

of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF  
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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

1. Effective Date of Registration 04/21/2006

2. House Identification Number 31811 052

Senate Identification Number \_\_\_\_\_

## REGISTRANT

3. Registrant name Organization The Duberstein Group Inc.

Address 2100 Pennsylvania Ave, NW Suite 500

City Washington State DC Zip 20037 US/

4. Principal place of business (if different than line 3)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

5. Telephone number and contact name

202-728-1100 Prefix \_\_\_\_\_ Full Name Contact Mr. Michael S. Berman E-mail mberman@dubersteingroup.com

6. General description of registrant's business or activities

Corporate consulting and government relations

**CLIENT** *A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.*  Self

7. Client name Novartis Vaccines & Diagnostics Inc.

Address 1300 I Street, NW, Suite 1090 East

City Washington State DC Zip 20005 Country US/

8. Principal place of business (if different than line 7)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Country \_\_\_\_\_

9. General description of client's business or activities

Research, manufacturing and sale of biotechnology products to improve human health

## LOBBYISTS

*Go to page 3 to add more lo*

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person has served as a "covered executive branch official" or "covered legislative branch official" within two years of first a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

| First   | Last       | Suffix | Covered Official Position (if applicable) |
|---------|------------|--------|---|
| Michael | Berman     |        |   |
| Steven  | Champlin   |        |   |
| Kenneth | Duberstein |        |   |
| Henry   | Gandy      |        |   |
| Daniel  | Meyer      |        |   |

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**LOBBYING ISSUES** Find the code to select below. Go to page 3 to add more lobbying

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page

BUD      PHA      \_\_\_\_\_

12. Specific lobbying issues (current and anticipated)

Legislation relating to influenza vaccine; pandemic planning; and general vaccine-related proposals

**AFFILIATED ORGANIZATIONS** Go to page 3 to add more organi

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period **and** in whole or in major part plans supervises or controls the registrant's lobbying activities?

No ⇒ Go to line 14.       Yes ⇒ Complete the rest of this section for each entity matching t criteria above, then proceed to line 14.

| Name | Address | Principal place of Business<br>(city and state or country) |
|------|---------|--|
|      |         |  |

**FOREIGN ENTITIES** Go to page 3 to add more foreign

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes acti the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of lobbying activity?

No ⇒ Sign and date the registration.       Yes ⇒ Complete the rest of this section for each entity matching the criteria above, then sign and date t registration.

| Name        | Address                     |                |         | Principal place of business<br>(city and state or country) | Amount of contribution for lobbying activities | Ow per in |
|-------------|-----------------------------|----------------|---------|--|--|-----------|
|             | Street Address              | State/Province | Country |  |  |           |
| Novartis AG | Lichstrasse 35 WSJ<br>Basel |                | CH      | Basel<br>CH  | \$0  | 10        |



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Printed Name and Title Michael S. Berman, President

