



SECRETARY OF THE SENATE

99 AUG 12 AM 10:30

H.D.

LAW DEPARTMENT
Mailing Address: P. O. Box 23973, Milwaukee, Wisconsin 53223-0973
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Writer's Direct Dial Number: (414) 359-4141
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August 11, 1999

VIA AIRBORNE EXPRESS

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, D.C. 20510

RE: MID-YEAR LOBBYING DISCLOSURE REPORT

Dear Secretary,

Pursuant to the Lobbying Disclosure Act (Section 5) for year end reporting January 1, 1999 through June 30, 1999, I have enclosed A.O. Smith Corporation's Lobbying Report for your review.

Please call if you have any questions; otherwise thank you for your consideration.

Very truly yours,

A.O. SMITH CORPORATION

Donna J. Crangle
Sr. Paralegal

Enclosure

cc: W. David Romoser (w/enclosure)
Roger S. Smith (w/enclosure)
Dick Krueger (w/enclosure)
Russ Draeger (w/enclosure)

*Best
Something
Special*

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>A. O. Smith Corporation</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>11270 West Park Place</u>			
3. Principal Place of Business (if different from line 2) City: <u>Milwaukee</u> State/Zip (or Country) <u>WI 53224</u>			
4. Contact Name <u>W. David Romoser, Esq.</u>	Telephone <u>(414) 359-4137</u>	E-mail (optional)	5. Senate ID # <u>35695-12</u>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <u>31754000</u>

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>\$60,000</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature *Roger S. Smith*

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCP (one per page)

16. Specific lobbying issues

Oppose "Patient Bill of Rights Act" Dingell/Kennedy Bill.
Oppose pre-empting ERISA, any expansion of liability, medical necessity and mandates in general.

17. House(s) of Congress and Federal agencies contacted Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>ROGER S. SMITH</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Social Security Reform
Personal Retirement Accounts

17. House(s) of Congress and Federal agencies contacted Check if None

Didn't lobby, only tracked the issues

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Roger S. Smith</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

"The Financial Freedom Act" HB2488/S1492.
Tax Cuts, 10% across the board tax cut, permanent
R&D tax credit, repeal death taxes

17. House(s) of Congress and Federal agencies contacted Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Roger S. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999
Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

- 1) Superfund Reform
- 2) Global Climate
- 3) Environmental Justice

17. House(s) of Congress and Federal agencies contacted Check if None

Didn't lobby on any of these, only tracked them.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Roger S. Smith</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GGV (one per page)

16. Specific lobbying issues

"The Regulatory Improvement Act" S. 746
Simplify regulatory process

17. House(s) of Congress and Federal agencies contacted Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Roger S. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name SELF

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

OSHA Reform
Stop any new ergonomics standards

17. House(s) of Congress and Federal agencies contacted Check if None

Just tracked the issue

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Roger S. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

China NTR renewal
Fast Track

17. House(s) of Congress and Federal agencies contacted Check if None

Only tracked these issues

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Roger S. Smith</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 2009
Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. D. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GPI (one per page)

16. Specific lobbying issues

Y2K Issue

17. House(s) of Congress and Federal agencies contacted Check if None

Just tracked the issue

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Roger S. Smith</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

Registrant Name A. O. Smith Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

"Family and Medical Leave Act"
To stop expansion of current law and clarify current law.

17. House(s) of Congress and Federal agencies contacted Check if None

Only tracked the issue

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Roger S. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roger S. Smith* Date August 11, 1999

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs