

THE UNIVERSITY OF CHICAGO

5801 ELLIS AVENUE
CHICAGO • ILLINOIS 60637

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SECRETARY OF THE SENATE

01 JAN 31 AM 11:10

(773) 702-7777

Office of Legal Counsel

January 30, 2001

BY FEDERAL EXPRESS

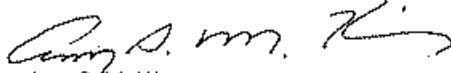
Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, D.C. 20510

RE: Senate ID#39360-12

Dear Secretary:

Enclosed pursuant to the Lobbying Disclosure Act of 1995 is the Year End Lobbying Report (July 1- December 31, 2000) for the University of Chicago.

Sincerely,



Amy S. M. Kim
Assistant General Counsel

Enclosures

RECEIVED
 SECRETARY OF THE SENATE
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The University of Chicago			
2. Address <input type="checkbox"/> Check if different than previously reported 5801 South Ellis Avenue			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) IL 60637			
4. Contact Name Arthur M. Sussman	Telephone (773) 702-7241	E-mail (optional)	5. Senate ID # 39360-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 30151000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report → Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ _____ <small>income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>80,000.00</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____

Printed Name and Title **Arthur M. Sussman, General Counsel and Vice President for Administration**

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

Department of Energy
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date January 29, 2001

Printed Name and Title Arthur M. Sussman, General Counsel and Vice President for Administration

BUD

Discussions with peer research universities regarding:

- Efforts to save Accelerated Strategic Computer Initiative Centers of Excellence program (ASCI) from budget cuts
- Report of meetings with Illinois Senators, Congressmen, and their staffers regarding support for scientific research
- Preparation of testimony for hearing on "reasonable drug pricing" and indirect costs
- Upcoming advocacy for funding for scientific research
- Status of appropriation and other bills related to medical education, teaching hospitals, and the National Institutes of Health (NIH)
- Low funding levels in appropriations bills for Department of Energy (DOE) science programs
- Advocating for Department of Education to raise Javits and GAANN graduate fellowship program stipends

Meetings with peer research universities regarding:

- Amendments relating to "reasonable drug pricing"
- State and federal funding priorities for science and technology
- Status of appropriations for FY 2001

Discussion with peer research university regarding draft letter to Senate staffer regarding language in Energy and Water Subcommittee appropriations bill to save ASCI from budget cuts

Letter to, follow-up discussion and meeting with Senate staffer regarding language in Energy and Water Subcommittee appropriations bill to save ASCI from budget cuts

Preparation for and meetings with various Illinois Senators and Congressmen and their staffers and Illinois research university personnel to urge continued support for scientific research

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Discussions with Argonne National Laboratory (ANL) personnel regarding funding for DOE science programs in the FY 2001 appropriations bills

Discussion with peer research universities that do DOE-funded research regarding initiatives to boost funding for these research programs

Meeting with local Mayoral advisory group regarding biotechnology funding at the federal level

Discussions with University and ANL personnel and peer research universities regarding possible letter to House and Senate leadership regarding funding for DOE science programs

Discussions with House and Senate staffers regarding DOE science funding "Dear Colleague" letter

Discussions with peer research universities regarding preparation of letter to House and Senate leadership regarding budget cuts and the effects on teaching hospitals

Meeting with University personnel regarding federal regulatory, administrative and legislative proposals that would affect scientific research

Discussion with Senate staffer regarding possible meeting of several Illinois university presidents with the Illinois Congressional Delegation

Informational meeting on campus with Senate staffer regarding various issues related to University funding and research

Meeting with peer research universities that do DOE-funded research and DOE official regarding budgets for FY 2001 and FY 2002

Meeting with University personnel regarding strategy for 106th Congress and FY 2001 appropriations

Discussions with Senate and House staffers regarding University president's visit to members of the Illinois Congressional Delegation

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

CPT

Discussion with peer research universities regarding database legislation (HR 354 and HR 1858) in the 106th Congress and strategies for database legislation to be introduced in the 107th Congress

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name The University of Chicago Client Name Self

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

EDU

Meetings with peer research universities regarding:

- State and federal funding priorities for science and technology
- Advocacy strategy for 2001

Discussions with peer research universities regarding:

- Advocating for Department of Education to raise Javits and GAANN graduate fellowship program stipends
- Attempts to eliminate legislation requiring universities to collect fees from foreign students and scholars
- Advocating for S 2045 and HR 3983 which exempts higher education from H-1B visa cap
- Advocating for HR 3767 visa waiver bill which exempts universities from collecting fees to fund CIPRIS program
- Implementation of federal student financial aid requirement related to drug use by students

Meeting with University personnel and discussion with peer research universities regarding possibility of signing letter in support of White House initiative to make information technology more accessible to disabled

Discussion with Senate staffer regarding possible meeting of several Illinois university presidents with the Illinois Congressional Delegation

Informational meeting on campus with Senate staffer regarding various issues related to University funding and research

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Preparation of letter from University president to members of the Illinois Congressional Delegation regarding possible meeting

Meeting with University personnel regarding strategy for 106th Congress and FY 2001 appropriations

Discussions with Senate and House staffers regarding University president's visit to members of the Illinois Congressional Delegation

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Byatt		<input type="checkbox"/>
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		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

MM

Discussions with peer research universities regarding:

- Advocating for S 2045 and HR 3983 which exempts higher education from H-1B visa cap
- Possibility of signing onto American Business for Legal Immigration's ad asking Congress to act on HR 3983 and S 2045 H-1B legislation
- Attempts to eliminate legislation requiring universities to collect fees from foreign students and scholars
- Advocating for HR 3767 visa waiver bill which exempts universities from collecting fees to fund CIPRIS program
- HR 5362 which increases fees charged to employers who are petitioners for employment of H-1B visa-holders

Discussion with House staffer regarding HR 5362

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15. General issue area code MED (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

MED

Meeting with peer research universities regarding amendments relating to "reasonable drug pricing"
Discussions with peer research universities regarding:

- Status of appropriation and other bills related to medical education, teaching hospitals, and the NIH
- Preparation of letter to House and Senate leadership regarding budget cuts and the effects on teaching hospitals

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

Department of Energy
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

SCI

Discussions with peer research universities regarding:

- Efforts to save ASCI from budget cuts
- Preparation of testimony for hearing on "reasonable drug pricing" and indirect costs
- Upcoming advocacy for funding for scientific research
- Status of appropriation and other bills related to medical education, teaching hospitals, and the NIH
- Low funding levels in appropriations bills for DOE science programs
- Possibility on submitting comments on new Animal Plant Health Inspection Service proposal regarding reporting pain and distress experienced by lab animals
- S 2725, "Chimpanzee Health Improvement Maintenance and Protection Act
- DOE worker compensation language in Department of Defense authorization bill (HR 4205)

Meetings with peer research universities regarding state and federal funding priorities for science and technology

Discussion with peer research university regarding draft letter to Senate staffer regarding language in Energy and Water Subcommittee appropriations bill to save ASCI from budget cuts

Letter to, follow-up discussion and meeting with Senate staffer regarding language in Energy and Water Subcommittee appropriations bill to save ASCI from budget cuts

Preparation for and meetings with various Illinois Senators and Congressmen and their staffers and Illinois research university personnel to urge continued support for scientific research

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Meeting with University personnel regarding human subjects research and related financial conflict of interest issues between professors and institutions

Discussions with ANL personnel regarding funding for DOE science programs in the FY 2001 appropriations bills

Discussion with peer research universities that do DOE-funded research regarding initiatives to boost funding for these research programs

Meeting with local Mayoral advisory group regarding biotechnology funding at the federal level

Discussions with University and ANL personnel and peer research universities regarding possible letter to House and Senate leadership regarding funding for DOE science programs

Discussions with House and Senate staffers regarding DOE science funding "Dear Colleague" letter

Discussions with peer research universities regarding preparation of letter to House and Senate leadership regarding budget cuts and the effects on teaching hospitals

Meeting with University personnel regarding federal regulatory, administrative and legislative proposals that would affect scientific research

Discussion with DOE staff regarding HR 4205

Meeting with University personnel regarding possible response to Office of Management and

Budget/Office of Science and Technology Policy document resurrecting faculty effort reporting

Discussion with Senate staffer regarding possible meeting of several Illinois university presidents with the Illinois Congressional Delegation

Informational meeting on campus with Senate staffer regarding various issues related to University funding and research

Preparation of letter from University president to members of the Illinois Congressional Delegation regarding possible meeting

Discussion with University and ANL personnel regarding letter in support of ANL's proposal to DOE for a "Center for Nanoscale Materials"

Meeting with peer research universities that do DOE-funded research and DOE official regarding budgets for FY 2001 and FY 2002

Meeting with University personnel regarding strategy for 106th Congress and FY 2001 appropriations

Registrant Name The University of Chicago Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

TAX

Meetings with peer research universities regarding:

- Tax action in the 106th Congress
- Outlook for 107th Congress on tax matters
- Possible repeal of estate and gift taxes
- IRS request for comments on nonprofits' use of internet
- Tax Code Section 127 (employer-provided educational benefits)
- Pre-paid tuition plans
- Status of IRA Charitable Rollover legislation
- Legislative activity related to student loan interest deduction
- Legislative activity related to pensions
- Regulatory and reporting issues related to the HOPE and Lifelong Learning tax credits from higher education expenses
- Pending report on tax simplification by the Joint Committee on Taxation of the Congress