

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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CLERK OF THE SENATE

03 FEB 14 P 14:45

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page


1. Registrant Name United Technologies Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 1401 Eye Street, NW, Suite 600			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20005 USA			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Jack Humphries	(202) 336-7474	jack.humphries@utc.com	392
7. Client Name <input checked="" type="checkbox"/> Self	United Technologies Corporation		6. House ID #
			307

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec)
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,928,279.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6111 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature 

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

LD-2 (REV. 6/98)

Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Corporate Governance S 2673 HR 3763

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Darby Becker

Date 02/14/03

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues

NASA Appropriations, NASA Aeronautics HR 4653 S 2966

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives, NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	
Ed Bullard	
John Kopecky	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darby Becker* Date 02/14/03

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code AVI (one per page)

16. Specific lobbying issues

FAA Appropriations, FAA Funding HR 5559 S 2808, War Risk Insurance, FAA Regulations Affecting Jet Engines, Sale of Large Commriral Engines & USG Advocacy for the Same

17. House(s) of Congress and Federal agencies contacted Check if None

US Senate, US House of Representatives, Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	
Ed Bullard	
Susan Walsh	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Darby Becker* Date 02/14/03

Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Defense Appropriations, Museum Funding VA-HUD Appropriations, Export-Import Bank Appropriations HR 5410 S 27

17. House(s) of Congress and Federal agencies contacted Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Holly Canevari	
Sherry Grandjean	
Chris Peace	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Dariusz Becker* Date 02/14/03

Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

DoD Authorization Bills HR 4546 S 2514, DoD Appropriations

17. House(s) of Congress and Federal agencies contacted Check if None

US Senate, US House of Representatives, Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Bullard	
Richard G. Hellier	
Jack Humphries	
John Kopecky	
Hugh Risseuw	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Dariusz Bede* Date 02/14/03

Printed Name and Title U Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Fuel Cell Commercialization HR 1830, S 883, S 596, S 388, S 389, S 1766, S 517; Energy Incentives Omnibus Energy Bill

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Bayer	
John Kopecky	
John Mandyck	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Daniel Beck* Date 02/14/03

Printed Name and Title U Darby Becker, Manager and Counsel Government Relations

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15. General issue area code FOR (one per page)

16. Specific lobbying issues

Export-Import Bank Appropriations HR 5410, S 2779; State Department Authorization HR 1646; Export-Import Bank Loan Guarantee Facility for Turkey ; Tracking of Foreign Regulations of Jet Engines

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives, Export-Import Bank, Department of Defense, Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Sherry Grandjean	
Susan Walsh	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Daniel Becker* Date 02/14/03

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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15. General issue area code INS (one per page)

16. Specific lobbying issues

War Risk Insurance

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darby Becker* Date 02/14/03

Printed Name and Title () Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

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15. General issue area code LBR (one per page)

16. Specific lobbying issues

Pension Reform HR 3762; HR 3669; S 1992; S 1971; S 2190

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darby Becker* Date 02/14/03

Printed Name and Title U Darby Becker, Manager and Counsel Government Relations

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15. General issue area code MAN (one per page)

16. Specific lobbying issues

100th Anniversary of Carrier H. Con. Res. 413; Product Liability S 1712; Product Sale Clinton Presidential Library

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
John Mandyck	
.....	
.....	
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Paul J. Beck* Date 02/14/03

Printed Name and Title U Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform HR 3762; HR 3669; S 1992; S 1971; S 2190

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darby Becker*

Date 02/14/03

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

NASA Authorization Bill HR 4653

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Jack Humphries	
John Kopecky	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darling Bean* Date 02/14/03

Printed Name and Title ^U Darby Becker, Manager and Counsel Government Relations _____

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Fuel Cell, Microturbine and Combined Heat and Power Tax Credit HR 1275, S 828, HR 4, S 596, S 388, S 1766, S 51 International Tax Issues HR 5095; R&D Tax Credit HR 41, S 41; WTO Case on FSC/ETI; Development of a Tax Substitute to FSC/ETI

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives, Department of State, Department of Commerce, Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Bayer	
Keith Smith	International Trade Adm. Commerce
Jeremy Preiss	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Dariusz Becker* Date 02/14/03

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

Sale of Large Commercial Engines & USG Advocacy for Same, Tracking of Foreign Regulations of Jet Engines, FAA Regulations Affecting Jet Engines

17. House(s) of Congress and Federal agencies contacted Check if None

Federal Aviation Administration, Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Walsh	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Daniel Beden* Date 02/14/03

Printed Name and Title [✓] ^U Darby Becker, Manager and Counsel Government Relations

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

Homeland Security Liability Concerns HR 5005

17. House(s) of Congress and Federal agencies contacted

Check if None

US Senate, US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Darby Becker	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Darby Becker* Date 02/14/03

Printed Name and Title U Darby Becker, Manager and Counsel Government Relations

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Trade Promotion Authority HR 3009; Rolls Royce Subsidies; Export Controls; Economic Sanctions; WTO Case on FSC/ETI; Development of a Tax Substitute for FSC/ETI; WTO Negotiations, Market Access Matters; Export-Import Bank Dual Use Provision; Arms Sales and Licenses; Export-Import Bank Loan Guarantee Facility for Turkey; Export Administration Act S 149; Export Control Reform; Export Financing; USG Advocacy for Large Commercial Engines

17. House(s) of Congress and Federal agencies contacted Check if None

US Senate, US House of Representatives, Department of State, Department of Commerce; United States Trade Representative, Department of Treasury, Export-Import Bank, Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Sherry Grandjean	
Peter Jordan	
John Kopecky	
Jeremy Preiss	
Keith Smith	Int'l Trade Administration, Dep't of Commerce
Susan Walsh	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 02/14/03

Printed Name and Title ^U Darby Becker, Manager and Counsel Government Relations

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Registrant Name United Technologies Corporation Client Name United Technologies Corporation

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

John Nichols

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant affiliated organization

Dr. ...

Signature *[Handwritten Signature]* Date _____

Printed Name and Title Darby Becker, Manager and Counsel Government Relations

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