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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Organization		Financial Planning Association ("FPA")	
2. Address <input type="checkbox"/> Check if different than previously reported			
Address1		1615 L St, NW Ste. 650	
City	Washington	State	DC
		Zip Code	20036
		Country	US
3. Principal place of business (if different than line 2)			
City		State	
		Zip Code	
		Country	
4a. Contact Name		b. Telephone number	c. E-mail
Prefix	Full Name		
Mr.	Neil Simon	202-626-8558	neil.simon@fpanet.org
7. Client Name		5. Senate ID #	
<input checked="" type="checkbox"/> Self		53733-	
		6. House ID #	
		349620	

TYPE OF REPORT 8. Year 2005 Midyear (January 1-June 30) ☒ OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date 11. No Lobbying Acti

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ 140,000</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions of</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8), Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of Internal Revenue Code</p>
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Printed Name and Title

John A. ...

Dir. of Gov't Relations

Form Co

Registrant Name FPA Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide additional information as requested. Attach additional page(s) as needed.

15. General issue area code tax (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue ☐

tax, pension, retirement - gen'l

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area Add a page to continue adding lobbyists for this issue ☐

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
<u>Bill</u>	<u>DeRenter</u>	<u>Mr.</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Add a page for a different issue ☐

Printed Name and Title

Registrant Name FPA Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue ☐

mutual fund reform - gen'l
securities law - gen'l

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, SEC

18. Name of each individual who acted as a lobbyist in this issue area Add a page to continue adding lobbyists for this issue ☐

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Neil	Simon	Mr.	
Diane	Thompson	Mr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Add a page for a different

Printed Name and Title

[Signature] Dir. of Gov't Relations

