

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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See explanation  
on last page  
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[Signature]

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>St. Mary's Duluth Clinic Health System</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>400 East Third Street</u>			
3. Principal Place of Business (if different from line 2) City: <u>Duluth</u> State/Zip (or Country) <u>MN 55805</u>			
4. Contact Name <u>Mark J. Huber</u>	Telephone <u>651-226-4527</u>	E-mail (optional) <u>mhuber@smhc.org</u>	5. Senate ID #
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <u>3551300</u>

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>

Signature Mark J. Huber

Printed Name and Title Mark J. Huber, Vice President for Government



St. Mary's Duluth Clinic

Registrant Name Health System Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Reimbursement for medical services (Medicare)  
Workforce Development cost/expense

17. House(s) of Congress and Federal agencies contacted  Check if None

Sen. Mark Dayton (MN)  
Sen. Paul Wellstone (MN)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mark J. Huber</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Mark J. Huber Date 12/21/01

Printed Name and Title Mark J. Huber, Vice President for Governance



St. Mary's Duluth Clinic

Registrant Name Health System Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HIPAA regulations & cost/expenses to implement  
Workforce Development cost/expense

17. House(s) of Congress and Federal agencies contacted  Check if None

Sen. Mark Dayton (MN)

Sen. Paul Wellstone (MN)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mark J. Huber</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Mark J. Huber Date 12/21/01

Printed Name and Title Mark J. Huber, Vice President for Care



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# Public Disclosure

## NOTICE REGARDING 1/1/01 INCREASE IN REGISTRATION THRESHOLDS

**SUBJECT: Adjustment in Dollar Amounts for Exemption From Registration Under the Lobbying Disclosure Act**

12-21-01

The Lobbying Disclosure Act of 1995 ("LDA") exempts lobbying firms and organizations from registration and reporting under the LDA when lobbying income or expenses do not exceed certain dollar amounts.

To Whom It May

Section 4(a)(3) of the LDA, 2 U.S.C. 1603(a)(3), set the initial dollar minimums at \$5,000, in the case of lobbying income of a firm from a particular client, and \$20,000, in the case of lobbying expenses incurred by an organization. Section 4(a)(3) further provides that the dollar amounts are adjusted on January 1, 1997 and every four years thereafter, based on changes in the Consumer Price Index. Any adjustments are rounded to the nearest \$500.

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Applying the change in the Consumer Price Index through January 1, 1997, and the rounding requirement, there was no adjustment at that time to the LDA's \$5,000 minimum for lobbying firm income. The dollar minimum applicable to lobbying organizations' expenses was adjusted to \$20,500.

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Statistics published by the Department of Labor advise that the Consumer Price Index increased approximately 9.7% over the 4-year period from January 1, 1997 until January 1, 2001. Accordingly, effective as of January 1, 2001:

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- A lobbying firm whose total income for matters related to lobbying activities on behalf of a particular client does not exceed or is not expected to exceed **\$5,500** in the semi-annual period during which the registration would be made is not required to register with respect to such client.
- A lobbying organization whose total expenses in connection with lobbying activities do not exceed or are not expected to exceed **\$22,500** in the semi-annual period during which the registration would be made is not required to register.

Mark

12/21,

### PROCEED TO LOBBYING REGISTRATION INFORMATION

