

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

02 FEB 13 AM 11:50

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Merrill Lynch & Co., Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1455 Pennsylvania Avenue, N.W., Suite 950			
3. Principal Place of Business (if different from line 2) City Washington, State/Zip (or Country) D.C. 20004-1087			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Bruce E. Thompson, Jr.	(202) 661-7120		24965-0
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3130500

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this re period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,020,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indica accounting method. See instructions for description c
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA defin
	<input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code

Signature _____

Printed Name and Title Bruce E. Thompson, Jr., FVP, Director of Government Relations

11/19/2013



Registrant Name Merrill Lynch & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Financial Services legislation and related issues, including the following bills: S. 128, S. 227, H.R. 1899, H.R. 746, H.R. 1293, H.R. 135, S. 1510, H.R. 3004, S. 420, H.R. 333, S. 1399, S. 1055, S. 1014, H.R. 2036 and H.R. 2720.

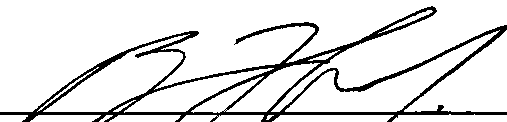
17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, U.S. Treasury (including Office of Comptroller of the Currency and Office of Thrift Supervision), the Federal Reserve Board, the Federal Deposit Insurance Corporation, and the White House.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bruce E. Thompson, Jr.	First Vice President, Director of Government Relations
John F. Kelly	Vice President, Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date February 15, 20



Registrant Name Merrill Lynch & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Securities industry regulatory and related issues, including S. 143, H.R. 1088 H.R. 1408, H.R. 2269.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, U.S. Treasury and Securities and Exchange Commission.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Janelle C.M. Thibau	Vice President, Government Relation
Bruce E. Thompson, Jr.	First Vice President, Director of Government Relations
John F. Kelly	Vice President, Government Relatic

19 Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date Feburay 15, 2002



Registrant Name Merrill Lynch & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cot information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

International trade issues, including H.R. 2052, S. 180, and H.R. 3005.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives and United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bruce E. Thompson, Jr.	First Vice President, Director of Government Relations
Janelle C.M. Thibau	Vice President, Government Relatio

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date February 15, 20



Registrant Name Merrill Lynch & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Tax issues such as IRAs, Capital Gains and corporate taxes, including H.R. 183 H.R. 3090 and S. 1732.

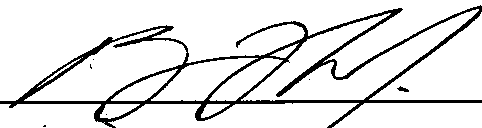
17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, United States Senate and U.S. Treasury Department including the Internal Revenue Service.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William R. DeReuter	Vice President, Government Relations
Bruce E. Thompson, Jr.	First Vice President, Director of Government Relations

19 Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date February 15, 201



Registrant Name Merrill Lynch & Co., Inc. Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

William R. DeReuter, Vice President, Government Relations

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

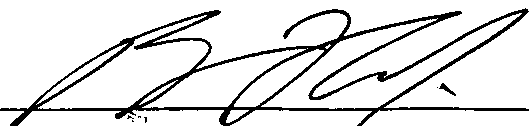
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

Signature



Date February 15, 200

Printed Name and Title Bruce E. Thompson, Jr. FVP, Director of Government Relations

Form F-101 (Rev. 6-99)

Page

