national insurance companies and agencies (no bill introduced)

- Implementing regulations of the provisions of the Gramm-Leach-Bliley Financial Modernization Act of 1999, including:
1. Support of a Federal preemption of certain state laws restricting sales of insurance by national banks.
 2. Implementation of functional regulation of banking versus insurance activities of Financial Holding Companies and their subsidiaries.
 3. Financial privacy provisions of Title V.

17. House(s) of Congress and Federal agencies contacted Check if None

- Senate
- House of Representatives
- Federal Reserve System
- Office of the Comptroller of the Currency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Beth L. Climo		<input type="checkbox"/>
Paul A. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Paul Alan Smith* Date February 12, 2001
 Printed Name and Title Paul A. Smith, House Counsel