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# LOBBYING REGISTRATION

## Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 3/1/99  
 2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

### REGISTRANT

3. Registrant Name Griffin, Johnson, Dover & Stewart, Inc.  
 Address 1300 Connecticut Avenue, NW Suite 600  
 City Washington State DC Zip 20036 USA  
 4. Principal place of business (if different from line 3)  
 City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
 5. Telephone number and contact name Contact E-Mail (optional)  
202/775-8316 Peggy Kirk  
 6. General description of registrant's business or activities  
Government relations firm

**CLIENT** *A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self*

7. Client Name United Technologies  
 Address 1401 Eye Street, NW Suite 600  
 City Washington State DC Zip 20005  
 8. Principal place of business (if different from line 7)  
 City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
 9. General description of client's business or activities  
Diversified corporation

### LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
<u>William C. Danvers</u>	
<u>G. Jack Dover</u>	
<u>Katherine S. Drummond</u>	
<u>Lawrence G. Flick</u>	

Registrant Name: Griffin, Johnson, Dover & Stewart, Inc.

Client Name: United Technologies

Item	Description	Data
10a	Lobbyist Name	Patrick J. Griffin
10b	Covered Official Position	Dir. Leg. Affairs, White House
10a	Lobbyist Name	Keith Heard
10b	Covered Official Position	
10a	Lobbyist Name	David E. Johnson
10b	Covered Official Position	
10a	Lobbyist Name	Susan O. Mann
10b	Covered Official Position	Admin., Leg. & Int'l Affairs
10a	Lobbyist Name	Walter J. Stewart
10b	Covered Official Position	
10a	Lobbyist Name	Leonard Swinchart
10b	Covered Official Position	Sr. Floor Assistant, Speaker of the House

Registrant Name: **Griffin, Johnson, Dover & Stewart, Inc.**

Client Name: **United Technologies**

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

**DEF, TRD**

12. Specific lobbying issues (current and anticipated)

**Export of aircraft to foreign countries. Assisting with Congressional notification process.**

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises, or controls the registrant's lobbying activities?

No. Go to line 14.  Yes. Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or county)

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No. Sign and date the registration.  Yes. Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal Place of Business (city and state or county)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature  Date **4/1/99**

Printed Name and Title **David E. Johnson - Vice President**