

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY OF THE SENATE
04 FEB 20 PM 2:54

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Trustees of Columbia University			
2. Address <input type="checkbox"/> Check if different than previously reported 535 West 116th Street, 302 Low Library			
3. Principal Place of Business (if different from line 2) New York NY 10027 City: State/zip (or Country)			
4. Contact Name Ellen S. Smith	Telephone (212) 854-3394	E-mail (optional) ess9@columbia.edu	5. Senate ID # 3858512
7. Client Name <input checked="" type="checkbox"/> Self Self			6. House ID # 30887000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-Decer

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>100,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opti</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitior</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
--	--

Signature _____ Date _____

Printed Name and Title _____ Emily Lloyd, Executive Vice President _____

LD-2 (REV. 4/03)

PAGE 1 of .

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

HR2673, FY2004 Consolidated Appropriations Bill
HR2673/S1427, Agriculture Appropriations Act 2004
HR2799/S1585, Commerce/ Justice/State/ Judiciary Appropriations 2004
HR2658/S1382, Defense Appropriations Act 2004
HR2754/ S1424, Energy & Water Appropriations Act 2004
HR2800/S1426, Foreign Operations Appropriations Act 2004
HR2555, Homeland Security Appropriations Act 2004
All provisions relating to science, healthcare and education funding

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 2 of

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

cont'd
 HR2691/S1391, Interior Appropriations Act 2004
 HR2660/S1356, Labor/HHS/ Education Appropriations Act 2004
 HR2989/S1589, Transportation/ Treasury Appropriations Act 2004
 HR2861/S1584, VA/HUD Appropriations Act, 2004
 All provisions relating to science, healthcare and education funding

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title EMILY LOYD, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 3 of

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

HR2391, Cooperative Research and Technology Enhancement (CREATE) Act of 2003
 H.R. 3261, Database and Collections of Information Misappropriation Act of 2003
 H.R.2613, Public Access to Science Act
 HR 2517, Piracy Deterrence and Education Act of 2003
 HR2673, FY2004 Consolidated Appropriations Bill

 All provisions relating to intellectual property issues with relevance to higher education

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 4 c

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R. 3311, Affordability in Higher Education Act of 2003
HR 3077, International Studies in Higher Education Act
HR 3076, Graduate Opportunities in Higher Education Act of 2003
HR 3180, College Opportunity for All Act
H.R. 2211, Ready to Teach Act

All provisions relating to higher education funding and policy.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

cont'd
 H.R. 438, Teacher Recruitment and Retention Act.
 H.R. 3039, Expanding Opportunities in Higher Education Act
 S 1793, College Quality, Affordability, and Diversity Improvement Act of 2003
 HR2660/S1356, Labor/HHS/ Education Appropriations Act 2004
 All provisions relating to higher education funding and policy.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 6 of 6

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S 14, Energy Policy Act of 2003
 S 915, Energy and Science Research Investment Act of 2003
 HR 6, Energy Policy Act of 2003

 All provisions relating to energy policy and scientific funding.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 7 of 6

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR2660/S1356, Labor/HHS/ Education Appropriations Act 2004
 HR2673, FY2004 Consolidated Appropriations Bill

 All provisions relating to scientific and public health funding.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 8 c

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HOM (one per page)

16. Specific lobbying issues

HR2555, Homeland Security Appropriations Act 2004
S 589, Homeland Security Federal Workforce Act of 2003
All provisions relating to scientific research and education.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rec. 4/03)

Page 9

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

S 1545, Development, Relief, and Education for Alien Minors Act of 2003
All provisions relating to foreign students and scholars.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S.1/HR 1 Medicare Prescription Drug, Improvement, and Modernization Act of 2003
 HR2673, FY2004 Consolidated Appropriations Bill

All provisions relating to financing of hospitals, medical education and physicians

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

S 915, Energy and Science Research Investment Act of 2003
 S.189 21st Century Nanotechnology Research and Development Act
 HR 1588, National Defense Authorization Act for Fiscal Year 2004
 HR2673, FY2004 Consolidated Appropriations Bill
 H.R.3594, Protection for Participants in Research Act of 2003

 All provisions relating to scientific research and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 3412, Higher Education Affordability and Equity Act of 2003
HR 7, Charitable Giving Act of 2003

All provisions relating to tax issues of relevance to not-for-profit institutions and students

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Form LD-2 (Rec. 4/03)

Page 13 c

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

H.R. 3550 Transportation Equity Act: A Legacy for Users
 All provisions relating to urban transportation issues.

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Asst. Vice President and Dir. of Government Relations
Nicholas Moustakas	Government Relations Associate
Catherine Dente	Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title

Emily Lloyd, Executive Vice President

Form LD-2 (Rev. 4/03)

Page 14 of 14

Registrant Name The Trustees of Columbia University Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Susan Long

Catherine Dente

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

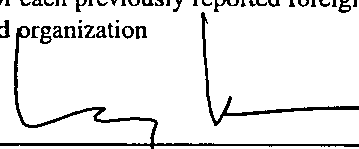
26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	C F C

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, cl
affiliated organization

Signature 

Date 2/12/04

