

Clerk of the House of Representatives  
Legislative Resource Center  
B-105 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

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**LOBBYING REPORT**

Lobbying disclosure Act of 1995 (Section 5) – All Filers Are Required To Complete This Page

1. Registrant Name  CNA FINANCIAL CORPORATION			
2. Address <input type="checkbox"/> Check if different than previously reported  818 Connecticut Avenue, NW Suite 1001			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) D.C. 20006			
4. Contact Name Carol Pernick	Telephone (202) 296-4662	Email (optional)	5. Senate ID # 9580
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID# 317440000

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30) ☒ **OR** Year End (July 1-December 31) ☐9. Check if this filing amends a previously filed version of this report ☒10. Check if this is a Termination Report ☐ ⇒ Termination Date

11. No Lobbying Activity

**INCOME OR EXPENSES –Complete Either Line 12 OR Line 13**

<p><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client.)</p>	<p><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this report Period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>800,000</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of options</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature Heather Davis Date: February 10, 2004Printed Name and Title Heather Davis, Vice President, Federal Relations



Name CNA Financial Corporation Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

All issues relating to Financial Services Reform and Bankruptcy Reform  
 Issues relating to regulations and policy decisions regarding bank sales of insurance-type product  
 The Office of the Comptroller of the Currency

H.R. 2120 Financial Contracts Bankruptcy Reform Act of 2003  
 H.R. 1115 Class Action Fairness Act of 2003  
 S. 274 Class Action Fairness Act of 2003  
 S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives  
 The Senate  
 U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Relations Congressional	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above ☒ Check if NoneSignature  Date: February 10, 2004Printed Name and Title Heather Davis, Vice President, Federal Relations



Name CNA Financial Corporation Client Name Self

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

Issues relating to tax, insurance, health care  
H. Con. Res. 95 Budget Resolution

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives  
The Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date: February 10, 2004

Printed Name and Title Heather Davis, Vice President, Federal Relations



Name CNA Financial Corporation Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue area in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

All issues relating to product liability reform  
 All issues relating to tort reform  
 All issues relating to civil class action reform  
 All issues relating to medical information confidentiality

H.R. 1115 Class Action Fairness Act of 2003  
 S. 274 Class Action Fairness Act of 2003  
 S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

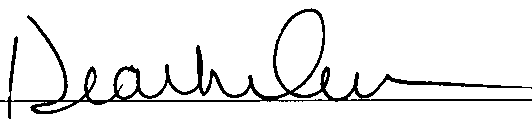
The House of Representatives  
 The Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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Date: February 10, 2004





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15. General issue area code FIN (one per page)

16. Specific lobbying issues

All issues relating to Financial Services Reform and Bankruptcy  
Issues relating to regulations and policy decisions regarding bank sales of insurance-type product  
the Office of the Comptroller of the Currency

H.R. 2120 Financial Contracts Bankruptcy Reform Act of 2003

H.R. 1115 Class Action Fairness Act of 2003

S. 274 Class Action Fairness Act of 2003

S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives


The Senate

U.S. Department of Treasury

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Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Issues relating to Asbestos

Issues relating to Long-Term Care Insurance

S. 1125 The Fair Act of 2003

H.R. 1114 Asbestos Compensation Act of 2003

H.R. 2096 Long Term Care and Retirement Security Act of 2003

S. 1335 Long Term Care and Retirement Security Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives

The Senate

Department of Health and Human Services

Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
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		<input type="checkbox"/>
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15. General issue area code INS (one per page)

16. Specific lobbying issues

Issues relating to Asbestos compensation  
Issues relating to medical malpractice insurance

S. 1125 The Fair Act of 2003  
H.R. 1114 Asbestos Compensation Act of 2003  
H.R. 1116 Medical Malpractice Insurance and Litigation Reform Act  
H.R. 1219 Medical Malpractice and Insurance Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives  
The Senate  
Environmental Protection Agency  
U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Signature

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Name CNA Financial CorporationClient Name Self

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15. General issue area code MMM(one per page)

16. Specific lobbying issues

Issues relating to Medicare/Medicaid

Issues relating to Medicare Expansion to seniors aged 55-65

H.R. 2096 Long Term Care and Retirement Security Act of 2003

S. 1335 Long Term Care and Retirement Security Act of 2003

H.R. 2469 Medicare Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives

The Senate

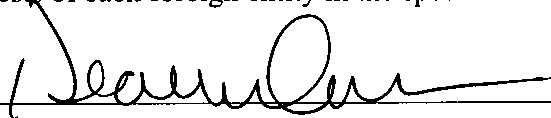
U.S. Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Signature

Date: February 10, 2004Printed Name and Title Heather Davis, Vice President, Federal Relations





Name CNA Financial CorporationClient Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

Issues relating to proposed taxes affecting insurance

H. Con. Res. 95 Budget Resolution

H.R. 2635 Jobs and Growth Tax Relief Reconciliation Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives

The Senate

Executive Office of the President

U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
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		<input type="checkbox"/>
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Signature

Date: February 10, 2004

Printed Name and Title Heather Davis, Vice President, Federal Relations

Form LD-2 (Rev 02/01)

Name CNA Financial CorporationClient Name Self

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 1115 Class Action Fairness Act of 2003

S. 274 Class Action Fairness Act of 2003

H.R. 1116 Medical Malpractice Insurance and Litigation Reform Act

H.R. 1219 Medical Malpractice and Insurance Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

The House of Representatives

The Senate

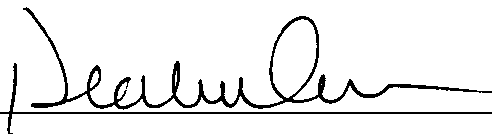
Environmental Protection Agency

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Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
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15. General issue area code RET (one per page)

16. Specific lobbying issues

H.R. Long Term Care and Retirement Security Act of 2003  
S. 1335 Long Term Care and Retirement Security Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

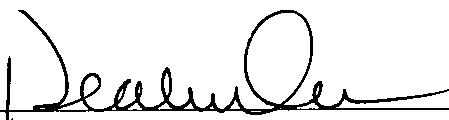
The House of Representatives  
The Senate

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