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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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SECRETARY OF THE SENATE  
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**LOBBYING REGISTRATION**  
Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an amended registration  1. Effective Date of Registration February 15, 2000

2. House Identification Number 34137 Senate Identification Number \_\_\_\_\_

**REGISTRANT**

3. Name of Registrant The Legislative Strategies Group, LLC

Address 1001 Pennsylvania Avenue, N.W, Suite 760N

City Washington State DC Zip 20004

4. Principal place of business (if different from line 3)  
City N/A State/Zip (or Country) \_\_\_\_\_

5. Telephone number and contact name  
(202) 661-7060 Contact Katherine B. Merritt E-mail (optional) \_\_\_\_\_

6. General description of registrant's business or activities  
Lobbying Firm

**CLIENT** A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self

7. Client name Novartis Corporation

Address 701 Pennsylvania Avenue, NW, Suite 725

City Washington State DC Zip 20004

8. Principal place of business (if different from line 7)  
City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

9. General description of client's business or activities  
Pharmaceuticals

**LOBBYISTS**

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

| Name                   | Covered Official Position (if applicable) |
|------------------------|---|
| Denise M. Henry        |   |
| Larry E. Smith         |   |
| Martin B. Gold         |   |
| Allison H. Giles       |   |
| G. David Mason         |   |
| Steven M. Hilton, Esq. |   |

Registrant Name The Legislative Strategies Group

Client Name Novartis Corporation

### LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

MED \_\_\_\_\_ MMM \_\_\_\_\_ HCR \_\_\_\_\_ PHA \_\_\_\_\_

12. Specific lobbying issues (current and anticipated)

### AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

No ⇒ Go to line 14.

Yes ↓ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|---|
|      |         |   |

### FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No ⇒ Sign and date the registration.

Yes ↓ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|------|---------|---|--|--------------------------------|
|      |         |   |  |                                |

Signature *Larry Smith*

Date June 30, 2000

Printed Name and Title Larry Smith, President

Form LD-1 (Rev. 06/98)

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