

Clerk of the House of Representatives
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SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|---|--------------|--------------------------------------|--------------|
| 1. Registrant Name | | | |
| Kirkpatrick & Lockhart, LLP | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported | | | |
| 1800 Massachusetts Avenue, N.W. | | | |
| 3. Principal Place of Business (if different from line 2) | | | |
| City: Washington | | State/Zip (or Country) DC 20036-1800 | |
| 4. Contact Name | Telephone | E-mail (optional) | 5. Senate ID |
| Robert A. Wittie | 202/778-9060 | rwittie@kl.com | 21756-6 |
| 7. Client Name <input type="checkbox"/> Self | | | 6. House ID |
| Investment Company Institute | | | 3243900 |

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying

| INCOME OR EXPENSES - Complete Either Line 12 OR Line 13 | |
|---|---|
| <p style="text-align: center;">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p style="text-align: center;">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6113 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p> |

Signature _____

Printed Name and Title Robert A. Wittie, Partner

LD-2 (REV. 6/98)



Registrant Name Kirkpatrick & Lockhart LLP Client Name Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Electronic Commerce and Privacy Issues

17. House(s) of Congress and Federal agencies contacted

Check if None

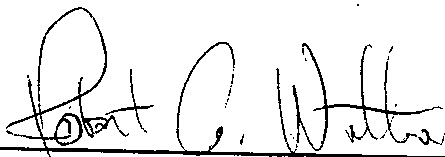
House of Representatives
Senate
Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------------|---|
|Robert A. Wittie | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature 

Date January 23, 2002

Printed Name and Title Robert A. Wittie, Partner

