

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

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Washington, DC 20510

SECRETARY OF THE SENATE

99 AUG 16 PM 4: 20

LOBBYING REPORT H.D.

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Union Pacific Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 600 Thirteenth Street, N.W., Suite 340, Washington, D.C. 20005			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Mary McAuliffe	Telephone 202-662-0110	E-mail (optional)	5. Senate ID # 38995-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 32017000		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,072,000</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____

Printed Name and Title _____

LD-2 (REV. 6/98)

PAGE 1 of 8

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues
S.1090 - Boehlert's Superfund Bill (support)
Lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Mary E. McAuliffe</u>		<input type="checkbox"/>
<u>Sean B. O'Hollaren</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.300/S.326/S.1344/S.6/S.240/HR 448/HR 2095/HR 358 - Patients Bill of Rights (no position)
S.374/S.881/S.573/S.578/HR 1941/HR 2470/HR 1057 - Confidentiality of Health Records
(support) - lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
Sean B. O'Hollaren		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Union Pacific Corporation Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 1001/S.820 - Transportation and Tax Equity Fairness Act (support)

HR 2060 - Railway Safety & Funding Equity Act of 1999 (oppose)

HR 2488 - House/Senate Tax Bill (support) - lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
Sean B. O'Hollaren		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Union Pacific Corporation Client Name Self

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

HR 1260 - Harbor Investment Program Act (support)
HR 1947 - Harbor Services Fund Act of 1999 (oppose)
S.747 - Surface Transportation Board Reauthorization & Improvement Act of 1999 (oppose)
S.98 - Surface Transportation Board Reauthorization Act of 1999 (support)
HR 1667 - Safe & Efficient Transportation Act (oppose)
Surface Transportation Board Appointments
General Issues relating to the transportation of agriculture commodities.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code RRR (one per page)

16. Specific lobbying issues

- HR 45 - Interim Storage of Nuclear Waste (monitored)
- S.608 - Nuclear Waste Policy Amendments Act of 1999 (monitored)
- S.621 - Railroad Competition & Service Improvement Act of 1999 (oppose)
- S.712 - Look, Listen, and Live Stamp Act (support)
- S.1235 - Railroad police admittance to the FBI Academy (support)
- S.461/S.96/HR 775 - Year 2000 Fairness & Responsibility Act (support)
- Reauthorization of the Rail Safety Act (no number) (monitor)

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
Sean B. O'Hollaren		<input type="checkbox"/>
Joseph R. Baleman, Jr.		<input type="checkbox"/>
Susan Auther Andres		<input type="checkbox"/>
Katherine W. Maness		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Union Pacific Corporation Client Name Self

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

HR 2084/S 1143 - Department of Transportation Appropriations bill (monitor)
Section on FRA - supported earmarking funding for peer training
Labor, HHS, & Education Appropriations bill (no number) - support additional funding
for the National Mediation Board

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
Sean B. O'Hollaren		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Printed Name and Title _____

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15. General issue area code LRR (one per page)

16. Specific lobbying issues

General issues to promote oversight hearings in the House/Senate re: the teamsters.
General issues re: oversight of the National Labor Relations Board

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		<input type="checkbox"/>
Michael A. Rock		<input type="checkbox"/>
Susan Auther Andres		<input type="checkbox"/>
Mark B. Goodwin		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Mary E. McAuliffe Date 8/16/99
Printed Name and Title Mary E. McAuliffe, Vice President - External Relations