Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



03 FEB 24 PM 3: 34

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Duberstein Group, Inc.	
2. Registrant Address	DC 20037 USA
3. Principal Place of Business (if different from line 2)	
City State/Zip (or Country)	
4. Contact Name Telephone I  John W. Augus, III 202-728-1100	5. Senate ID # 12675-140
7. Client Name  Self	6. House ID #
Goldman Sachs & Company	31811009
10. Check if this is a Termination Report □ >> Termin	f this report  ation Date 11. No Lobbyi
10. Check if this is a Termination Report □ >> Termin	f this report  ation Date 11. No Lobbyi
10. Check if this is a Termination Report □ >> Termin	f this report  ation Date 11. No Lobbyi ther Line 12 OR Line 13  13. Organizations
INCOME OR EXPENSES - Complete Ei  12. Lobbying Firms  INCOME relating to lobbying activities for this reporting	f this report  ation Date 11. No Lobbyi ther Line 12 OR Line 13  13. Organizations EXPENSES relating to lobbying activities for this repo
10. Check if this is a Termination Report □ >> Termin	ation Date 11. No Lobbyi  ther Line 12 OR Line 13  13. Organizations  EXPENSES relating to lobbying activities for this report to the period were:
Income or expenses - Complete Eincome relating to lobbying activities for this reporting period was:  Less than \$10,000 □  \$10,000 or more  >> \$ \$140,000.00	f this report  ation Date   11. No Lobbyi  ther Line 12 OR Line 13  13. Organizations  EXPENSES relating to lobbying activities for this report of the period were:  Less than \$10,000  \$10,000 or more \$\simeq \gamma \gamma \simeq \simeq  \qua
INCOME OR EXPENSES - Complete Eincome relating to lobbying activities for this reporting period was:  Less than \$10,000 □  \$10,000 or more  >> \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	ation Date
INCOME OR EXPENSES - Complete Ei  12. Lobbying Firms  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 □  \$10,000 or more ⋈ >> \$ \$140,000.00	ation Date

Signature		Date	2/14/2005	
Printed Name and Title John	W. Angus, III - Senior Vice President & GC			Pag

Reg	istrant Name:	The Duberstein Group, Inc.	
Clie	ent Name:	Goldman Sachs & Company	
eng	aged in lobbyin	TVITY. Select as many codes as necessary g on behalf of the client during the reportinuested. Attach additional page(s) as needed.	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15.	General issue	area code <u>BAN</u> (one per page)	
16.	Specific Lobb H.R.333, Bar	oying issues  1kruptcy Abuse Prevention and Consum	er Protection Act of 2001, Bankruptcy
17.		Congress and Federal agencies contacted presentatives louse	☐ Check if None
18.	Name of eacl	n individual who acted as a lobbyist in this i	issue area
	Name		Covered Official Position (if applicable)
	Angus, III,	John W.	
	Berman, Mi	chael S.	
	Champlin, S	iteven M.	
	Duberstein,	Kenneth M.	
	Gandy, Hen	ry M.	
	Meyer, Dan	iel	

Signature		Date	
Printed Name and Title	John W. Angus, III - Senior Vice President & GC		_ Paş

behalf of the client during the reporting  Attach additional page(s) as needed.  code <u>ENG</u> (one per page)  issues	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.  Energy Act of 2001, Transparency provisions  Check if None
cehalf of the client during the reporting.  Attach additional page(s) as needed.  code <u>ENG</u> (one per page)  issues  of 2001 Securing America's Future I	g period. Using a separate page for each code, provide
issues of 2001 Securing America's Future l ess and Federal agencies contacted	
of 2001 Securing America's Future less and Federal agencies contacted	
	☐ Check if None
vidual who acted as a lobbyist in this i	issue area
	Covered Official Position (if applicable)
w.	
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ieth M.	
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Signature		Date	
Printed Name and Title	John W. Angus, III - Senior Vice President & GC		Pa;

Che	nt Name: Goldman Sachs & Company	
enga	BBYING ACTIVITY. Select as many codes as nec aged in lobbying on behalf of the client during the re rmation as requested. Attach additional page(s) as n	essary to reflect the general issue areas in which the registrant eporting period. Using a separate page for each code, provide eeded.
15.	General issue area code FIN (one per	page)
16.	H.R.3818, Comprehensive Investor Protection A. H.R.3914, Energy Trading Oversight Act, Ener H.R.4, SAFE Act of 2001 Securing America's F. H.R.5118, Corporate Fraud Accountability Act S.1951, A bill to provide regulatory oversight of S.2004, Investor Confidence in Public Account S.2673, Public Company Accounting Reform at S.517, National Laboratories Partnership Impression	rgy derivatives uture Energy Act of 2001, Energy derivatives t of 2002, Corporate governance ver energy trading markets, and for other purposes., Energy ng Act of 2002, Corporate governance and Investor Protection Act of 2002, Corporate governance vovement Act of 2001, Energy derivatives
	CONGRESSIONAL ACTION: House Financia	il Services Committee inquiry
17.	House(s) of Congress and Federal agencies contact Department of Treasury House of Representatives	cted
	Senate The White House	
18.		n this issue area
18.	The White House	n this issue area  Covered Official Position (if applicable)
18.	The White House  Name of each individual who acted as a lobbyist i	
18.	Name of each individual who acted as a lobbyist i	
18.	Name of each individual who acted as a lobbyist in Name  Angus, III, John W.	
18.	Name of each individual who acted as a lobbyist i  Name  Angus, III, John W.  Berman, Michael S.	
18.	Name of each individual who acted as a lobbyist i  Name  Angus, III, John W.  Berman, Michael S.  Champlin, Steven M.	
18.	Name of each individual who acted as a lobbyist in Name  Angus, III, John W.  Berman, Michael S.  Champlin, Steven M.  Duberstein, Kenneth M.	
18.	Name of each individual who acted as a lobbyist in Name  Angus, III, John W.  Berman, Michael S.  Champlin, Steven M.  Duberstein, Kenneth M.  Gandy, Henry M.	

**~** . 2/14/2003

Signature		Date	
Printed Name and Title	John W. Angus, III - Senior Vice President & GC		Pa;

Regi	istrant Name:	The Duberstein Group, Inc.	
Clie	nt Name:	Goldman Sachs & Company	
enga	iged in lobbyü	FIVITY. Select as many codes as necessary on behalf of the client during the reportinuested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.
15.	General issue	e area code <u><b>RET</b></u> (one per page)	
16.	H.R.3669, E H.R.3762, P H.R.3763, C	etirement Security Advice Act of 2001, A mployee Retirement Savings Bill of Righ ension Security Act of 2002, Amend Eris	ts, Amend Erisa re T+1 a re T+1 lesponsibility, and Transparency Act of 2002, Amend Er
17.	Department	Congress and Federal agencies contacted of Labor epresentatives	☐ Check if None
18.	Name of eac	h individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Angus, III.	John W.	
<u></u>	Berman, M	ichael S.	
	Champlin,	Steven M.	
	Duberstein,	Kenneth M.	
	Gandy, Her	nry M.	
	Meyer, Dar	niel	
_			
19.	Interest of e	ach foreign entity in the specific issues liste	d on line 16 above 🔀 Check if None

Signature		_ Date	2/14/2005	<del></del>
Printed Name and Title	John W. Angus, III - Senior Vice President & GC			Paş

Registrant Nan	ne: The Duberstein Group, Inc.	
Client Name:	Goldman Sachs & Company	
engaged in lob	bying on behalf of the client during the reportion requested. Attach additional page(s) as needed	
16. Specific I	obbying issues	
EXECUT	TIVE BRANCH ACTIVITIES: Tax reform	
Departm House of Office of The Whi	of Congress and Federal agencies contacted lent of Treasury Representatives Management and Budget ite House	☐ Check if None
	each individual who acted as a lobbyist in this	Covered Official Position (if applicable)
Name		Covoled Citician Content (in apparents)
Angus, I	II. John W.	
Berman	, Michael S.	
Champl	in, Steven M.	
Duberst	ein, Kenneth M.	
Gandy,	Henry M.	
Meyer,	Da niel	
19 Interest	of each foreign entity in the specific issues list	ed on line 16 above   Check if None

Signature		Date	
Printed Name and Title	John W. Angus, III - Senior Vice President & GC		_ Paş

	strant Name:	The Duberstein Group, Inc.					
Client Name:		Goldman Sachs & Company					
engag	ged in lobbying	IVITY. Select as many codes as necessary on behalf of the client during the reportin ested. Attach additional page(s) as needed.	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide				
15. General issue area code TRD (one per page)							
16.	Specific Lobbying issues						
	EXECUTIVE	BRANCH ACTIVITIES: Trade sancti	ons				
17.	House(s) of C Department	ongress and Federal agencies contacted of State	☐ Check if None				
18.	Name of each	individual who acted as a lobbyist in this i	ssue area				
	Name		Covered Official Position (if applicable)				
	Angus, III., J	ohn W.					
	Berman, Mic	chael S.					
	Champlin, S	teven M.					
	Duberstein,	Kenneth M.					
	Gandy, Henr	ry M.					
	Meyer, Dani	el					
19.	Interest of ea	ch foreign entity in the specific issues listed	d on line 16 above Marcheck if None				

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Signature	-rx v		Date .	4/14/4003	
Signatur =	0				
Printed Name and Title	John W. Angus, III	- Senior Vice President & GC			Pa