Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

Secretary of the Senate Received: Feb 12, 2007

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name:

#### WASHINGTON COUNCIL ERNST & YOUNG

2. Address: 1150 17TH ST NW #601, WASHINGTON, DC 20036

3. Principal place of business (if different from line 2):

4. Contact Name: NICK GIORDANO Telephone: 2022937474 E-mail (optional): lee.moe@wc.ey.com

Senate ID #: 57475-657 House ID #:

7. Client Name: 🗌 Self

CHARLES SCHWAB & CO

#### TYPE OF REPORT

🔄 Midyear (January 1 - June 30): 🔲 🛛 🛛 🗛 Year End (July 1 - December 31): 🔀 8. Year<u>2006</u>

9. Check if this filing amends a previously filed version of this report: 10. Check if this is a Termination Report: - - > Termination Date:

11. No Lobbying Activity: 🔲

INCOME OR EXPENSES

Complete Either Line 12 OR Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: 🔲

\$10,000 or more: X => Income (nearest \$20,000): 120,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: 🔲

\$10,000 or more: => Expenses (nearest \$20,000);\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: WASHINGTON COUNCIL ERNST & YOUNG Client Name: CHARLES SCHWAB & CO

#### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

General financial services industry issues; investment advice; privacy issues; market struction; market data.

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAZEL, LAUREN Covered Official Position (if applicable): N/A Name: BRADSHAW, TARA Covered Official Position (if applicable): N/A Name: DONEY, JOHN L. Covered Official Position (if applicable): N/A Name: GARRETT-NELSON, LABRENDA Covered Official Position (if applicable): N/A Name: GASPER, GARY Covered Official Position (if applicable): N/A Name: GATES, BRUCE A. Covered Official Position (if applicable): N/A Name: GIORDANO, NICK Covered Official Position (if applicable): N/A Name: GRAB, FRANCIS Covered Official Position (if applicable): N/A Name: HEYNIGER, WILL Covered Official Position (if applicable): N/A Name: KOSHGARIAN, DAVE Covered Official Position (if applicable): N/A Name: PHELPS, ANNE Covered Official Position (if applicable): N/A Name: PORTER, JOHN Covered Official Position (if applicable): N/A Name: ROZEN, ROBERT M Covered Official Position (if applicable): N/A Name: STEELE-FLYNN, DÒNNÁ Covered Official Position (if applicable): N/A Name: URBAN, TIM Covered Official Position (if applicable): N/A 19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Registrant Name: WASHINGTON COUNCIL ERNST & YOUNG Client Name: CHARLES SCHWAB & CO

#### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Taxation of internet commerce; general corporate and international tax issues; tax treatment of dividends and capital gains; charitable tax issues; taxation of pensions and retirement savings.

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAZEL, LAUREN Covered Official Position (if applicable): N/A Name: BRADSHAW, TARA Covered Official Position (if applicable): N/A Name: DONEY, JOHN L. Covered Official Position (if applicable): N/A Name: GARRETT-NELSON, LABRENDA Covered Official Position (if applicable): N/A Name: GASPER, GARY Covered Official Position (if applicable): N/A Name: GATES, BRUCE A. Covered Official Position (if applicable): N/A Name: GIORDANO, NICK Covered Official Position (if applicable): N/A Name: GRAB, FRANCIS Covered Official Position (if applicable): N/A Name: HEYNIGER, WILL Covered Official Position (if applicable): N/A Name: KOSHGARIAN, DAVE Covered Official Position (if applicable): N/A Name: PHELPS, ANNE Covered Official Position (if applicable): N/A Name: PORTER, JOHN Covered Official Position (if applicable): N/A Name: ROZEN, ROBERT M Covered Official Position (if applicable): N/A Name: STEELE-FLYNN, DONNA Covered Official Position (if applicable): N/A Name: URBAN, TIM Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Signature: ON FILE Date: Feb 12, 2007

Printed Name and Title: NICHOLAS P. GIORDANO, PARTNER -

Registrant Name: WASHINGTON COUNCIL ERNST & YOUNG Client Name: CHARLES SCHWAB & CO

Information Update Page: Complete ONLY where registration information has changed.

# LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: MCGUINNESS, MARTY

ISSUE UPDATE 24. General lobbying issues previously reported that NO LONGER pertain

### AFFILIATED ORGANIZATIONS 25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

## FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 12, 2007