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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page 3 AM 9

1. Registrant Name <u>Capitol Associates, Inc.</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>426 C Street, NE, Washington, DC 20002</u>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <u>Debra M. Hardy Havens</u>	Telephone <u>(202) 544-1880</u>	E-mail (optional) <u>dh@capitolassociates.com</u>	5. Senate ID <u>8101-467</u>
7. Client Name <input type="checkbox"/> Self <u>Northwestern Memorial Hospital</u>			6. House ID # <u>3081 3027</u>

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>60,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>
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Signature: *Debra M. Hardy Havens*

Printed Name and Title Debra M. Hardy Havens, CEO

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

S. 2766 - Departments of Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2003. Title II - funding for the National Institutes of Health.

17. House(s) of Congress and Federal agencies contacted Check if None

House
Senate
DHHS
HUD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Roxanne Burnham	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Tracked and reported on Congressional hearings, White House, and Department of Health and Human Services activities.

17. House(s) of Congress and Federal agencies contacted Check if None

- House
- Senate
- DHHS
- HUD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Long	
.....	
Roxanne Burnham	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HJ Res38 - Disapproving the rule submitted by the Department of Health and Human Services on December 1, 2001 relating to standards for privacy of individually identifiable health information.

S. 1052 - Bipartisan Patient Protection Act 2001

H.R. 5005/S. 2452 - National Homeland Security and Combating Terrorism Act 2002

H.R. 3253/S. 2132 - VA Medical Emergency Preparedness Centers

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Human Services, Department of Housing and Urban Development, and White House.

17. House(s) of Congress and Federal agencies contacted Check if None

House DHHS
Senate HUD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Long	
Roxanne Burnham	
Debra Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Registrant Name Capitol Associates, Inc. Client Name Northwestern Memorial Hospital

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R. 4659 - Home Health Nurse and Patient Act

S. 2391 - Medicaid DSH Equity Act 2002

H.R. 4832 - Prescription Drug Comparative Effectiveness Act 2002

H.R. 4954 - Medicare Modernization and Prescription Drug Act 2002

S. 2736 - Medicare Prescription Drug Discount and Security Act 2002

17. House(s) of Congress and Federal agencies contacted Check if None

- House
- Senate
- DHHS
- HUD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Roxanne Burnham	
Debra Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Northwestern Memorial Hospital**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Ronnie Tepp, Andrew Brecher

ISSUE UPDATE24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner per cent client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature _____

Date _____

