Ç Secretary of the Senate Clerk of the House of Representatives Office of Public Records Legislative Resource Center 232 Hart Building B-106 Cannon Building Washington, DC 20510 Washington, DC 20515 **LOBBYING REPORT** Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page 1 Registrant Name Piper Rudnick LLP Check if different than previously reported 2. Registrant Address Suite 700 901 15th Street, N.W. Address 20005 State/Zip (or Country) DC Washington City 3. Principal Place of Business (if different from line 2) State/Zip (or Country) City 5. Senate ID# E-mail (optional) Telephone 4. Contact Name (202) 371-6000 john.zentay@piperrudnick.com John H. Zentay 6. House ID# 7. Client Name 

Self **Investment Company Institute** TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-E Check if this filing amends a previously filed version of this report  $\Box$ 11. No Lob 10. Check if this is a Termination Report 

>> Termination Date INCOME OR EXPENSES - Complete Either Line 12 OR Line 13 13. Organizations 12. Lobbying Firms **EXPENSES** relating to lobbying activities for this r INCOME relating to lobbying activities for this reporting period were: period was: Less than \$10,000 □ Less than \$10,000 \$80,000.00 □ >>\$ \_ \$10,000 or more **⋈** >> \$ \$10,000 or more Expenses (nearest Income (nearest \$20,000) 14. REPORTING METHOD. Check box to indic accounting method. See instructions for description Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client Method A. Reporting amounts using LDA defi (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). Method B. Reporting amounts under section 6 the Internal Revenue Code Method C. Reporting amounts under section 1 Internal Revenue Code

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Signature		Date	2/14/2003	
Printed Name and Title	John H. Zentay - Partner			

## 00030041114

Regi	strant Name:	Piper Rudnick LLP	
Clie	nt Name:	Investment Company Institute	
enga	iged in lobbyin	IVITY. Select as many codes as necessary g on behalf of the client during the reportinusted. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15. General issue area code FIN (one per page)			
16.	Specific Lobb Mutual fund Financial pr		
17.	House(s) of ( House of Re Senate	Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of eac	h individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Eskin, Andı	rew D.	
	Hand, Lloy		
	Minor, Wil	liam H.	
	Regan, Kar	en	
_		,	
_			
			ed on line 16 above 🔀 Check if None
19	. Interest of e	ach foreign entity in the specific issues list	ed on line 16 above

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Signature		Date .	2/14/2003
Printed Name and Title	John H. Zentay - Partner	<del> </del>	

## 00030041115

Regi	strant Name: Piper Rudnick LLP	
Clie	nt Name: Investment Company Institute	
enga info	rmation as requested. Attach additional page(s) as needed	ng period. Using a separate page for each code, provide l.
15. 16.	General issue area code RET (one per page)  Specific Lobbying issues  H.R.2269, Retirement Security Advice Act of 2001,  H.R.3669, Employee Retirement Savings Bill of Righth.R.3762, Pension Security Act of 2002,  H.R.4931, Retirement Savings Security Act of 2002,  H.R.5553, Protecting America's Savings Act of 2002  S.1677, Independent Investment Advice Act of 2001,  S.1971, National Employee Savings and Trust Equity  S.1992, Protecting America's Pensions Act of 2002,  S.2733, Secure Retirement for America Act,	its,
17.	House(s) of Congress and Federal agencies contacted House of Representatives Senate	☐ Check if None
18.	Name of each individual who acted as a lobbyist in this	s issue area
	Name	Covered Official Position (if applicable)
	Eskin, Andrew D.	
	Hand, Lloyd N.	
	Merrigan, John A.	
	Migdail, Evan M.	
	Minor, William H.	
19	. Interest of each foreign entity in the specific issues list	ed on line 16 above 🔀 Check if None

Signature		Date	Z/14/ZUU3
Printed Name and Title	John H. Zentay - Partner		

## 00030041116

Regi	istrant Name:	Piper Rudnick LLP		
Clie	nt Name:	Investment Company In	stitute	
enga	iged in lobbyir	FIVITY. Select as many coon gon behalf of the client durusted. Attach additional pa	ring the reportir	to reflect the general issue areas in which the registrant graphing period. Using a separate page for each code, provided.
	General issue	_	(one per page)	}
16.	H.R.168, To	bying issues amend the Internal Rever capital gains distributions	iue Code of 198 from regulated	86 to allow individuals an exclusion from gross income investment companies.,
17.		Congress and Federal agence presentatives	ies contacted	☐ Check if None
18.	Name of eac	h individual who acted as a	lobbyist in this	issue area
	Name			Covered Official Position (if applicable)
	Eskin, And	rew D.		
	Hand, Lloy	d N.		
	Merrigan,	John A.		
	Migdail, Ev	van M.		
19	. Interest of e	each foreign entity in the spe	ecific issues list	ed on line 16 above 🔀 Check if None

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2/14/2003

Signature	Lara M	•	Date
Printed Name and Title	John H. Zentay - Partner		