

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE

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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Duke Energy Corporation</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>422 S. Church Street</b> City <b>Charlotte</b> State/Zip (or Country) <b>NC 28202-1904 USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Donald Hatley</b>		Telephone <b>(704) 382-8190</b>	E-mail (optional) _____
5. Senate ID # <b>12744-12</b>			6. House ID # <b>30901000</b>
7. Client Name <input checked="" type="checkbox"/> Self			

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbying Activities **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$1,170,000.00</u> Expenses (nearest \$)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of methods.
	<input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6013 of the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 of the Internal Revenue Code

Signature \_\_\_\_\_ Date 2/14/2003

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs F

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific Lobbying issues

- H.R.1256, Clean Smokestacks Act of 2001, Entire Bill**
- H.R.2323, National Electricity and Environmental Technology Act, Entire Bill**
- H.R.472, Endangered Species Act Amendments of 2000, Entire Bill**
- H.R.5266, Clear Skies Act of 2002, Entire Bill**
- S.1008, Climate Change Strategy and Technology Innovation Act of 2001, Entire Bill**
- S.1131, Clean Power Plant and Modernization Act of 2001, Entire Bill**
- S.1294, Climate Change Risk Management Act of 2001, Entire Bill**
- S.1716, Global Climate Change Act of 2001, Entire Bill**
- S.1781, Emission Reductions Incentive Act of 2001, Entire Bill**
- S.2815, Clear Skies Act of 2002, Entire Bill**
- S.3135, Clean Air Planning Act of 2002, Entire Bill**

17. House(s) of Congress and Federal agencies contacted

Check if None

- Department of Energy**
- Environmental Protection Agency**
- House of Representatives**
- Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Marshall, Beverly</b>	
<b>Mitchell, David</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 2/14/2003

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs F

Registrant Name: Duke Energy CorporationClient Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	S.556, Clean Power Act of 2001, Entire Bill
16	Lobbying Issues	S.60, National Electricity and Environmental Technology Act, Entire Bill
16	Lobbying Issues	S.769, International Carbon Conservation Act, Entire Bill



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific Lobbying issues

- H.R.4, SAFE Act of 2001 Securing America's Future Energy Act of 2001, Entire Bill
- H.R.4038, Market Oversight Consolidation and OTC Derivatives Regulation Act, Entire Bill
- H.R.4826, Truth in Electricity Trading Act of 2002, Entire Bill
- H.R.4827, Truth in Electricity Revenue Reporting Act of 2002, Entire Bill
- S.1171, Energy and Water Development Appropriations Act, 2002, Entire Bill
- S.1746, Nuclear Security Act of 2001, Entire Bill
- S.1748, Terrorism Risk Insurance Act of 2001, Entire Bill
- S.1951, A bill to provide regulatory oversight over energy trading markets, and for other purposes., Entire

17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Energy  
 Federal Energy Regulatory Commission  
 House of Representatives  
 Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Haywood, Michael	
Jacobs, Bryan	
Marshall, Beverly	
Mitchell, David	
Prenda, Brian	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 2/14/2003

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs F



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code INS (one per page)

16. Specific Lobbying issues  
**H.R.3210, Terrorism Risk Protection Act, Entire Bill**  
**S.2600, Terrorism Risk Insurance Act of 2002, Entire Bill**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Marshall, Beverly</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 2/14/2005

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs F

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R.4, SAFE Act of 2001 Securing America's Future Energy Act of 2001, Entire Bill**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Haywood, Michael</b>	
<b>Jacobs, Bryan</b>	
<b>Marshall, Beverly</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 2/14/2003

Printed Name and Title **Donald Hatley - Senior Vice President Governmental Affairs** P

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific Lobbying issues  
**H.R.4, SAFE Act of 2001 Securing America's Future Energy Act of 2001, Entire Bill**  
**S.235, Pipeline Safety Improvement Act of 2001, Entire Bill**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Transportation**  
**Federal Energy Regulatory Commission**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Marshall, Beverly</b>	
<b>Prenda, Brian</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 2/14/2003

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs P

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client  
**Henry, Wayne**

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

*(Handwritten signatures and initials)*

Signature  Date 2/14/2003

Printed Name and Title Donald Hatley - Senior Vice President Governmental Affairs P