

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
02 FEB 14 PM 1:52

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| | | | |
|--|----------------------------------|--|--------------------------------------|
| 1. Registrant Name Washington Council Ernst & Young | | | |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1150 17th Street, NW Suite 601 City Washington State/Zip (or Country) DC 20036 USA | | | |
| 3. Principal Place of Business (if different from line 2) City State/Zip (or Country) | | | |
| 4. Contact Name Phil Moseley | Telephone 202-293-7474 | E-mail (optional) phil.moseley@wc.ey.com | 5. Senate ID # 575475-1457 |
| 7. Client Name <input type="checkbox"/> Self MassMutual (MA Mutual Life Insurance Co.) | | | 6. House ID # 35185013 |

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-Dec

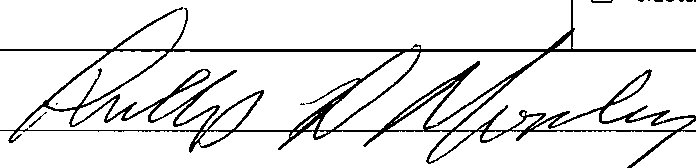
9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| | |
|---|--|
| 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$60,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(c) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code |
|---|--|

Signature



Date 2/12/2002



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

**HR 833, Bankruptcy Reform Act , provisions relating to single asset real estate and placing a cap on the amo
protection for retirement savings**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

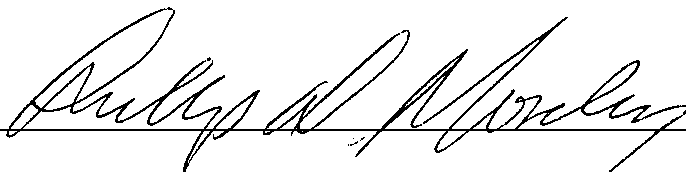
18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------------|---|
| Badger, Doug | |
| Bazel, Lauren | |
| Doney, John | |
| Fitzgerald, Jayne | |
| Garrett-Nelson, LaBrenda | |
| Gaspar, Gary | |
| Gates, Bruce | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 2/12/2002



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

| Item | Description | Data |
|------|---------------------------|---|
| 18a | Lobbyist Name | Giles, Thomas |
| 18b | Covered Official Position | Health Counsel, Energy and Commerce Committee |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Giordano, Nick |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Grab, Francis |
| 18b | Covered Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Koch, Cathy |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | McGuinness, Marty |
| 18b | Covered Official Position | Associate to Pete Sessions, House Cmte on Rules |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Meltzer, Richard |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Moseley, Phil |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Porter, John |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Relland, Susan |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Rozen, Bob |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Steele-Flynn, Donna |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Urban, Tim |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**HR 2190, Secure Assets for Employees (SAFE) Plan Act of 1999, Harris Trust Regulations at DOL
Department of Labor Class Exemption for Loans because of Y2K problems**

HR 4748, Comprehensive ERISA Modernization Act of 2000, all provisions of the bill

HR 4749, ERISA Modernization Act of 2000, all provisions of the bill

**HR 984, Caribbean and Central America Relief and Economic Stabilization Act, provisions relating to 419A(
Employee Welfare Benefits Trusts**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Labor
Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------------|---|
| Badger, Doug | |
| Bazel, Lauren | |
| Doney, John | |
| Fitzgerald, Jayne | |
| Garrett-Nelson, LaBrenda | |
| Gasper, Gary | |
| Gates, Bruce | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 2/12/2002



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

| Item | Description | Data |
|------|---------------------------|--|
| 16 | Lobbying Issues | Harris Trust Regulations at DOL Department of Labor Class Exemption for Loans because of Y2K problems DOL proposed class exemption for cross-trading of securities the Administration's revenue proposals for FY 2001 relating to business owned insurance, life insurance and annuities generally, deferred acquisition costs (DAC) for life insurance, and 419A(f)(6) Employee Welfare Benefits Trust |
| 18a | Lobbyist Name | Giles, Thomas |
| 18b | Covered Official Position | Health Counsel, Energy and Commerce Committee |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Giordano, Nick |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Grab, Francis |
| 18b | Covered Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Koch, Cathy |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | McGuinness, Marty |
| 18b | Covered Official Position | Associate to Pete Sessions, House Cmte on Rules |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Meltzer, Richard |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Moseley, Phil |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Porter, John |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Relland, Susan |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Rozen, Bob |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Steele-Flynn, Donna |



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

| Item | Description | Data |
|------|---------------------------|------------|
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Urban, Tim |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

HR 1941, Health Information Privacy Act, all provisions of the bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

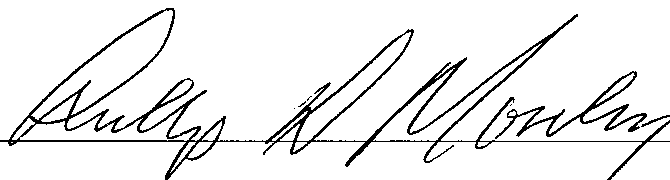
18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------------|---|
| Badger, Doug | |
| Bazel, Lauren | |
| Doney, John | |
| Fitzgerald, Jayne | |
| Garrett-Nelson, LaBrenda | |
| Gasper, Gary | |
| Gates, Bruce | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 2/12/2002



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

| Item | Description | Data |
|------|---------------------------|---|
| 18a | Lobbyist Name | Giles, Thomas |
| 18b | Covered Official Position | Health Counsel, Energy and Commerce Committee |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Giordano, Nick |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Grab, Francis |
| 18b | Covered Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Koch, Cathy |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | McGuinness, Marty |
| 18b | Covered Official Position | Associate to Pete Sessions, House Cmte on Rules |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Meltzer, Richard |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Moseley, Phil |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Porter, John |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Relland, Susan |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Rozen, Bob |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Steele-Flynn, Donna |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Urban, Tim |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |

[REDACTED]

Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

HR 1102, Comprehensive Retirement Security and Pension Reform Act of 1999, all provisions of the bill

HR 1213, Employee Pension Portability and Accountability Act of 1999, all provisions of the bill

HR 2102, Long Term Care and Retirement Security Act of 1999, all provisions of the bill

HR 3047, S 1708, Pension Reduction Disclosure Act of 1999, all provisions of the bill

HR 3081, Small Business Tax Fairness Act of 2000, provision relating to pension expansion

HR 4747, Retirement Security Advice Act of 2000, all provisions of the bill

HR 739, Retirement Account Portability Act of 1999, all provisions of the bill

S 1300, HR 2759, Older Workers Pension Protection Act of 1999, all provisions of the bill

S 1640, HR 2902, Pension Benefits Protection and Preservation Act of 1999, all provisions of the bill

S 476, Comprehensive Pension and Retirement Security Act of 1999, all provisions of the bill

S 646, Retirement Savings Opportunity Act of 1999, all provisions of the bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Labor

Executive Office of the President

House of Representatives

Senate

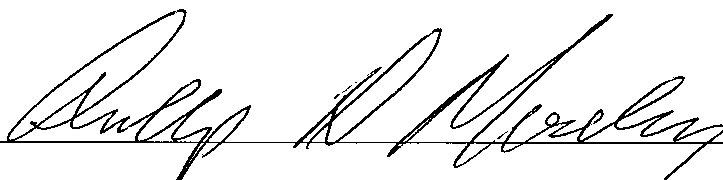
18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------------|---|
| Badger, Doug | |
| Bazel, Lauren | |
| Doney, John | |
| Fitzgerald, Jayne | |
| Garrett-Nelson, LaBrenda | |
| Gaspar, Gary | |
| Gates, Bruce | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 2/12/2002



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

| Item | Description | Data |
|------|---------------------------|--|
| 16 | Lobbying Issues | S 659, HR 1176, Pension Right to Know Act, all provisions of the bill |
| 16 | Lobbying Issues | S 741, Pension Coverage and Portability Act of 1999, all provisions of the bill |
| 16 | Lobbying Issues | Clinton Administration Legislative Proposal to Improve Disclosure of Pension Amendments Significantly Reducing Future Benefit Accruals DOL Guidance on 401(K) plan fees charged by insurance companies and mutual funds |
| 18a | Lobbyist Name | Giles, Thomas |
| 18b | Covered Official Position | Health Counsel, Energy and Commerce Committee |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Giordano, Nick |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Grab, Francis |
| 18b | Covered Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Koch, Cathy |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | McGuinness, Marty |
| 18b | Covered Official Position | Associate to Pete Sessions, House Cmte on Rules |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Meltzer, Richard |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Moseley, Phil |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Porter, John |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Relland, Susan |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Rozen, Bob |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Steele-Flynn, Donna |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Urban, Tim |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |



Registrant Name: Washington Council Ernst & Young

Client Name: MassMutual (MA Mutual Life Insurance Co.)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

HR 1089, Mutual Fund Tax Awareness of 2000, all provisions of the bill

HR 2255, Abusive Tax Shelter Shutdown Act of 1999, all provisions of the bill

HR 3081, The Small Business Tax Fairness Act of 2000, all provisions of the bill

HR 3095, S 2874, Life Insurance Tax Simplification Act of 2000, all provisions of the bill

HR 434, African Growth and Opportunity Act, provisions related to charitable split dollar insurance arrang

419A(f)(6) Employer Welfare benefits trust

S 1134, Affordable Education Act of 1999, provisions related to charitable split dollar insurance arrangemen

419A(f)(6) Employer Welfare Benefits Trust

S 892, , all provisions of the bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Treasury

Executive Office of the President

House of Representatives

Senate

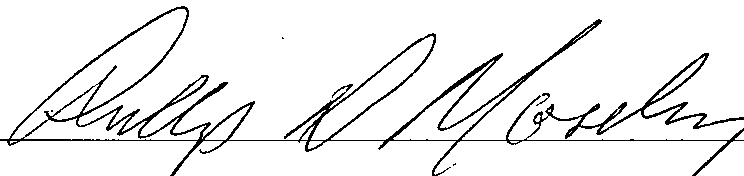
18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------------|---|
| Badger, Doug | |
| Bazel, Lauren | |
| Doney, John | |
| Fitzgerald, Jayne | |
| Garrett-Nelson, LaBrenda | |
| Gasper, Gary | |
| Gates, Bruce | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 2/12/2002

[REDACTED]

Registrant Name: **Washington Council Ernst & Young**

Client Name: **MassMutual (MA Mutual Life Insurance Co.)**

| Item | Description | Data |
|------|---------------------------|---|
| 16 | Lobbying Issues | Modified Endowment Contracts Correction IRS Revenue Procedure, Rev. Pr 99-27 Global Interest Netting Revenue IRS Procedure, Rev. Proc. 99-19 |
| 18a | Lobbyist Name | Giles, Thomas |
| 18b | Covered Official Position | Health Counsel, Energy and Commerce Committee |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Giordano, Nick |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Grab, Francis |
| 18b | Covered Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Koch, Cathy |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | McGuinness, Marty |
| 18b | Covered Official Position | Associate to Pete Sessions, House Cmte on Rules |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Meltzer, Richard |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Moseley, Phil |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Porter, John |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Relland, Susan |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Rozen, Bob |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Steele-Flynn, Donna |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |
| 18a | Lobbyist Name | Urban, Tim |
| 18b | Covered Official Position | |
| 18c | New Lobbyist | No |

