Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

ECFLIARY OF TO

. Registrant Name			
The OB-C Group, LLC			
Address 1350 Eye Street, NW	t than previously reporte State/Zip (or Country)	Suite 690 DC 20005 USA	
Principal Place of Business (if different from	line 2)		
City	State/Zip (or Country)		
. Contact Name		-mail (optional) dembowski@ob-cgroup.com	5. Senate ID # 29802-455
. Client Name			6. House ID#
Check if this filing amends a previo	ously filed version o	f this report	·
Check if this filing amends a previo	ously filed version o	f this report ation Date	*
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Check if this filing amends a previous. Check if this is a Termination Report INCOME OR EXPENSES 12. Lobbying First INCOME relating to lobbying activities period was: Less than \$10,000 \$10,000 or more \$\infty\$ >> \$\frac{\$16}{\text{Inco}}\$	ously filed version of the state of the stat	ther Line 12 OR Line 13 SEXPENSES relating to lobbying period were: Less than \$10,000	11. No Lobb anizations activities for this re Expenses (nearest!
Check if this filing amends a previous. Check if this is a Termination Report INCOME OR EXPENSES 12. Lobbying First INCOME relating to lobbying activiting period was: Less than \$10,000 \$10,000 or more \$\implies >> \$\frac{\$16}{\text{Incomess}}\$ Provide a good faith estimate, rounder \$20,000 of all lobbying related incomes \$10,000 of all lobbying related incomes.	ously filed version of the second series of the second series for this reporting to the second series (1900). One (nearest \$20,000) of the nearest second series from the client series (1900).	ther Line 12 OR Line 13 13. Orga EXPENSES relating to lobbying period were: Less than \$10,000 \$10,000 or more \$\simeq >> \$\\$ 14. REPORTING METHOD. accounting method. See instructions are since the state of t	11. No Lobb anizations activities for this re Expenses (nearest! Check box to indications for description
12. Lobbying First INCOME relating to lobbying activiting period was: Less than \$10,000 \$10,000 or more \$\infty\$ >> \$\frac{\$160}{\$1000}\$ Provide a good faith estimate, rounded	ously filed version of the second sec	ther Line 12 OR Line 13 13. Orga EXPENSES relating to lobbying period were: Less than \$10,000 \$10,000 or more \$\simeq >> \$\\$ 14. REPORTING METHOD. accounting method. See instructions are since the state of t	Expenses (nearest) Check box to indications for description nts using LDA definants under section 60

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Signature		
Printed Name and Title	Celeste Dembowski - Office Administrator	Pŧ

Registrant Name	e: The OB-C Group, LLC	
Client Name:	Investment Company Institute	
engaged in lobbinformation as realist. General iss	ying on behalf of the client during the report equested. Attach additional page(s) as needed	
5.6 () 1 1.1.1	,	
	of Congress and Federal agencies contacted Representatives	□ Check if None
18. Name of e	each individual who acted as a lobbyist in this	issue area
Name		Covered Official Position (if applicable)
Johnson,	Michael S.	
Keating,	Thomas	
McKerna	n, Kim F.	
Mellody,	Charles J.	
Nelson, P.	atricia A.	
	III, Lawrence F.	

Date 2/13/2003

Signature		
Printed Name and Title	Celeste Dembowski - Office Administrator	Pa

Client Name: <u>Investment Company Institute</u>	
Item Description Data	
18a Lobbyist Name Tarplin, Lin 18b Covered Official Position	da E.
18c New Lobbyist No	
100 1.00 2023,00	

	rant Name:	The OB-C Group, LLC	
Client		Investment Company Instit	
engage	ed in lobbyir	IVITY. Select as many codes g on behalf of the client durin lested. Attach additional page	s as necessary to reflect the general issue areas in which the registrant ag the reporting period. Using a separate page for each code, provide of some seeded.
15. C	General issue	area code FIN (o	ne per page)
16. Specific Lobbying issues H.R.10, Comprehensive Retirement Security and Pension Reform Act of 2001, IRA Expansion. H.R.168, To amend the Internal Revenue Code of 1986 to allow individuals an exclusion from gamounts of capital gains distributions from regulated investment companies., capital gains. H.R.3162, Uniting and Strengthening America by Providing Appropriate Tools Required to International (USA PATRIOT ACT) Act of 2001, money laundering, account management for for H.R.3406, Electric Supply and Transmission Act, providing exemptions to affiliates of public up PUHCA repeal. S.631, Comprehensive Retirement Security and Pension Reform Act of 2001, IRA Expansion.			e Code of 1986 to allow individuals an exclusion from gross income om regulated investment companies., capital gains. nerica by Providing Appropriate Tools Required to Intercept and C 2001, money laundering, account management for foreign national scient Act, providing exemptions to affiliates of public utility holding
į	House(s) of (House of Re Senate	Congress and Federal agencies presentatives	s contacted Check if None
18	Nama of soc		
10.	name or eac	h individual who acted as a lo	obbyist in this issue area
	Name of eac	h individual who acted as a lo	Covered Official Position (if applicable)
	Name	ichael S.	
	Name Johnson, M	ichael S. Iomas	
	Name Johnson, M Keating, Tl	ichael S. Iomas Kim F.	
	Name Johnson, M Keating, Tl McKernan	ichael S. Iomas Kim F. harles J.	
	Name Johnson, M Keating, Tl McKernan, Mellody, C Nelson, Pat	ichael S. Iomas Kim F. harles J.	

Signature		Date	
Printed Name and Title	Celeste Dembowski - Office Administrator		Pa

tem Description		
	Data	
18a Lobbyist Name	Tarplin, Linda E.	
18b Covered Official P 18c New Lobbyist	ition No	

Regi	strant Name:	The OB-C Group, LLC	
Clier	nt Name:	Investment Company Institute	
enga	iged in lobbyir	IVITY. Select as many codes as necessary g on behalf of the client during the reportinested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide.
15.	General issue	area code <u>RET</u> (one per page)	
16.	H.R.2269, Re H.R.3669, Et H.R.3762, Poss S.1969, Poss	prehensive Retirement Security and Peretirement Security Advice Act of 2001, employee Retirement Savings Bill of Rightension Security Act of 2002, entire bill.	nsion Reform Act of 2001, provide new incentives to save ntire bill. ts, entire bill. ion Reform Act of 2001, provide new incentives to save.
17.		Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of eac	h individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Johnson, M	ichael S.	
	Keating, Th	omas	
	McKernan,	Kim F.	
	Mellody, Cl	narles J.	
	Nelson, Pat	ricia A.	
	O'Brien, II	, Lawrence F.	
	Ruhlen, Ma	ry Ellen	
19.	. Interest of e	ach foreign entity in the specific issues list	ed on line 16 above 🛛 Check if None

Signature		Date	
Printed Name and Title	Celeste Dembowski - Office Administrator		Pa

Registra	gistrant Name: The OB-C Group, LLC		LLC	
Client N	Vame:	Investment Compa	ny Institute	
Item	Description	วท	Data	
18a	Lobbyist	Name	Tarplin, Linda E.	
18b	ĺ	Official Position	No	
18c	New Lob	vyist	110	

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Reg	istrant Name:	The OB-C Group, LLC	
Clie	nt Name:	Investment Company Institute	
enga	aged in lobbyin		y to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provide at.
15.	General issue	area code TAX (one per page)	,
16.		oying issues conomic Growth and Tax Relief Reconce omic Growth and Tax Relief Act of 2001	
17.	House(s) of C House of Rep Senate	Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this	issue area Covered Official Position (if applicable)
			Covered Official Losinon (II applicable)
	Johnson, Mic	chael S.	
	Keating, Tho	omas	
-	McKernan, I	Kim F.	
	Mellody, Cha	arles J.	
	Nelson, Patri	icia A.	
	O'Brien, III,	Lawrence F.	
	Ruhlen, Mar	y Ellen	
19.	Interest of ea	ch foreign entity in the specific issues liste	ed on line 16 above 🔀 Check if None

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2/12/2002

Signature TOWN	I RMM INDIA	Date	2/13/2003	. <u></u>
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Printed Name and Title	Celeste Dembowski - Office Administrator			Pa
				

Registra Client N	nt Name: <u>The OB-C Group, L</u> lame: <u>Investment Compan</u>	ſ
Item	Description	Data
18a Lobbyist Name		Tarplin, Linda E.
18b Covered Official Position 18c New Lobbyist		No G
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